

DECEMBER 18-19, 2013

Kansas Board of Regents

2013-2014
Fred Logan, Chair
Kenny Wilk, Vice Chair

KANSAS BOARD OF REGENT MEMBERS:

Shane Bangerter	Mildred Edwards	Ann Brandau-Murguia
Tim Emert	Fred Logan	Ed McKechnie
Robba Moran	Helen Van Etten	Kenny Wilk

FORESIGHT 2020

A Strategic Agenda for the State's Public Higher Education System

1. Increase higher education attainment among Kansas citizens
2. Improve alignment of the state's higher education system with the needs of the economy
3. Ensure state university excellence

FORESIGHT 2020

A 10-Year Strategic Agenda for the State's Public Higher Education System



Foresight 2020 is a 10-year strategic agenda for the state's public higher education system. Originally adopted by the Kansas Board of Regents in 2010 and updated in 2012, the plan sets long-range achievement goals that are measurable, reportable, and ensure the state's higher education system meets Kansans' expectations.

INCREASE HIGHER EDUCATION ATTAINMENT

Aspirations

- ★ Increase, to 60 percent, the number of Kansas adults who have a certificate, credential, associate's degree, or bachelor's degree by 2020.
- ★ Achieve a ten percentage point increase in retention and graduation rates.

Measures

- ★ State demographics with higher education participation levels of Kansas citizens, including underrepresented groups.
- ★ Postsecondary attainment of Kansans, by age groups, to the United States.
- ★ In-state postsecondary participation rates by age groups—traditional students, adults between the ages of 25-39, and adults between the ages of 40-64.
- ★ Number of Adult Basic Education (ABE) participants.
- ★ Percent of ABE participants in postsecondary education.
- ★ First to second year retention rates at universities, community colleges and technical colleges.
- ★ Three-year graduation rate for community and technical colleges.
- ★ Six-year graduation rate for universities.
- ★ Number of certificates and degrees produced.
- ★ Number of adults with college credit returning to complete a certificate/credential, associate or bachelor degree.

Learn more about the Kansas Board of Regents and find additional *Foresight 2020* information at www.kansasregents.org/foresight_2020.

IMPROVE ECONOMIC ALIGNMENT

Aspirations

- ★ Meet business and industry expectations for core workplace skills in mathematics/analytical reasoning, communication and problem solving.
- ★ Reduce workforce shortages in selected high demand fields.
- ★ Meet expectations of quality in all technical programs.
- ★ Enhance understanding of the role of university research in supporting the economy.
- ★ Continue to exceed the regional average for percent of credentials awarded in STEM fields.

Measures

- ★ Performance of students on institutional assessments in three areas:
 1. Mathematics/Quantitative/Analytical Reasoning
 2. Written and Oral Communication,
 3. Critical Thinking/Problem Solving.
- ★ Percent graduates employed in Kansas post graduation.
- ★ Average wages earned by graduates post graduation.
- ★ Performance of students on selected third-party technical program certificate/credential assessments.
- ★ Number of certificates and degrees awarded in selected high-demand occupations.
- ★ Percent of credentials awarded in STEM fields.

ENSURE STATE UNIVERSITY EXCELLENCE

Aspiration

- ★ Improve the regional and national reputations of state universities.

Measures

- ★ Selected regional and national rankings and other quality measures of research universities compared to peers.
- ★ Institutional performance of regional universities on quality measures compared to peers.
- ★ Amount and proportion of federal research dollars awarded.
- ★ Private giving to universities.

BOARD GOALS 2013-2014

Approved by the Kansas Board of Regents



INCREASE HIGHER EDUCATION ATTAINMENT

1. As the Board pursues its strategic goal of increasing educational attainment in Kansas to 60 percent of resident adults having a credential or degree by 2020, it will develop a methodology for identifying the gap in what is currently produced and what is needed for tracking progress in reaching the goal. This new methodology will be included in the annual Foresight 2020 report in January of 2014.
2. The Board will continue its efforts to improve transfer and articulation of general education courses throughout the system (1) by approving a quality assurance policy submitted by the Transfer and Articulation Advisory Council and by the Board Academic Affairs Standing Committee and (2) by approving the proposed list of 13 additional courses for transfer by no later than January of 2014, and any other courses forwarded to the Board for approval by the Transfer and Articulation Advisory Council. In addition, the Board directs the Transfer and Articulation Advisory Council to begin addressing transfer issues of academic disciplines and report on its progress.
3. The Board will initiate a study on developmental education in the higher education system in Kansas and receive a set of recommendations for redesigning developmental education across the system no later than June of 2014.

IMPROVE ECONOMIC ALIGNMENT

4. With the assistance of university leaders, the Board will study how the universities and the state of Kansas can best take advantage of the National Bio and Agro-Defense Facility (NBAF) at Kansas State University, National Cancer Institute (NCI) designation at the University of Kansas, and other major initiatives at each of the governed universities.

ENSURE STATE UNIVERSITY EXCELLENCE

5. The Board will receive a panel presentation report from the CEOs of each of the research universities on the rankings that they track for their respective universities, and on the progress that they are making in improving those rankings.
6. In 2012-13, the Board adopted a policy on post-tenure review and charged each of the university CEOs to have their campus policies in place by April of 2014, except those that may need longer due to faculty negotiations. The Board will receive a panel presentation report from the leaders of the universities on their campus post-tenure review policies by no later than May of 2014.

BOARD ACADEMIC AFFAIRS STANDING COMMITTEE
2013-2014 AGENDA TOPICS

- BAASC 13-01: Continue to assess performance agreement format to promote alignment with Foresight 2020 goals and continue to review performance agreements annually
- BAASC 13-02: Review policy from Transfer and Articulation Council on quality assurance; discuss progress on transfer and articulation
- BAASC 13-03: Consider issues raised by entering into reciprocity compact for approval of out-of-state higher education institutions
- BAASC 13-04: Developmental education
- BAASC 13-05: Teacher preparation
- BAASC 13-06: Academic Calendar
- BAASC 13-07: Academic Program Review Report
- BAASC 13-08: Accreditation Report
- BAASC 13-09: Performance Reports
- BAASC 13-10: Qualified Admissions Report
- BAASC 13-11: Distance Education Report
- BAASC 13-12: Student Learning Outcomes Report
- BAASC 13-13: Adult Education Report
- BAASC 13-14: New Degree Granting Authority (Private Postsecondary)
- BAASC 13-15: Additional Degree Granting Authority (Private Postsecondary)
- BAASC 13-16: Degree and Certificate Programs Submitted from Community and Technical Colleges
- BAASC 13-17: New Degrees at Public and Municipal Universities
- BAASC 13-18: Career and Technical Education Program Alignment
- BAASC 13-19: Board Policies

FISCAL AFFAIRS AND AUDIT STANDING COMMITTEE
2013-2014 AGENDA TOPICS

- | | |
|-----------|---|
| FAA 13-01 | Review and adopt Committee Organization and Agenda Plan for the year – COMPLETED |
| FAA 13-02 | Review each of the state universities organizational (functional) financial reporting structures, and consider development of a policy that specifically requires the state university Chief Executive Officer to designate a Chief Financial Officer with a description of roles and responsibilities. |
| FAA 13-03 | Further review and discussion of university budget issues i.e. balances, reductions, reallocations, enhancement requests and, specifically, resource plans and their alignment with strategic plans |
| FAA 13-04 | Review of FY 2015 KBOR Office operating budget – COMPLETED |
| FAA 13-05 | Consider a change in state university audit procedures – COMPLETED |
| FAA 13-06 | Update on KSU Veterinary Medical Center enhancement funding (2012 Session) |
| FAA 13-07 | Review and discuss changes to the capital budgeting process, including review of the Educational Building Fund |
| FAA 13-08 | Review current policies and consider whether a specific debt policy is needed |
| FAA 13-09 | Receive Internal Audit plans and meet with state university Internal Auditors |
| FAA 13-10 | Review state universities Annual Financial Reports, including financial statistics and ratios |
| FAA 13-11 | Development of the FY 2016 and FY 2017 Unified State Appropriation Request (capital and operating) |
| FAA 13-12 | Discuss and develop guidance for the FY 2015 State Universities tuition proposals |
| FAA 13-13 | Review and approve Johnson County Educational Research Triangle (JCERT) budgets (KU, KUMC, KSU) |
| FAA 13-14 | Study of financial projections related to Foresight 2020 |

KANSAS BOARD OF REGENTS GOVERNANCE COMMITTEE
2013-2014 AGENDA TOPICS

- GOV 13-01 Review Committee Charter and Adopt Agenda/Schedule for the year – **COMPLETED**
- GOV 13-02 Consider conceal carry legislation/process for studying – **COMPLETED**
- GOV 13-03 Review university campus security reports
- GOV 13-04 Discuss CEO performance review format
- GOV 13-05 Consider university CEO search and selection processes and policies – **COMPLETED**
- GOV 13-06 Develop university CEO compensation policy
- GOV 13-07 Review policy on Council of Government Relations Officers (COGRO) – **COMPLETED**
- GOV 13-08 Review policy on Concurrent Enrollment (faculty qualifications and 24 credit hour limit)
- GOV 13-09 Review student success plans progress
- GOV 13-10 Review proposed revisions to policies
- Geographic Jurisdiction (create exception for courses delivered on military reservations pursuant to contract with federal government) – **COMPLETED**
- Multiple Year Appointments (KU proposal to add Med Center Clinical Track and Med Center Educator Track to types of appointments that may be made on a multiple year basis) – **COMPLETED**
- Advising (BAASC proposal on improving/updating advising policy)
- Others as needed
- GOV 13-11 Review proposed regulation amendments
- Coordinated Institution Regulations (update existing regulations)
- KU Qualified Admissions (address *Fisher* case concerns)
- Others as needed
- GOV 13-12 Review new board member orientation process
- GOV 13-13 Follow-up to Retreat Discussion on Board governance and ethics – **COMPLETED**
- GOV 13-14 Discuss government relations/communications issues

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MEETING INFORMATION AND SCHEDULE

Unless noted, all meetings take place at the Curtis State Office Building (CSOB) at 1000 SW Jackson, Suite 520, Topeka, Kansas, 66612 in the meeting room indicated. Addresses for offsite meetings can be obtained by contacting the Kansas Board of Regents office at 785-296-3421.

Wednesday, December 18, 2013

Time		Committee/Activity	Location
8:30 am	- 9:00 am	System Council of Chief Academic Officers	Kathy Rupp Room
9:00 am	or Adjournment	Council of Chief Academic Officers	Kathy Rupp Room
8:45 am	- 10:00 am	Governance Committee	Conference Room B
10:00 am	- Noon	Council of Faculty Senate Presidents	Kan-Ed Conference Room
10:00 am	- Noon	Academic Affairs Standing Committee	Crumbine Conference Room Suite 540
10:00 am	- 11:50 am	Fiscal Affairs & Audit Standing Committee	Board Room
10:00 am	- Noon	Students' Advisory Committee	Conference Room C
10:00 am	- 11:00 am	System Council of Presidents	Kathy Rupp Room
11:00 am	or Adjournment	Council of Presidents	Kathy Rupp Room
Noon	- 1:15 pm	Lunch <i>Board of Regents & President Tompkins</i>	Conference Room B
Noon	- 1:15 pm	Lunch <i>Council of Chief Academic Officers</i>	Kathy Rupp Room
1:30 pm		Board of Regents Meeting	Board Room
6:00 pm		Dinner <i>Board of Regents, President Tompkins, State University CEOs, and Spouses</i>	Topeka Country Club

Thursday, December 19, 2013

Time	Committee/Activity	Location
8:00 am	Breakfast <i>Board of Regents, President Tompkins, and Chief Academic Officers</i>	Suite 530
9:15 am	Board of Regents Meeting	Board Room
11:30 am	Lunch <i>Board of Regents & President Tompkins</i>	Conference Room B

MEETING AGENDA

The Kansas Board of Regents will meet in the Board Room located in the Curtis State Office Building at 1000 SW Jackson, Suite 520, Topeka, Kansas, 66612.

Wednesday, December 18, 2013

- | | | |
|---|--------------------------------------|-------|
| I. Call To Order | Regent Logan, Chair | |
| A. <i>Approve Minutes</i> | | |
| November 20-21, 2013 Meeting | | p. 6 |
| December 6, 2013 Special Meeting | | p. 16 |
|
II. Introductions and Reports | | |
| A. <i>Introductions</i> | | |
| B. <i>Report from the Chair</i> | Regent Logan, Chair | |
| C. <i>Report from the President & CEO</i> | Andy Tompkins, President & CEO | |
| D. <i>Report from Council of Presidents</i> | President Shonrock | |
| E. <i>Report from Council of Faculty Senate Presidents</i> | Sheryl Lidzy | |
| F. <i>Report from Students' Advisory Committee</i> | Chris Roberts | |
|
III. Standing Committee Reports | | |
| A. <i>Academic Affairs</i> | Regent Moran | |
| B. <i>Fiscal Affairs & Audit</i> | Regent McKechnie | |
| C. <i>Governance</i> | Regent Logan | |
|
IV. Approval of Consent Agenda | | |
| A. <i>Fiscal Affairs & Audit</i> | | |
| 1. Authorize Window/Door Replacement for Oliver Hall – KU | Eric King,
Director of Facilities | p. 17 |
| 2. Authorize the Installation of a Specialized Energy Control System – KUMC | | p. 17 |
| 3. Amend FY 2014 Capital Improvements and Approve Revised Program Statement for Wiest Hall Replacement Project – FHSU | | p. 18 |
|
V. Consideration of Discussion Agenda | | |
| A. <i>Presentations</i> | | |
| 1. Receive Update on the Kansas Bioscience Authority | Jerry Boettcher | p. 19 |
| 2. Receive Update on K-State 2025 | President Schulz | p. 19 |

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| <i>B. Academic Affairs</i> | Regent Moran | |
| 1. Act on Approval for Continuance of Dr. Juergen A. Richt as Regents Distinguished Professor – KSU | Gary Alexander,
VP, Academic Affairs | <i>p. 20</i> |
| 2. Receive Report on the Study of Retention and Graduation Rates of Students Admitted as Exceptions | | <i>p. 22</i> |
| <i>C. Fiscal Affairs & Audit</i> | Regent McKechnie | |
| 1. Act on FY 2015 Housing and Food Service Rate Adjustment Proposals Submitted by State Universities | Diane Duffy
VP, Finance & Administration | <i>p. 37</i> |
| 2. Approve Allocation of FY 2015 Rehabilitation and Repair Appropriation – Systemwide | Eric King,
Director of Facilities | <i>p. 65</i> |
| 3. Adopt Bond Resolution –Construction of and improvements to Performing Arts Center, Indoor Events Center, and Overman Student Center; Redeem Temporary Notes Previously Issued for Improvements to the Housing System; and Economic Refundings of Prior Debt – PSU | Julene Miller,
General Counsel | <i>p. 77</i> |
| 4. Act on Naming of Building – KU | Chancellor Gray-Little | <i>p. 83</i> |
| <i>D. Governance</i> | Regent Logan | |
| 1. Amend Existing Board Policy to Include Language Regarding Improper Use of Social Media | Julene Miller,
General Counsel | <i>p. 84</i> |
| <i>E. Other Matters</i> | | |
| 1. Act on the Fort Hays State University Presidential Profile | Andy Tompkins,
President and CEO | <i>p. 87</i> |

Thursday, December 19, 2013

VI. Introductions and Reports

A. Introductions

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|--|-------------------|
| <i>B. Report from System Council of Presidents</i> | President Heilman |
|--|-------------------|

VII. Approval of Consent Agenda

A. Academic Affairs

- | | | |
|--|---|--------------|
| 1. Act on Requests for Additional Degree Granting Authority for: | Gary Alexander,
VP, Academic Affairs | <i>p. 88</i> |
| a) Columbia College | | |
| b) University of Maryland University College | | |
| c) Wright Career College | | |
| d) University of Cincinnati | | |

VIII. Consideration of Discussion Agenda*A. Presentation*

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|---|--|-------|
| 1. Receive Update on Engineering Initiative | Blake Flanders,
VP, Workforce Development | p. 91 |
|---|--|-------|

B. Academic Affairs

- | | | |
|--|---|-------|
| 1. Act on Request for New Degree Granting Authority for Oregon State University | Regent Moran
Gary Alexander,
VP, Academic Affairs | p. 92 |
| 2. Appoint Three Members to the Kansas Private Postsecondary Advisory Commission | | p. 94 |
| 3. Act on Request for Approval of Kansas System-Wide Transfer Appeals Process | | p. 96 |
| 4. Receive Recommendation on the State Authorization Reciprocity Agreement (SARA) from the Board Academic Affairs Standing Committee | | p. 99 |

C. Other Matters

- | | | |
|-------------------------------|--|-------|
| 1. Receive Legislative Update | Mary Jane Stankiewicz,
Director, Government
Relations & Communications | p. 99 |
|-------------------------------|--|-------|

IX. Executive Session

Board of Regents – Personnel Matters Relating to Non-Elected Personnel

X. Adjournment

MINUTES OF PREVIOUS MEETING(S)

I. Call To Order

Regent Logan, Chair

A. Approve Minutes

KANSAS BOARD OF REGENTS

MINUTES

November 20-21, 2013

The November 20, 2013, meeting of the Kansas Board of Regents was called to order by Chairman Fred Logan at 1:30 p.m. The meeting was held at the University of Kansas Medical Center in the School of Nursing Building at 3901 Rainbow Boulevard, Kansas City, Kansas 66160. Proper notice was given according to law.

MEMBERS PRESENT:

Fred Logan, Chairman
Kenny Wilk, Vice Chairman
Shane Bangerter
Ann Brandau-Murguia
Mildred Edwards
Tim Emert
Ed McKechnie
Robba Moran
Helen Van Etten

WELCOME

Regent Murguia welcomed everyone to Kansas City, Kansas and the University of Kansas Medical Center campus. Regent Logan announced that President Hammond will be retiring at the end of this academic year, and each Regent thanked him for his 27 years of service.

APPROVAL OF MINUTES

Regent Edwards moved that the minutes of the October 16-17, 2013 meeting be approved. Following the second of Regent Moran, the motion carried.

INTRODUCTIONS

Dr. Girod introduced the University of Kansas Medical Center's new Vice Chancellor for Academic Affairs, Dr. Robert Klein. Dr. David Cordle, Emporia State University's Vice President for Academic Affairs, announced Fred Woodward has retired as Director of the University Press of Kansas. The Regents thanked him for his years of service.

GENERAL REPORTS

RECOGNITION

Regent Moran announced that Regent Bangerter was named Lawyer of the Year in the *Wichita Business Journal*.

REPORT FROM PRESIDENT AND CEO

President Tompkins thanked the University of Kansas Medical Center for hosting the Board meeting. He also reported Dr. Blake Flanders, Vice President of Workforce Development, presented testimony to the U.S. House of Representatives' Education and Workforce Committee regarding how to better prepare students for the jobs of tomorrow and how the Carl D. Perkins Career and Technical Education Act can support that effort.

REPORT FROM COUNCIL OF PRESIDENTS

President Shonrock presented the Council of Presidents' (COPS) report. The Council of Chief Academic Officers updated COPS on the current programs moving through the approval process and the Michael Tilford Conference, which was hosted by Emporia State University this year. The Council of Business Officers reported they are discussing and reviewing ways to make construction processes more efficient, and the Council of Government Relations Officers updated COPS on the legislative bus tour, which occurred at the end of October.

The Council of Presidents approved the University of Kansas' Master of Science in Geography and Pittsburg State University's Master of Science in Education. Both these programs will be forwarded to the Board for consideration. COPS discussed and approved proposed amendments to the Board's Academic Advising policy. This policy will be forwarded to the Governance Committee for consideration. President Shonrock also reported COPS re-established the Council of Student Affairs Officers.

President Hammond announced the Fort Hays State University classified employees voted by a margin of 187-44 to leave the state civil service system and become University Support Staff.

REPORT FROM COUNCIL OF FACULTY SENATE PRESIDENTS

The Council of Faculty Senate Presidents' report was presented by Sheryl Lidzy. The Council discussed the importance of having outcome assessments for concurrent enrollment. The Council of Faculty Senate Presidents also discussed CEO assessments and requested the Board consider allowing faculty and staff to participate in the assessment process. President Tompkins noted two years ago part of the Board's CEO assessment process included a 360 survey, which was a tool used to survey different constituent groups including faculty and staff. At that time, the consultant who analyzed the data recommended to the Board not to conduct a 360 survey every year because it dilutes the results. Instead, the consultant recommended conducting this type of survey every three to five years at the most. It was noted that evaluating the university CEOs is one of the most important jobs of the Board and the tool the Board uses is available for anyone to review.

REPORT FROM STUDENTS' ADVISORY COMMITTEE

Chris Roberts reported the Students' Advisory Committee discussed the students' higher education day at the Statehouse, which is scheduled for February 11, 2014. The Committee also held a retreat to discuss topics for their higher education day.

STANDING COMMITTEE AND OTHER REPORTS**ACADEMIC AFFAIRS**

Regent Moran presented the Board Academic Affairs Standing Committee report. The Committee received an update on teacher preparation from Emporia State University's Education Dean, Ken Weaver, and Washburn University's Director of Education, Donna Lalonde. The State Authorization Reciprocity Agreement (SARA) was discussed, and the Committee plans to bring a recommendation to the Board in December on whether the Board should move forward with statutory changes that would allow the State the option to join SARA. The Committee also received updates from staff on transfer and articulation and developmental education.

FISCAL AFFAIRS AND AUDIT

Regent McKechnie presented the Board Fiscal Affairs and Audit Standing Committee report. As part of its ongoing review of each university, the Committee reviewed Kansas State University's financial reporting structure. It also discussed changes to the capital budgeting process including the use of the Educational Building Fund (EBF).

GOVERNANCE

Regent Logan reported the Governance Committee met with the three research university CEOs to discuss the Board's goal on how the universities and the state of Kansas can best take advantage of the National Bio and Agro-Defense Facility (NBAF) at Kansas State University, National Cancer Institute (NCI) designation at the University of Kansas, and the Technology Park at Wichita State University. The research university CEOs will present their ideas to the Board at the January meeting and the regional university CEOs will present their ideas on other major initiatives at the February meeting. The Governance Committee also reviewed Emporia State University's and the University of Kansas' campus annual security updates.

APPROVAL OF CONSENT AGENDA

Regent Wilk moved, with the second of Regent Murguia, that the Consent Agenda be approved. The motion carried.

*Academic Affairs***MASTER OF SCIENCE IN GEOGRAPHY (CIP 45.0701) – KU**

The University of Kansas received approval to offer a Master of Science in Geography (CIP 45.0701). No new funding is required to offer the program.

MASTER OF SCIENCE IN EDUCATION (CIP 13.9999) – PSU

Pittsburg State University received approval to offer a Master of Science in Education (CIP 13.9999). No additional funding is required to offer the program.

*Fiscal Affairs & Audit***REPLACEMENT OF OBSOLETE MECHANICAL/ELECTRICAL EQUIPMENT AT WATKINS HEALTH CENTER – KU**

The University of Kansas received authorization to replace obsolete mechanical and electrical equipment at the Watkins Health Center. The estimated cost of the project is \$1,449,500, which will be funded from the Health Service student fee fund.

INSTALLATION OF A NEW FIRE SPRINKLER SYSTEM AT OLIVER HALL – KU

The University of Kansas received approval to install a wet fire sprinkler system throughout Oliver Hall. The estimated cost of the project is \$1,160,000. It will be funded with Housing Rehabilitation and Repair funds.

OWNERSHIP OF PROPERTY FROM KSU FOUNDATION – KSU

Kansas State University received authorization to accept ownership of property located at 1930 College Heights, Manhattan, Kansas from the KSU Foundation. The property is being utilized by Housing and Dining Services for additional housing of students. The University will not seek any state appropriations to finance maintenance of the building.

BREAK

The Chairman called for a short break at 2:10 p.m. and resumed the meeting at 2:20 p.m.

CONSIDERATION OF DISCUSSION AGENDA**Presentation****UPDATE ON PROGRESS AT THE MEDICAL CENTER AND CANCER CENTER**

Chancellor Gray-Little introduced Dr. Girod, Executive Vice Chancellor at KUMC; Jeff Reese, Chief Operations Officer at the Cancer Center; and Ryan Spaulding, Director of the Center for Telemedicine and Telehealth. Dr. Girod presented a progress report on the University of Kansas Medical Center (KUMC). The mission of KUMC is to serve Kansas through excellence in education, research, patient care, and community engagement. Dr. Girod stated when he was hired he set the following three goals for the Medical Center: 1) expand the 21st century health care workforce for Kansas, 2) become a top 25 medical center in the *U.S. News & World Report*, and 3) be recognized as a national leader in biomedical research. Dr. Girod reported in Kansas and nationally, there is a physician shortage. To combat the shortage, KUMC has expanded its first-year medical school class size from 175 students in 2010 to 211 students in 2012. However, with reduced state funding it will be difficult to continue to expand class size and reach the Medical Center's goal of 264 students. Dr. Girod also spoke about the importance of updating its health education building. KUMC's current building does not accommodate modern curriculum and does not have the capacity to expand class size.

Jeff Reese presented an update on the University of Kansas Cancer Center. Mr. Reese reviewed some of the Cancer Center's achievements including growing its National Cancer Institute (NCI) funding, moving up in *U.S. News & World Report* ranking from 37 to 27, and expanding the number of clinical trials across Kansas, which is up by 40% in 2013. The Cancer Center has expanded its Midwest Cancer Alliance (MCA). MCA links the University of Kansas Cancer Center research and services with member hospitals, medical professionals and patients so that the latest cancer research and care can be found close to the patient's home. Mr. Reese stated the next step for the Cancer Center is to achieve NCI Comprehensive Cancer Center status. In order to be awarded NCI Comprehensive status, the Cancer Center must demonstrate a wider, deeper, and larger research effort.

Ryan Spaulding reported on the Medical Center's clinical outreach through telemedicine. The Kansas telehealth network has accessed more than 100 sites throughout the state and over 4,000 clinical patient consultations have occurred over telemedicine. Telemedicine also provides educational programs for physicians and nurses via interactive televideo.

(PowerPoint filed with Official Minutes)

Fiscal Affairs and Audit**CAPITAL IMPROVEMENT REQUESTS FOR FY 2015 AND FIVE-YEAR PLANS – UNIVERSITY SYSTEM**

Eric King, Director of Facilities, stated the Board approved the FY 2015 Capital Improvement Request and Five-Year Plans at the May, 2013 meeting. In the past, the Five-Year Capital Budget Plan has only included projects that had a known funding source or had a reasonable expectation of funding. This meant not all five-years in the Five-Year Plan contained projects. However, on October 3, the Board office was informed that the Joint Committee on State Building Construction wants every state agency to list projects in all five years even if the funding source is unknown or speculative. The universities resubmitted their plans to include projects for all five-years. Following discussion, Regent McKechnie moved to approve the Capital Improvement Requests for FY 2015 and the Five-Year Plan. Regent Wilk seconded, and the motion carried. The FY 2015 requests and Five-Year Plan will be presented to the Joint Committee on State Building Construction on November 21, 2013.

FY 2015 HOUSING AND FOOD SERVICE RATE ADJUSTMENT PROPOSALS SUBMITTED BY STATE UNIVERSITIES (FIRST READING)

Proposed rates for housing and food service for FY 2015 were presented by Vice President Duffy. The Board asked President Bardo to explain how Wichita State University came up with its proposed rate for its new housing facility. President Bardo stated that among other factors they reviewed rates for similarly configured facilities across the nation and WSU's proposed rate is comparable. The Board will act on the proposed rate adjustments at its December meeting.

BREAK

The Chairman called for a break at 3:50 p.m. and resumed the meeting at 4:05 p.m.

EPSCoR PROPOSALS

Blake Flanders, Vice President for Workforce Development, presented the proposed EPSCoR proposals. The EPSCoR Program Review Committee recommends funding for the following projects:

- 1) For the Center for Environmentally Beneficial Catalysis (CEBC) at the University of Kansas, the Committee recommends \$184,325 for FY 2014. This award provides 15:1 leverage for state funds (federal:state).
- 2) For the Kansas INBRE (K-INBRE) at the University of Kansas Medical Center, the Committee recommends \$75,000 for FY 2014. This award provides 46:1 leverage for state funds (federal:state).

Regent Emert moved to approve the recommendations. Following the second of Regent Moran, the motion carried.

NAMING OF BUILDING – WSU

President Bardo presented Wichita State University's recommendation to name the new residence hall facility Shocker Hall. Regent Edwards moved to approve, and Regent Murguia seconded. The motion carried.

GovernancePRESIDENT HAMMOND'S RETIREMENT

Regent Wilk moved to ratify acceptance of President Hammond's request to step down as President of Fort Hays State University effective June 30, 2014; his agreement to assist in transitioning the next president of Fort Hays State University by providing consulting services during the period of July 1, 2014 through June 30, 2015; in recognition of his 27 years of service to the University and this State, his request for a 12 month sabbatical leave from July 1, 2015 through June 30, 2016; and his desire to enter phased retirement as a faculty member. The Board asked President Hammond to work with President Tompkins to finalize the details of his transition. Regent Moran seconded. The motion carried. Regent Wilk stated the Board is grateful for the leadership President Hammond has provided and expressed their sincere appreciation for the contributions he has made not only to Fort Hays State University, but to the entire higher education system in the state.

FORT HAYS STATE UNIVERSITY PRESIDENTIAL SEARCH COMMITTEE MEMBERS, SEARCH COMMITTEE CONSULTANT, AND SEARCH COMMITTEE CHARGE

President Tompkins presented a list of proposed members for the Fort Hays State University Presidential Search Committee, a proposed Search Committee Charge, and a recommendation to hire the Association of Governing Boards (AGB) as the Search Committee Consultant. Regent Wilk moved to approve the members, charge, and consultant as submitted. Regent Emert seconded and the motion carried.

(Committee Members and Charge filed with Official Minutes)

EXECUTIVE SESSION

At 4:30 p.m., Regent Wilk moved, followed by the second of Regent Emert, to recess into executive session for 45 minutes to discuss matters deemed confidential in the attorney-client relationship. Participating in the executive session were members of the Board, President Tompkins, and General Counsel Julene Miller. At 5:15 p.m., the meeting returned to open session. Regent Wilk moved to extend 10 minutes. Regent Emert seconded, and the motion carried. At 5:25 p.m., the meeting returned to open session.

RECESS

The Chairman recessed the meeting at 5:25 p.m.

RECONVENE

The Chairman reconvened the meeting at 9:15 a.m. on Thursday, November 21. The meeting was held at the Kansas State University Olathe Campus located at 22201 W. Innovation Drive, Olathe, Kansas, 66061.

MEMBERS PRESENT:

Fred Logan, Chairman
Kenny Wilk, Vice Chairman
Shane Bangerter
Ann Brandau-Murguia
Mildred Edwards
Tim Emert
Ed McKechnie
Robba Moran
Helen Van Etten

INTRODUCTIONS

President Schulz welcomed everyone to the K-State Olathe campus and announced Kansas State University's English Professor and University Distinguished Teaching Scholar, Gregory Eiselein, was named the 2013 Kansas Professor of the Year. President Schulz also introduced K-State Olathe's new CEO and Vice Provost, Prema Arasu.

REPORTS

REPORT FROM SYSTEM COUNCIL OF PRESIDENTS

President Tatro presented the System Council of Presidents' report. The Council received a report from the System Council of Chief Academic Officers (SCOCAO). SCOCAO gave an update on student learning outcomes, transfer and articulation, developmental education task force, and the State Authorization Reciprocity Agreement (SARA). The Presidents also discussed and approved the proposed changes to the Board's Concurrent Enrollment policy. These amendments will be forwarded to the Governance Committee for consideration. Additionally, the Council reviewed a draft reverse transfer agreement procedure. The Council will continue their discussion of reverse transfer at its December meeting.

APPROVAL OF CONSENT AGENDA

Regent Moran moved, with the second of Regent Bangerter, that the Consent Agenda be approved. The motion carried.

Academic Affairs

ADDITIONAL DEGREE GRANTING AUTHORITY FOR VATTEROTT COLLEGE – SUNSET HILLS, SPARTAN COLLEGE OF AERONAUTICS AND TECHNOLOGY, RASMUSSEN COLLEGE, UNIVERSITY OF SOUTH DAKOTA, AND UNIVERSITY OF NEBRASKA

Vatterott College – Sunset Hills received approval to offer the following degrees: 1) Associate of Occupational Studies in Pharmacy Technician; 2) Associate of Occupational Studies in Paralegal, and 3) Associate of Occupational Studies in Information Systems Security.

Spartan College of Aeronautics and Technology received approval to offer an Associate of Applied Science in Aviation Flight.

Approval was given to Rasmussen College to offer an Associate of Science in Professional Nursing.

The University of South Dakota received approval to offer a Master of Arts in Counseling and Psychology.

The University of Nebraska received approval to for additional degrees at its campuses in Kearney, Lincoln, and Medical Center. The following degrees will be offered at the noted locations:

University of Nebraska at Kearney:

- 1) Bachelor of Arts in Early Childhood Unified
- 2) Bachelor of Science in Business Administration
- 3) Bachelor of Science in Criminal Justice
- 4) Bachelor of Science in Organizational and Relational Communication
- 5) Bachelor of Science in Sociology
- 6) Master of Education in Art Education
- 7) Master of Education in Instructional Technology
- 8) Master of Education in Music Education
- 9) Master of Education in Reading
- 10) Master of Education in School Principalship
- 11) Master of Science in Biology

University of Nebraska at Lincoln:

- 1) Bachelor of Science in Applied Science
- 2) Master of Arts in Journalism & Mass Communications
- 3) Master of Business Administration
- 4) Master of Education in Educational Administration
- 5) Master of Engineering in Engineering Management
- 6) Master of Laws in Space, Cyber and Telecommunications Law
- 7) Master of Science in Agronomy
- 8) Master of Science in Architecture
- 9) Doctorate of Education in Educational Administration P-12

University of Nebraska Medical Center:

- 1) Bachelor of Science in Nursing (RN-BSN)
- 2) Master of Science in Physician Assistant Studies

CONSIDERATION OF DISCUSSION AGENDA**Presentations****UPDATE ON K-STATE OLATHE**

Prema Arasu, CEO and Vice Provost of K-State Olathe, presented a progress report on the K-State Olathe campus. Dr. Arasu has been on the job for two months and is taking time to gather information to set goals and priorities for the campus. One of her goals is to grow the number of graduate students on K-State Olathe's campus. Currently, there are 70 graduate students on campus and by this time next year she wants to see that number grow to 200. She reported the campus has 10 research labs and 9 of them are filled. She also noted the labs can be reconfigured to accommodate more researchers. Additionally, Dr. Arasu spoke about expanding partnerships with business and industry and other educational institutions.

COMMUNITY COLLEGE REPORT

President Inbody, Neosho County Community College, presented the community college report focusing on developmental education in Kansas. One of the community colleges' missions is to serve all segments of society through an open-access admissions policy that offers equal and fair treatment to all students. With the open-access admissions policy, many students attending 2-year colleges are nontraditional and require some remediation. He noted 41% of freshmen entering a 2-year college and 18% of freshman entering a 4-year college enrolled in at least one developmental course – this data is based a 2008 cohort. President Inbody also presented some general observations based on 2011 data. The number of first time students requiring developmental courses seemed high and the Board asked staff to review the data on that slide (note: staff confirmed the number was incorrect and provided the Board with the correct number). It was also noted that developmental education is a crucial part of the Board's Foresight 2020 strategic Goal 1 – Increase Higher Education Attainment Among Kansas.

(PowerPoint filed with Official Minutes)

BREAK

The Chairman called for a break at 10:20 a.m. and resumed the meeting at 10:35 a.m.

Academic Affairs**NEW DEGREE GRANTING AUTHORITY FOR EXCELSIOR COLLEGE AND UNIVERSITY OF CINCINNATI**

Vice President Alexander introduced a request for new degree granting authority for Excelsior College and the University of Cincinnati. Regent Moran moved to approve, and Regent Edwards seconded. The motion carried. The following degrees were approved:

Excelsior College:

- 1) Associate in Applied Science in Nursing
- 2) Associate in Applied Science in Administrative/Management Studies
- 3) Associate in Applied Science in Technical Studies
- 4) Associate in Arts in Liberal Arts
- 5) Associate in Science in Business
- 6) Associate in Science in Liberal Arts
- 7) Associate in Science in Nuclear Technology
- 8) Associate in Science in Nursing
- 9) Associate in Science in Technology
- 10) Bachelor of Arts in History
- 11) Bachelor of Arts in Humanities

- 12) Bachelor of Arts in Liberal Arts
- 13) Bachelor of Arts in Psychology
- 14) Bachelor of Arts in Sociology
- 15) Bachelor of Professional Studies in Business and Management
- 16) Bachelor of Professional Studies in Technology Management
- 17) Bachelor of Science in Accounting
- 18) Bachelor of Science in Business
- 19) Bachelor of Science in Criminal Justice
- 20) Bachelor of Science in Electrical Engineering Technology
- 21) Bachelor of Science in Health Care Management
- 22) Bachelor of Science in Health Sciences
- 23) Bachelor of Science in History
- 24) Bachelor of Science in Information Technology
- 25) Bachelor of Science in Liberal Arts
- 26) Bachelor of Science in Military Studies
- 27) Bachelor of Science in Natural Sciences
- 28) Bachelor of Science in Nuclear Engineering Technology
- 29) Bachelor of Science in Nursing (RN-BSN)
- 30) Bachelor of Science in Psychology
- 31) Bachelor of Science in Social Science
- 32) Bachelor of Science in Sociology
- 33) Bachelor of Science in Technology
- 34) Master of Arts in Liberal Studies
- 35) Master of Business Administration
- 36) Master of Science in Criminal Justice
- 37) Master of Science in Cybersecurity
- 38) Master of Science in Health Sciences
- 39) Master of Science in Nursing

University of Cincinnati:

- 1) Associate of Applied Science in Early Childhood Care and Education
- 2) Bachelor of Science in Early Childhood Education
- 3) Bachelor of Science in Medical Laboratory Science
- 4) Bachelor of Science in Sign Language Interpreting
- 5) Master of Education in Curriculum and Instruction
- 6) Master of Education in Educational Leadership
- 7) Master of Education in Literacy and Second Language Studies
- 8) Master of Science in Nursing

Other Matters

LEGISLATIVE UPDATE

Mary Jane Stankiewicz, Director of Government Relations and Communications, presented a legislative update. The Higher Education Legislative Bus Tour occurred at the end of October. Ms. Stankiewicz stated each campus hosted approximately 45-55 legislators and staff. She believes the tour was beneficial for both the legislators and the universities. Some of the common questions that were raised by the legislators revolved around graduation rates, performance metrics/outcomes, tuition rates, and student debt.

REPORT FROM KANSAS POSTSECONDARY TECHNICAL EDUCATION AUTHORITY

Vice President Flanders reported the Kansas Postsecondary Technical Education Authority discussed the future of technical education and how it will be delivered. The TEA also discussed sharing the 2-year colleges'

information systems. Some of the colleges do not have the staff to maintain systems and a single shared system could be more efficient. Staff also updated the Authority on the workforce partnership with the Kansas Department of Commerce, the Employer Engagement Initiative, and the status of Outcome Metrics.

APPOINTMENTS TO THE KANSAS POSTSECONDARY TECHNICAL EDUCATION AUTHORITY

Vice President Flanders stated the Board approved a process for appointing members to the Kansas Postsecondary Technical Education Authority (TEA) in 2010. Sheila Frahm currently represents the community colleges on the TEA. In accordance with the Board's process, her term will expire on December 31, 2013, and she is not eligible for reappointment. The technical college representative on the TEA is Steve Kearney. His term also expires on December 31, 2013, but he is eligible for reappointment for one more term. Vice President Flanders stated the community colleges recommend appointing Dr. Jacque Vietti as their representative, and the technical colleges recommend reappointing Steve Kearney as their representative. Regent Emert moved to appoint Dr. Vietti and Mr. Kearney to the TEA. Regent Bangerter seconded, and the motion carried. Both appointments will begin on January 1, 2014 and expire on December 31, 2016. The Board thanked Sheila Frahm for her service.

ADJOURNMENT

The Chairman adjourned the meeting at 11:10 a.m.

Andy Tompkins, President and CEO

Fred Logan, Chair

KANSAS BOARD OF REGENTS
MINUTES OF SPECIAL MEETING
December 6, 2013

The Kansas Board of Regents met by telephone conference call on Friday, December 6, 2013. Chairman Fred Logan called the meeting to order at 12:15 p.m. Proper notice was given according to law.

MEMBERS PRESENT: Fred Logan, Chairman
Kenny Wilk, Vice Chairman
Shane Bangerter
Ann Brandau-Murguia
Mildred Edwards
Ed McKechnie
Helen Van Etten

MEMBERS ABSENT: Tim Emert
Robba Moran

EXECUTIVE SESSION

At 12:15 p.m., Regent Van Etten moved, followed by the second of Regent McKechnie, to recess into executive session for 20 minutes to discuss matters deemed confidential in the attorney-client relationship. Participating in the executive session were members of the Board, President Tompkins, and General Counsel Julene Miller. At 12:35 p.m., the meeting returned to open session.

ADJOURNMENT

Regent Van Etten moved to adjourn at 12:36 p.m., and Regent Edwards seconded. The motion carried.

Andy Tompkins, President and CEO

Fred Logan, Chair

CONSENT AGENDA

II. Introductions and Reports

- | | |
|--|--------------------------------|
| A. <i>Introductions</i> | |
| B. <i>Report from the Chair</i> | Regent Logan, Chair |
| C. <i>Report from the President & CEO</i> | Andy Tompkins, President & CEO |
| D. <i>Report from Council of Presidents</i> | President Shonrock |
| E. <i>Report from Council of Faculty Senate Presidents</i> | Sheryl Lidzy |
| F. <i>Report from Students' Advisory Committee</i> | Chris Roberts |

III. Standing Committee Reports

- | | |
|--------------------------------------|------------------|
| A. <i>Academic Affairs</i> | Regent Moran |
| B. <i>Fiscal Affairs & Audit</i> | Regent McKechnie |
| C. <i>Governance</i> | Regent Logan |

IV. Approval of Consent Agenda

- | | |
|--|--|
| A. <i>Fiscal Affairs & Audit</i> | |
| 1. Authorize Window/Door Replacement for Oliver Hall – KU | Eric King,
Director of Facilities |

The University requests authorization to replace all of Oliver Hall's exterior windows, a storefront door system, and an exterior door that have exceeded their useful life. The estimated cost of the project is \$721,000 and will be funded with Housing System Repairs, Equipment & Improvement funds.

2. Authorize the Installation of a Specialized Energy Control System – KUMC

The University of Kansas Medical Center engaged Siemens Corporation to investigate options for reducing energy costs on the Kansas City campus. Siemens has recommended installation of a specialized control system that will optimize the central chilled water plant and chilled water distribution system across the campus. The technology solution is called Demand Flow™ which is a patent-pending chilled water optimization method that uses coordinated engineering control strategies in order to produce energy savings of a high order of magnitude as compared to other common control strategies. This project will cost approximately \$2.4 million and will reduce total energy consumption resulting in an attractive payback of only 4.6 years. The project will be financed through the Kansas Development Finance Authority (KDFA) for a term not to exceed seven years.

3. Amend FY 2014 Capital Improvements and Approve Revised Program Statement for Wiest Hall Replacement Project – FHSU

Fort Hays State University requests permission to amend its FY 2014 capital improvements request for replacement of Wiest Hall. The most recent request was for a project of 405 beds for an estimated \$26 million plus. As a part of the master planning process and given projected demand for on-campus housing, FHSU is now proposing a project of up to 497 beds at an estimated cost of \$37 million or approximately \$74,400 per bed. The increase in estimated cost is due to a change in the type of room to be developed, single bed rooms instead of double occupancy rooms, and the addition of scholarship/international/themed housing to the plan. The changes are a result of student surveys outlining a preference in single bed rooms and the need for scholarship/international/themed housing.

As a result of the scope change including the overall cost of the site development, the university now plans to borrow approximately \$20 million to complete the project. Plans include an amortization schedule of twenty years. Preliminary discussions have taken place with Kansas Development Finance Authority. FHSU Housing is seeking approval from the board of its plan to bond a portion of the project.

Associated with the request to amend the capital improvements, the university seeks approval of the revised program statement. The plan originally detailed a project of approximately \$26 million to construct up to 405 beds replacing Wiest Hall. The plans have now changed and been expanded to include scholarship/international/themed housing in addition to changing from double occupancy rooms to single bed rooms increasing the total number of beds for the project to 497. The plans also include development of a dining facility on site. As a result of the change from double occupancy rooms to single bed rooms total square feet of the facility increased by 8.5%. This increase plus the addition of 92 beds in “themed” housing results in the budget increase to \$37 million.

The program plan now details single bed rooms with semi private restroom facilities located within learning communities of 26 to 38 students. Learning communities are groups of students (26 to 38) with common degree aspirations placed together within the housing facility to accommodate retention and degree completion. In addition to the single bed rooms, each community will have a resident assistant (RA) room to support community function and supervision.

Total costs include site preparation, architectural fees, construction, moveable equipment, and contingencies. The goal is to have the facility open for the fall semester of 2016.

DISCUSSION AGENDA

V. Consideration of Discussion Agenda

A. Presentations

1. Receive Update on the Kansas Bioscience Authority Jerry Boettcher

Summary

The Kansas Bioscience Authority (KBA) was created by the Kansas Legislature in 2004 through legislation referred to as the Kansas Economic Growth Act. The purpose of the authority was to advance the state's leadership in bioscience. The KBA is governed by an 11 person board of directors of which the Board of Regents has two ex-officio appointments. Those are former Regent Jerry Boettcher and current Regent Kenny Wilk. The authority works with partners statewide to pursue specific bioscience growth opportunities in the areas of a) Ag and bio-based products, b) animal health, c) bio energy, and d) human health. Jerry Boettcher has graciously agreed to review the progress of the KBA and its plans for the future. Regent Wilk will also share his perspectives.

2. Receive Update on K-State 2025

President Schulz

Summary

During our recent campus visit to Kansas State University, President Schulz and his staff regularly referenced K-State 2025, the university's visionary goal to be recognized as one of the nation's top 50 public research universities by the year 2025. Included in the binder for the visit was a report on K-State 2025. President Schulz will give you an update on the progress being made on this initiative.

B. Academic Affairs

Regent Moran

1. Act on Approval for Continuance of Dr. Juergen A. Richt as Regents Distinguished Professor – KSU**Gary Alexander,
VP, Academic Affairs****Summary and Recommendations**

Board policy establishes the criteria for comprehensive performance evaluation of Distinguished Professors. The evaluation of the professor takes place every five years and the evaluation includes evidence of the professor's professional activities and contributions to the State's economic development. Kansas State University has requested Dr. Juergen Richt continue to serve as a Regents Distinguished Professor and staff recommend approval.

Background

In FY 1964 the Kansas Legislature appropriated funds to the Kansas Board of Regents to be used for the Regents Distinguished Professorship. The purpose of the program is to attract the best and brightest established faculty scholars to Kansas which would ultimately benefit the economic and industrial development of the state as a whole.

Board policy establishes the criteria for nomination as a Distinguished Professor as well as the reporting requirements. A comprehensive performance evaluation of the professor takes place every five years and the evaluation includes evidence of the professor's professional activities and contributions to the State's economic development. Commentary from peer evaluators on the professor's academic work may be included, though those evaluators cannot be connected with the Kansas Regents system.

The University of Kansas, Kansas State University, and Wichita State University each have one distinguished professorship position. The Legislature annually appropriates approximately \$68,000 to fund this program.

Request

Dr. Juergen Richt began serving as a Regents Distinguished Professor in August, 2008, upon his appointment to the K-State faculty. In addition to serving as a Regents Distinguished Professor, he was named a Kansas Eminent Scholar in Animal Health by the Kansas Bioscience Authority (KBA). Dr. Richt is also the Director for the Department of Homeland Security's Center of Excellence for Emerging and Zoonotic Animal Diseases (CEEZAD).

Since his arrival at K-State, Dr. Richt has been awarded more than \$20 million in research funding. Funding has come from the KBA which awarded Kansas State University more than \$6 million to support Dr. Richt's research efforts and \$5 million from the Department of Homeland Security to support CEEZAD. The funding has allowed Dr. Richt to establish a research group that comprises 23 positions.

Dr. Richt is actively involved in mitigating viruses. Recent research focuses on the newly emerged avian influenza virus H7N9 and the Rift Valley Fever (RVF) virus. He has developed an efficacious vaccine against H7N9 in avian species. The vaccine can also be used in mammals. His research with the RVF virus is also important, as this is a disease that affects both animals and humans and is considered a bio-threat agent to humans because no vaccine exists to protect against the disease. Within the last two years Dr. Richt and his team have developed a safe, efficacious RVF vaccine. This new technology has been submitted as a provisional patent and licensed to Medgene, Inc. Other private industry groups are seeking ways to collaborate with Dr. Richt to conduct applied research that will lead to new vaccines and animal and human health products for the marketplace.

Dr. Richt has published more than 150 peer-reviewed articles, 80 of which were published since 2008. He has edited four books, authored numerous book articles, has presented more than 30 invited lectures and institutional

seminars, and has served on a number of National Institutes of Health and United States Department of Agriculture panels and has submitted two provisional patents.

Dr. Richt received letters of support from peer evaluators at Washington State University, the National Institute of Allergy and Infectious Diseases, St. Jude Children's Research Hospital, the United States Department of Agriculture and North Carolina State University.

Recommendation

Staff recommend Professor Juergen A. Richt continue as a Regents Distinguished Professor.

2. Receive Report on the Study of Retention and Graduation Rates of Students Admitted as Exceptions

Summary

This report was prepared in response to the requirements of K.S.A. 76-717a, adopted by the Kansas Legislature during its 2012 Session and requiring the Kansas Board of Regents to “conduct a study regarding the retention and graduation rates of students who are accepted into state universities through the exceptions to the minimum admission standards prescribed in K.S.A. 76-717.”

Background

During the 2012 Session, the Legislature adopted K.S.A. 76-717a to require the Board of Regents to “conduct a study regarding the retention and graduation rates of students who are accepted into state universities through the exceptions to the minimum admission standards prescribed in K.S.A. 76-717, . . . and report the findings of such study to the committee on education of the house of representatives and the committee on education of the senate on or before January 13, 2014.”

The report is in addition to the annual reporting requirements of K.S.A. 76-717. This statute requires the Board to annually submit to the Legislature information on the number and percentage of freshmen class admissions permitted as exceptions to the minimum admissions standards and the number and percentage of transfer student admissions permitted as exceptions to the minimum admissions standards. An addendum to this report details admission criteria for state universities, which are detailed in K.S.A. 76-717.

Executive Summary

In September 2010, the Kansas Board of Regents (KBOR) adopted *Foresight 2020*, a 10-year strategic agenda for the state’s public higher education system. The plan sets long-range achievement goals that are measurable, reportable, and ensure the state’s higher education system meets the needs of Kansans and Kansas. The three strategic goals of *Foresight 2020* are:

1. Increase Higher Education Attainment Among Kansans
2. Improve Alignment of the State's Higher Education System with the Needs of the Economy
3. Ensure State University Excellence

In order for Kansas to experience the full benefits of having a highly educated citizenry, it is imperative that students who enter the higher education system leave with a credential. Retention and graduation rates are traditional benchmarks in higher education, are of particular interest for the Kansas higher education system, and as such, are annually tracked and measured by KBOR as part of *Foresight 2020, Goal 1*.

Universities admit a small number of students as exceptions to the regular admission standards. To provide a basis for judging the success of these students admitted as exceptions, this study compares the retention and graduation rates of first-time, full-time, freshmen admitted as exceptions with those of first-time, full-time, freshmen who met admission standards for selected years. The study also compares the retention rates of transfer students admitted as exceptions with those of transfer students who met admission standards for selected years. Finally, the study compares the number of credit hours passed by degree-seeking freshmen who were admitted as exceptions, as compared to degree-seeking freshmen who met admission standards. The same comparisons are also made for transfer students.

It should be noted that when universities admit students as exceptions -- which is done at their discretion -- they may take into account such factors as the degree of difficulty of the applicant’s high school coursework; the applicant’s grade trend; the applicant’s academic potential; any outstanding talent in a particular area that the

applicant has demonstrated; the applicant's successful completion of advanced placement, international baccalaureate, and dual-credit coursework while in high school; if applicant is a first-generation postsecondary student; the applicant's personal challenges or family circumstances that have affected academic performance; and the applicant's eligibility for and likelihood of benefitting from organized support services available at the university.

Overall, relatively few students are admitted annually as exceptions to the minimum admission requirements and the number of students admitted as exceptions is fairly stable from year to year. Using Academic Year (AY) 2013 as an example, a total of 20,278 new freshmen and transfer students enrolled in AY 2013, 1,041, or 5%, of which were admitted as exceptions. Thus, of the newly admitted students who enrolled at state universities in AY 2013, 95% met admission standards, while only 5% did not. Furthermore, the 1,041 new students who enrolled in AY 2013 and did not meet admission requirements represent 1% of the total state university enrollment of 93,861 for the Fall of AY 2013.

On a different, but related topic, the 2012 Legislature amended the qualified admission statutes to require individual success plans for those students admitted as exceptions to the qualified admissions standards. The statute authorized use of such plans for the 2012-2013 and 2013-2014 academic years, but required them for the 2014-2015 academic year and beyond. The Board determined that the student success plan process should be initiated in the 2013-2014 academic year and amended the qualified admission regulations to so require the universities to do so. All universities now require student success plans for students admitted as exceptions.

Data show students admitted as exceptions have lower retention, graduation and course completion rates than do regular admits. This is not surprising, given that many, though not all, exceptions have gaps in their educational preparation. The requirement of student success plans is intended to improve the success of students admitted as exceptions.

Retention and Graduation Study

To provide a basis for judging the success of students admitted as exceptions to state universities, this study compares the retention and graduation rates of freshmen admitted as exceptions with those of freshmen who met admission standards for selected years. The study also compares the retention rates of transfer students admitted as exceptions with those of transfer students who met admission standards for selected years. Data is reported in the following five general categories:

1. Retention rates for resident and nonresident freshmen admitted as exceptions, as compared to retention rates of resident and nonresident freshmen who met admission standards;
2. Retention rates for resident and nonresident transfer students admitted as exceptions, as compared to retention rates of resident and nonresident transfer students who met admission; and
3. Graduation rates for resident and nonresident freshmen admitted as exceptions, as compared to graduation rates of resident and nonresident freshmen who met admission standards.
4. The number of credit hours passed by freshmen who were admitted as exceptions, as compared to freshmen who met admission standards; and
5. The number of credit hours passed by transfer students who were admitted as exceptions, as compared to transfer students who met admission standards

I. Retention Rates

A. Freshmen Retention Rates

1. Retention Rates for Freshmen Admitted As Exceptions

The resident freshmen exception window became effective with the entering freshman class of 2001. The data is comprised of first-time freshmen admitted to the institution who subsequently enrolled full-time. For resident freshmen, data remains fairly consist from year-to-year, and three years worth of data is reported below.

The nonresident freshmen exception window became effective July 2011 (Academic Year 2012). Therefore only one year of retention data is available to report.

Table 1 shows the retention rates for freshmen admitted as exceptions from Academic Year (AY) 2011-AY 2013. For the university system, retention rates for resident freshmen admitted as exceptions range from 50.6% to 56.0%. The one year of data available for nonresident freshmen admitted as exceptions shows that from AY 2012 to AY 2013 the retention rate was 57.1%

Table 1: Retention Rates of Freshmen Exceptions AY 2011 – AY 2013

Freshmen Exceptions who Enrolled AY 2010 and were Retained AY 2011						
Institution	Resident			NonResident		
	EXCP Cohort	# EXCP Retained	% EXCP Retained	EXCP Cohort	# EXCP Retained	% EXCP Retained
Emporia State University	99	61	61.6%			
Fort Hays State University	79	43	54.4%			
Kansas State University	125	73	58.4%			
Pittsburg State University	79	40	50.6%			
University Of Kansas	51	26	51.0%			
Wichita State University	24	13	54.2%			
System Total	457	256	56.0%			

Freshmen Exceptions who Enrolled AY 2011 and were Retained AY 2012						
Institution	Resident			NonResident		
	EXCP Cohort	# EXCP Retained	% EXCP Retained	EXCP Cohort	# EXCP Retained	% EXCP Retained
Emporia State University	76	40	52.6%			
Fort Hays State University	79	29	36.7%			
Kansas State University	143	81	56.6%			
Pittsburg State University	92	49	53.3%			
University Of Kansas	27	16	59.3%			
Wichita State University	30	11	36.7%			
System Total	447	226	50.6%			

Freshmen Exceptions who Enrolled AY 2012 and were Retained AY 2013						
Institution	Resident			NonResident		
	EXCP Cohort	# EXCP Retained	% EXCP Retained	EXCP Cohort	# EXCP Retained	% EXCP Retained
Emporia State University	85	45	52.9%	9	6	66.7%
Fort Hays State University	77	33	42.9%	43	19	44.2%
Kansas State University	181	116	64.1%	91	47	51.6%
Pittsburg State University	85	48	56.5%	54	27	50.0%
University Of Kansas	37	24	64.9%	55	44	80.0%
Wichita State University	23	5	21.7%	7	5	71.4%
System Total	488	271	55.5%	259	148	57.1%

2. Retention Rates for Freshmen Who Met Admission Standards

Table 2 shows the retention rates for freshmen who met admission requirements for AY 2011 through AY 2013.

Retention rates across the university system for first-time, full-time resident freshmen students who met admissions standards range from 79.8% to 80.1%. Retention rates for first-time, full-time, nonresident freshmen who met admission standards are slightly lower and range from 75.3% to 76.9%. Students who met admissions standards are labeled “QA Cohort” in Table 2, below.

Table 2: Retention Rates of Freshmen Meeting Admission Requirements AY 2011 – AY 2013

Freshmen Who Met Standards and Enrolled AY 2010 and were Retained AY 2011						
Institution	Resident			NonResident		
	QA Cohort	# QA Retained	% QA Retained	QA Cohort	# QA Retained	% QA Retained
Emporia State University	129	98	76.0%	17	14	82.4%
Fort Hays State University	572	440	76.9%	117	79	67.5%
Kansas State University	2583	2162	83.7%	467	375	80.3%
Pittsburg State University	610	471	77.2%	234	162	69.2%
University Of Kansas	2717	2191	80.6%	939	743	79.1%
Wichita State University	998	710	71.1%	77	49	63.6%
System Total	7609	6072	79.8%	1851	1422	76.8%

Freshmen Who Met Standards and Enrolled AY 2011 and were Retained AY 2012						
Institution	Resident			NonResident		
	QA Cohort	# QA Retained	% QA Retained	QA Cohort	# QA Retained	% QA Retained
Emporia State University	131	89	67.9%	14	8	57.1%
Fort Hays State University	614	426	69.4%	151	95	62.9%
Kansas State University	2600	2201	84.7%	490	381	77.8%
Pittsburg State University	669	527	78.8%	247	183	74.1%
University Of Kansas	2538	2067	81.4%	904	724	80.1%
Wichita State University	1095	814	74.3%	65	48	73.8%
System Total	7647	6124	80.1%	1871	1439	76.9%

Freshmen Who Met Standards and Enrolled AY 2012 and were Retained AY 2013						
Institution	Resident			NonResident		
	QA Cohort	# QA Retained	% QA Retained	QA Cohort	# QA Retained	% QA Retained
Emporia State University	430	325	75.6%	45	35	77.8%
Fort Hays State University	631	488	77.3%	173	118	68.2%
Kansas State University	2675	2254	84.3%	494	371	75.1%
Pittsburg State University	709	547	77.2%	278	197	70.9%
University Of Kansas	2456	1984	80.8%	958	745	77.8%
Wichita State University	1118	805	72.0%	67	51	76.1%
System Total	8019	6403	79.8%	2015	1517	75.3%

B. Retention Rates for Transfer Students

The resident and nonresident transfer student exception windows became effective in November 2009, so AY 2011 is the first full year institutions admitted students through this window. Retention rates for transfer students admitted in AY 2011 and retained in AY 2012 and for transfer students admitted in AY 2012 and retained in AY 2013 are reported below.

1. Retention Rates for Transfer Students Admitted As Exceptions

Table 3 shows the retention rates for transfer students admitted as exceptions in AY 2011 and AY 2012. For the state university system, resident transfer students admitted as exceptions were retained at rates ranging from 53.2% to 54.7%. Retention rates for nonresident transfer students admitted as exceptions are slightly higher and range from 58.4% to 58.7%.

Table 3: Retention Rates of Transfer Students Admitted as Exceptions

Transfer Exceptions Enrolled AY 2011 and Retained AY 2012						
Institution	Resident			NonResident		
	EXCP Cohort	# EXCP Retained	%EXCP Retained	EXCP Cohort	# EXCP Retained	%EXCP Retained
Emporia State University	114	63	55.3%	24	11	45.8%
Fort Hays State University	84	34	40.5%	38	13	34.2%
Kansas State University	187	110	58.8%	79	48	60.8%
Pittsburg State University	108	60	55.6%	54	34	63.0%
University Of Kansas	39	25	64.1%	53	39	73.6%
Wichita State University	41	13	31.7%	9	5	55.6%
System Total	573	305	53.2%	257	150	58.4%

Transfer Exceptions Enrolled AY 2012 and Retained AY 2013

Institution	Resident			NonResident		
	EXCP Cohort	# EXCP Retained	%EXCP Retained	EXCP Cohort	# EXCP Retained	%EXCP Retained
Emporia State University	125	69	55.2%	13	8	61.5%
Fort Hays State University	84	36	42.9%	45	21	46.7%
Kansas State University	202	122	60.4%	96	50	52.1%
Pittsburg State University	102	60	58.8%	63	32	50.8%
University Of Kansas	52	33	63.5%	67	54	80.6%
Wichita State University	67	26	38.8%	14	10	71.4%
System Total	632	346	54.7%	298	175	58.7%

2. Retention Rates for Transfers Who Met Admission Standards

Table 4 shows retention rates for transfer students who met admission standards and were admitted and enrolled in AY2011 and AY 2012. For the university system, retention rates for resident transfer students who met admissions standards range from 72.2% to 76.1%. Retention rates for nonresident transfer students admitted as exceptions are slightly higher, ranging from 81.9% to 84.1%.

**Table 4: Retention Rates of Transfer Students
Meeting Admission Requirements AY 2011 and AY 2012**

Transfers Who Met Standards and Enrolled AY 2011 and were Retained AY 2012						
Institution	Resident			NonResident		
	TRNS Cohort	# TRNS Retained	% TRNS Retained	TRNS Cohort	# TRNS Retained	% TRNS Retained
Emporia State University	760	566	74.5%	64	47	73.4%
Fort Hays State University	1030	675	65.5%	2015	1747	86.7%
Kansas State University	0	0	0%	0	0	0%
Pittsburg State University	355	292	82.3%	96	64	66.7%
University Of Kansas	1193	859	72.0%	169	127	75.1%
Wichita State University	1524	1118	73.4%	95	67	70.5%
System Total	4862	3510	72.2%	2439	2052	84.1%

Transfers Who Met Standards and Enrolled AY 2012 and were Retained AY 2013						
Institution	Resident			NonResident		
	TRNS Cohort	# TRNS Retained	% TRNS Retained	TRNS Cohort	# TRNS Retained	% TRNS Retained
Emporia State University	389	321	82.5%	45	27	60.0%
Fort Hays State University	1068	735	68.8%	1796	1499	83.5%
Kansas State University	0	0	0%	0	0	0%
Pittsburg State University	289	241	83.4%	89	69	77.5%
University Of Kansas	1163	904	77.7%	179	142	79.3%
Wichita State University	1590	1222	76.9%	83	59	71.1%
System Total	4499	3423	76.1%	2192	1796	81.9%

II. Graduation Rates

A. Freshmen Graduation Rates

Data in tables 5 and 6 are comprised of first-time, full-time freshmen who completed a bachelor's degree in six or fewer years. The six-year graduation rate is commonly used because it meets reporting requirements of the 1990 Student Right to Know Act. This federal statute requires postsecondary institutions to report the percentage of students completing their program within 150 percent of the normal time for completion (i.e., 6 years to obtain a bachelor's degree) and is comparable across institutions.

1. Graduation Rates for Freshmen Admitted As Exceptions

Table 5 shows graduation rates for resident freshmen admitted as exceptions AY 2005 through AY 2007 and graduated six years later. This can be compared with Table 6, which shows the graduation rates for freshmen who met Qualified Admission standards for that same period of time.

The resident freshmen exception window became effective with the entering freshman class of 2001. Data remains fairly consistent from year-to-year, and three years worth of data is reported below. As the data indicates, state university system graduation rates for resident freshmen admitted as exceptions range from 21.0% to 26.4% over a three year period. Since nonresident freshmen exception window became effective July 2011, six-year graduation rates are not yet available.

**Table 5: Graduation Rates for Resident Freshmen
Admitted As Exceptions AY 2005-AY 2007**

	AY 2005			AY 2006			AY 2007		
	Resident			Resident			Resident		
Institution	EXCP Cohort	Graduated		EXCP Cohort	Graduated		EXCP Cohort	Graduated	
		<= 6 Years (in or before AY 2011)			<= 6 Years (in or before AY 2012)			<= 6 Years (in or before AY 2013)	
		#	%		#	%		#	%
Emporia State University	97	25	25.8%	99	19	19.2%	89	18	20.2%
Fort Hays State University	103	17	16.5%	100	14	14.0%	104	19	18.3%
Kansas State University	112	24	21.4%	139	29	20.9%	123	30	24.4%
Pittsburg State University	62	19	30.6%	66	18	27.3%	63	20	31.7%
University Of Kansas	55	24	43.6%	58	15	25.9%	84	35	41.7%
Wichita State University	33	7	21.2%	53	13	24.5%	34	9	26.5%
System Total	462	116	25.1%	515	108	21.0%	497	131	26.4%

2. Graduation Rates for Freshmen Who Met Admission Standards

As the data in Table 6 below indicates, state university system graduation rates for resident, first-time, full-time freshmen who met admission standards range from 57.2% to 59.2% over a three year period. Students who met admissions standards are labeled “QA Cohort” in the table below.

**Table 6: Graduation Rates for Resident Freshmen
Meeting Admission Standards AY 2005-AY 2007**

	AY 2005			AY 2006			AY 2007		
	Resident			Resident			Resident		
Institution	QA Cohort	Graduated		QA Cohort	Graduated		QA Cohort	Graduated	
		<= 6 Years (in or before AY 2011)			<= 6 Years (in or before AY 2012)			<= 6 Years (in or before AY 2013)	
		#	%		#	%		#	%
Emporia State University	518	236	45.6%	541	268	49.5%	568	269	47.4%
Fort Hays State University	579	280	48.4%	597	298	49.9%	515	267	51.8%
Kansas State University	2616	1698	64.9%	2431	1497	61.6%	2476	1573	63.5%
Pittsburg State University	598	360	60.2%	668	363	54.3%	669	375	56.1%
University Of Kansas	2950	1807	61.3%	2977	1798	60.4%	2947	1912	64.9%
Wichita State University	889	409	46.0%	934	437	46.8%	1023	455	44.5%
System Total	8150	4790	58.8%	8148	4661	57.2%	8198	4851	59.2%

B. Graduation Rates for Transfer Students

The resident and nonresident transfer student exception windows became effective in November 2009, so AY 2011 is the first full year institutions admitted students through this window. Because these exception windows are so new, graduation data for transfer students admitted as exceptions is not yet available.

III. Course Completion Data

A. Freshmen Course Completion Data

1. Course Completion Data for Freshmen Admitted as Exceptions

Table 7 provides data on the number of credit hours passed by freshmen who were admitted as exceptions. The percent of credit hours passed by freshmen admitted as exceptions was 72% in AY 2013.

**Table 7: Number of Credit Hours Passed
by Freshman Exceptions (AY 13)**

Institution	Headcount	Total Hours Passed	Total Hours Enrolled	Percentage of Hours Passed
Emporia State University	72	1137	1692	67%
Resident	59	892	1342	66%
Non-resident	13	245	350	70%
Fort Hays State University	149	2069	3168	65%
Resident	105	1408	2224	63%
Non-resident	44	661	944	70%
Kansas State University	222	3812	4774	80%
Resident	144	2547	3165	80%
Non-resident	78	1265	1609	79%
Pittsburg State University	138	2354	3537	67%
Resident	133	2253	3388	66%
Non-resident	5	101	149	68%
University Of Kansas	96	1982	2498	79%
Resident	34	712	857	83%
Non-resident	62	1270	1641	77%
Wichita State University	42	427	629	68%
Resident	37	355	522	68%
Non-resident	5	72	107	67%
System Total	719	11781	16298	72%

2. Course Completion Data for Freshmen Who Met Admission Standards

Table 8 provides data on the number of credit hours passed by freshmen who met admission standards. These freshmen passed 91% of the credit hours they took in AY 2013. The pass rates for resident and non-resident students were similar.

**Table 8: Number of Credit Hours Passed by
Freshman Meeting Admission Standards (AY 13)**

Institution	Headcount	Total Hours Passed	Total Hours Enrolled	Percentage of Hours Passed
Emporia State University	564	13507	15281	88%
Resident	516	12328	13944	88%
Non-resident	48	1179	1337	88%
Fort Hays State University	756	18233	20517	89%
Resident	619	15251	17077	89%
Non-resident	137	2982	3440	87%
Kansas State University	3558	86825	91524	95%
Resident	2931	71880	75672	95%
Non-resident	627	14945	15852	94%
Pittsburg State University	949	24589	28452	86%
Resident	919	23893	27617	87%
Non-resident	30	696	835	83%
University Of Kansas	3863	97256	109085	89%
Resident	3228	81653	91420	89%
Non-resident	635	15603	17665	88%
Wichita State University	1325	31164	35118	89%
Resident	1261	29741	33560	89%
Non-resident	64	1423	1558	91%
System Total	11015	271574	299977	91%

B. Course Completion Data for Transfer Students

1. Course Completion Data for Transfer Students Admitted as Exceptions

Table 9 provides data on the number of credit hours passed by transfer students who were admitted as exceptions. The percent of credit hours passed by freshmen admitted as exceptions was 71% in AY 2013.

**Table 9: Number of Credit Hours Passed
by Transfer Students Admitted as Exceptions (AY 13)**

Institution	Headcount	Total Hours Passed	Total Hours Enrolled	Percentage of Hours Passed
Emporia State University	32	469	695	67%
Resident	28	363	580	63%
Non-resident	4	106	115	92%
Fort Hays State University	10	106	149	71%
Resident	8	89	123	72%
Non-resident	2	17	26	65%
Kansas State University	49	618	873	71%
Resident	38	505	678	74%
Non-resident	11	113	195	58%
Pittsburg State University	15	257	345	74%
Resident	13	222	301	74%
Non-resident	2	35	44	80%
University Of Kansas Main Campus	21	342	432	79%
Resident	16	266	340	78%
Non-resident	5	76	92	83%
Wichita State University	47	440	656	67%
Resident	45	425	631	67%
Non-resident	2	15	25	60%
System Total	174	2232	3150	71%

2. Course Completion Data for Transfer Students Who Met Admission Standards

Table 10 provides data on the number of credit hours passed by transfer students who met admission standards. These students passed 86% of the credit hours they took in AY 2013.

**Table 10: Number of Credit Hours Passed by Transfer Students
Meeting Admission Standards (AY 13)**

Institution	Headcount	Total Hours Passed	Total Hours Enrolled	Percentage of Hours Passed
Emporia State University	488	9735	10732	91%
Resident	443	8754	9665	91%
Non-resident	45	981	1067	92%
Fort Hays State University	3199	41554	47619	87%
Resident	1193	16863	20482	82%
Non-resident	2006	24691	27137	91%
Pittsburg State University	398	9320	10659	87%
Resident	382	8902	10195	87%
Non-resident	16	418	464	90%
University Of Kansas Main Campus	1327	24484	28988	84%
Resident	1157	21394	25388	84%
Non-resident	170	3090	3600	86%
Wichita State University	1986	34772	41295	84%
Resident	1774	29334	34591	85%
Non-resident	212	5438	6704	81%
System Total	7398	119865	139239	86%

Concluding Comments

While Tables 1 through 10 show that students admitted as exceptions have lower retention, graduation and course completion rates when compared to their counterparts who met admission standards, it is also true that as a state university system, only 5% of students, on average, are admitted as exceptions. Systemwide, 95% of each admitting class meets admission standards. It is also important to note that on average systemwide, state universities deny admission to four percent of freshmen applicants and three percent of transfer applicants.

Finally, each state university requires student success plans for those admitted as exceptions. In this manner, universities can better ensure that students admitted as exceptions have the necessary tools to succeed.

Addendum

Admission Criteria for Freshmen Applicants

K.S.A. 76-717 requires resident and non-resident freshmen applicants under the age of 21 to meet one of the following criteria to gain admittance to a state university: (1) graduate from an accredited high school and earn a minimum ACT score of 21; (2) graduate from an accredited high school and in the top one-third of the class; (3) graduate from an accredited high school and complete the precollege curriculum with a GPA of at least 2.0 for residents and 2.5 for non-residents; or (4) graduate from an unaccredited high school and earn a minimum ACT score of 21; or (5) earn a GED with the prescribed minimum scores (Kansas residents only).

When making admission decisions for freshmen applicants under the age of 21 who graduate from an accredited high school, state universities review student materials in the following order: ACT score, class rank and precollege curriculum. The process works as follows:

1. Students with a composite ACT score of 21 or higher (or a SAT score of 980 or higher) are admitted under the test score criterion.
2. If the student's ACT test score is below 21, the university reviews the student's high school class rank. Students graduating in the top one-third of their high school class are admitted under the class rank criterion.
3. If the student's ACT test score is below 21 and the student ranks in the bottom two-thirds of the high school class, the university reviews the student's GPA in the precollege curriculum. Kansas residents with a 2.0 GPA (or higher) on the precollege curriculum are admitted under the curriculum criterion; non-residents with at least a 2.5 GPA on the precollege curriculum are admitted under the curriculum criterion.

Kansas residents 21 and older must meet one of the following criteria to gain admittance to a state university as freshmen: (1) graduate from an accredited high school; (2) graduate from an unaccredited high school; or (3) earn a GED with the prescribed minimum scores. Non-resident freshmen applicants 21 and older must meet one of the following criteria to be considered for admission to a state university: (1) graduate from an accredited high school or (2) earn a GED with prescribed minimum scores.

Exceptions to the Minimum Admission Standards

State universities may, at their discretion, admit applicants who do not meet the minimum freshmen admissions criteria. The number of resident freshmen admitted as exceptions is limited by statute to 10 percent of the university's total freshmen admissions. The number of non-resident freshmen exceptions is limited to either 10 percent of the total number of admitted non-resident freshmen, or 50 students, whichever is greater. Each state university has a written policy to guide decisions about exceptions.

Admission Criterion for Transfer Applicants

State universities are required to admit resident transfer applicants who have earned at least 24 credit hours of transferable coursework with a cumulative GPA of at least 2.0 on a 4.0 scale. State universities may admit non-resident transfer applicants who have met these minimum criteria, but are not required to do so. State universities may adopt additional and/or more stringent standards to admit non-resident transfer applicants.

Exceptions to the Minimum Admission Standards

State universities may admit transfer applicants who have earned less than a 2.0 on 24 or more transferable credit hours, but the number of these exceptions is limited by statute. The number of resident transfer exceptions is limited to 10% of the university's resident transfer admissions. The number of non-resident transfer exceptions is limited to 10% of the university's non-resident transfer admissions. Admitting applicants as exceptions is at the discretion of the state university.

C. Fiscal Affairs & Audit

**1. Act on FY 2015 Housing and Food Service Rate
Adjustment Proposals Submitted by State
Universities**

Regent McKechnie

Diane Duffy
VP, Finance & Administration

Summary

All six state universities submitted housing and food service rate proposals for FY 2015 in November for first reading. The details are described in documentation submitted by each university and attached to this issue paper. The documentation includes: (1) proposed rates; (2) justification for the proposed rates; (3) summary housing and food service financial information (FY 2011-FY 2015). Staff recommends the proposed rates be considered for final action by the Board.

Background

Pursuant to Board policy(Ch.II.D.1.c.i.(1)), the state universities submit housing and food service rates to the Board for first reading in November, with final action taken by the Board in December. Accordingly, all six universities submitted proposals which, if approved, become effective July 1, 2014 at KU, KSU and WSU and fall semester 2014 at ESU, FHSU and PSU for the academic year 2014-2015.

Housing and food service operations are auxiliary enterprises; therefore, user fees must cover the total cost of operation. The six universities have different housing and food service rate structures due to factors such as the amount of outstanding bonded debt, occupancy rates, age of facilities, and economies of scale related to the capacity of the housing and food service operation. In order to illustrate the level of the proposed rate increases for each university, the following table compares the current and proposed annual (two semester) rate at each institution for a typical double occupancy room and board contract.

	Current "Typical" Rate AY 2013-2014	Proposed "Typical" Rate AY 2014-2015	\$ Increase	% Increase
KU	\$7,702	\$7,896	\$194	2.5%
KSU	\$7,610	\$7,910	\$300	3.9%
WSU	N/A	\$10,164	N/A	N/A
ESU	\$6,792	\$7,034	\$242	3.6%
PSU	\$6,734	\$6,936	\$202	3.0%
FHSU	\$7,130	\$7,280	\$150	2.1%

KU – Traditional Residence Halls Double Room, plus Crimson Flex dining plan

KSU – Residence Halls, Double Room plus 15 Meal Plan

WSU – NEW Housing Facility, Plan 3, 2 Shared Bed/1 Bath plus 15 Meal Plan with \$300 Shocker Dollars. There is not a previous year comparable rate because this is a new facility that is not comparable to others.

ESU – Morse Double Room Rate plus 15 Block Meal Plan

PSU – Residence Halls, Double Room plus Unlimited Meal Plan

FHSU – Residence Hall Double Room Rate plus Open Access Meal Plan

Each university describes in their respective documentation the business case for the various proposed increases. Furthermore, each state university provides summary financial information for its housing system.

Generally, the proposed increases are driven by anticipated inflationary costs and facility maintenance and enhancements. Each university indicates the proposed increases were reviewed by the appropriate campus groups with student representation.

The College Board's report, "*Trends in College Pricing, 2013*" reports on the prices charged by colleges and universities in 2013-2014, how prices have changed over time, and how they vary within and across types of institutions, states, and regions. The report includes a calculation of average room and board charges weighted by the number of undergraduates living in college housing. The average room and board charge for four-year, public institutions in the Midwest is \$8,737. This figure would be comparable to the AY 2013-2014 column. The average Midwest room and board charge for AY 2013-2014 increased 3% over last year's figure of \$8,478. States included in the Midwest region are: Iowa, Illinois, Indiana, Kansas, Michigan, Minnesota, Missouri, Nebraska, North Dakota, Ohio, South Dakota, Wisconsin, and West Virginia. The report can be found at <http://trends.collegeboard.org/sites/default/files/college-pricing-2013-full-report.pdf>

THE UNIVERSITY OF KANSAS
Department of Student Housing
Fiscal Year 2015 Business Case for Increasing Student Housing and Dining Rates

I. PROPOSED RATES FOR FISCAL YEAR 2015

The proposed rate for a traditional residence hall double room, the primary option for residence hall students, will increase from \$4,162 to \$4,262 or 2.4%. Residential dining plan rates proposed for 2014-15 will increase 2.7% on average. The charge for the Crimson Flex dining plan (\$3,634) plus a traditional residence hall double room (\$4,262) equals \$7,896, an overall 2.52% increase for Fiscal Year 2015.

Rates for on-campus housing and dining plans proposed to be effective July 1, 2014 follow:

RESIDENCE HALLS

	2013-14	2014-15	\$ Incr.	% Incr.
Traditional Residence Halls				
Double Room (<i>2 residents per room</i>)	\$4,162	\$4,262	\$100	2.4
Single Room*	\$5,528	\$5,660	\$132	2.4
Renovated Residence Halls				
Double Room (<i>Ellsworth, GSP, Hashinger</i>)	\$5,268	\$5,426	\$158	3.0
Double Room w/Bath; 4-Person Suite (<i>any</i>) & Large 2-Person (<i>GSP, Hashinger</i>)	\$5,568	\$5,734	\$166	3.0
2-Person Suite (<i>any</i>) & 2-Person Studio (<i>Ellsworth</i>)	\$5,970	\$6,150	\$180	3.0
Double Room as Single* (<i>Ellsworth, GSP, Hashinger</i>) & 1-Person Suite (<i>Templin</i>)	\$7,020	\$7,230	\$210	3.0

Contract rates listed above are for the 9-month academic year and include utilities, data/internet, and basic cable television service.

* 2-person room contracted by a single resident on a space-available basis

SUNFLOWER DUPLEX APARTMENTS

	2013-14	2014-15	\$ Incr.	% Incr.
Two Bedroom Apartment	\$694	\$711	\$17	2.4

This monthly contract rate includes water and sanitation. All other utilities, including data service, are paid by residents. Apartments are unfurnished and most are short-term, transitional housing for new faculty and staff relocating to Lawrence.

STOUFFER PLACE STUDENT FAMILY APARTMENTS – Monthly Rates

	2013-14	\$ Incr.	% Incr.	Util. Incr.	Cable TV	2014-15
One Bedroom Traditional Apt	\$452	\$ 5	1.15	+\$17	+\$17	= <i>\$491</i>
Two Bedroom Traditional Apt	\$510	\$ 6	1.15	+\$17	+\$17	= <i>\$550</i>
Two Bedroom Renovated Apt	\$672	\$11	1.6	+\$21	+\$17	= <i>\$721</i>
Three Bedroom Renovated Apt	\$856	\$14	1.6	+\$26	+\$17	= <i>\$913</i>

Stouffer Place rates include utilities; apartments are unfurnished. Contracts are for a 12-month period.

Based on actual FY'13 utility costs, a 20% increase in utility charges is necessary for 2014-15. Utility charges for 2014-15 will rise \$17/month and become \$102/month (up from \$85/mo.) for traditional apartments; rise \$21/month and become \$126/month (up from \$105/mo.) for renovated 2-BR apartments; and, rise \$26/month and become \$156/month (up from \$130/mo.) for renovated 3-BR apartments.

For 2014-15, a \$17 charge for basic cable television service has been proposed per apartment.

Far right column in italics: rates for 2014-15 including utilities increases plus cable television charge.

JAYHAWKER TOWERS APARTMENTS

	2013-14	2014-15	\$ Incr.	% Incr.
Two-Bedroom Apartments				
4 residents per apartment, <i>cost for each</i>	\$3,390	\$3,472	\$ 82	2.4
2 residents per small apartment, <i>cost for each*</i>	\$4,768	\$4,882	\$114	2.4
2 residents per apartment, <i>cost for each</i>	\$5,800	\$5,940	\$140	2.4
Renovated Two-Bedroom Apartments				
4 residents per apartment, <i>cost for each</i>	\$4,328	\$4,458	\$130	3.0
2 residents per apartment, <i>cost for each</i>	\$7,464	\$7,688	\$224	3.0

Contract rates listed above are for the 9-month academic year and include utilities, data/internet, and basic cable television service. Apartments are partially furnished.

* A total of 3 of these small, non-standard apartments remain in the Jayhawker Towers complex.

SCHOLARSHIP HALLS

	2013-14	2014-15	\$ Incr.	% Incr.
Traditional Scholarship Halls	\$3686	\$3,774	\$ 88	2.4
<i>(Battenfeld, Douthart, Grace Pearson, Pearson, Sellards, Stephenson)</i>				
Suite-Style Scholarship Halls	\$4,010	\$4,130	\$120	3.0
<i>(Dennis E. Rieger, K.K. Amini, Margaret Amini, Krehbiel)</i>				
Meal Charge for the 10 halls listed above	\$1,966	\$2,024	\$ 58	3.0
Miller and Watkins Halls	\$2,598	\$2,656	\$ 58	2.2
<i>(women in these halls purchase and prepare their own food)</i>				

Contract rates listed above are for the 9-month academic year and include utilities, data/internet, and basic cable television service.

The suite-style halls provide amenities and more square footage per resident, increasing operating costs. The Elizabeth Miller Watkins Trust provides a rate subsidy for Miller and Watkins residents and because Miller and Watkins residents cooperatively purchase and prepare their own food, there is no meal charge for residents of those two women's scholarship halls.

RESIDENTIAL DINING PLANS

Proposed dining plan rates show an aggregate 2.7% increase for Fiscal Year 2015. As residence hall students complete the on-line contracting process they select dining plans based on their personal preferences and needs. For 2014-15, the unlimited access dining plans continue to provide the greatest flexibility and value.

	2013-14	2014-15	\$ Incr.	% Incr.
Jayhawk Unlimited Access Dining Plan	\$3,964	\$4,064	\$100	2.5
Unlimited residential dining; \$500 Cuisine Cash; up to 2 Cuisine To Go meals/day; 10 guest meals; six 14" pizzas; 5% discount at register				
Rock Chalk Unlimited Access Dining Plan	\$3,764	\$3,864	\$100	2.7
Unlimited residential dining; \$300 Cuisine Cash; up to 2 Cuisine To Go meals/day; 6 guest meals; four 14" pizzas				
Crimson Flex Dining Plan	\$3,540	\$3,634	\$ 94	2.7
400 residential dining meals; \$200 Cuisine Cash; up to 2 Cuisine To Go meals/day; 4 guest meals				
Blue Basic Dining Plan	\$2,930	\$3,010	\$ 80	2.7
10 residential dining meals/week; \$100 Cuisine Cash; up to 2 Cuisine To Go meals/day; 4 guest meals				
Campanile Dining Plan	\$1,088	\$1,104	\$ 16	1.5
\$500 Cuisine Cash; 2 residential dining meals/week; up to 2 Cuisine To Go meals/day; 4 guest meals; 5% discount at register				

Rates for 2014-15 are proposed following study and review by staff in Student Housing, KU Dining Services, and the Student Housing Advisory Board (SHAB), which consists of faculty, staff, and students, including representatives from the Stouffer Place Association (SPA); the Association of University Residence Halls (AURH); the Jayhawker Towers Tenants' Association (JTTA); and, the All Scholarship Hall Council (ASHC). The proposals were reviewed by SHAB at the September 13, September 20, and October 4 meetings. In addition to SHAB meetings, the Student Housing director and KU Dining director met with student government leaders and student groups for further discussion and to answer questions. These rates have been reviewed and recommended by the Vice Provost for Student Affairs. SHAB's approval of these rates was confirmed by vote at the October 4, 2013, meeting with Stouffer Place Association deciding to add the cable television charges at their October 11, 2013 meeting.

II. EXPENDITURE IMPACT OF PROPOSAL

Rates are established to cover costs of maintenance, materials, food (where applicable), utilities, salaries, and benefits to maintain a qualified staff and operate facilities. The proposed housing rates incorporate projected cost increases, the most significant of which include utilities, maintenance, salaries, and employee benefits.

Current and future plans focus on security and life safety enhancements including fire sprinkler system installations, increased energy efficiency, and improving amenity levels throughout the system. Windows and mechanical systems are upgraded as part of an ongoing commitment to achieve greater energy efficiency.

KU has a tradition of holding rates as low as possible, although students' expectations continue to rise. Upgrades and renovations of traditional facilities must continue to ensure that campus housing remains competitive in a community with many options for students. Momentum is building and improvements are under way in the Daisy Hill area. Plans are being developed for renovations in the scholarship halls and Campus Master Plan efforts include a comprehensive review of the Stouffer Place neighborhood.

KU Dining continues to employ management practices to control expenses and implement efficiencies leading to cost savings. The \$5 million renovation of the Ekdahl Dining Commons (Mrs. E's) was completed in 78 days during summer 2013. This facility was built in 1993, and serves an average of 3,500 meals per day, so the renovation was much-needed and has been very well received. Plans now turn toward the renovation of Oliver Dining Center, as continued renovation is essential to recruitment and retention of students.

With the proposed increases for fiscal year 2015, KU housing and dining rates will remain below both Big-12 and national averages, reflecting good management practices and a continued commitment to good stewardship of students' money.

POTENTIAL REVENUE IMPACT OF RATE PROPOSAL

Estimated revenue increases for each rate proposal are:

Residence Halls	\$475,200	Sunflower Apartments	\$3,800
Scholarship Halls	\$53,000	Stouffer Place Apartments	\$130,600
Jayhawker Towers	\$109,000		
Potential Housing Revenue Impact	\$771,600		
Potential Dining Revenue Impact	\$222,000	<i>(a unit of Kansas Memorial Unions since FY'05)</i>	

See attached supplemental financial information.

III EXPECTED BENEFITS FROM PROPOSAL

Approximately 5,200 students currently live in Student Housing facilities. The proposed increases will enable the housing and dining operations to continue providing exceptional on-campus living experiences, which remain a great value for the students' dollar.

IV. ALTERNATIVES TO PROPOSAL

None identified. Only basic services are being provided and these charges are established to cover expenses associated with the cost recovery of basic services. There are no State-appropriated funds in this auxiliary operation; therefore, user fees must cover total costs.

V. IMPACT OF NOT IMPLEMENTING PROPOSAL

The annual operating budget of the auxiliary housing operation is approximately \$24 million, with a physical plant insured replacement value in excess of \$300 million. Failure to increase revenue commensurate with increased operating expenses would jeopardize the fiscal stability of the operation. These proposed rates were carefully evaluated prior to presentation to the Student Housing Advisory Board and senior staff in Student Affairs. Rental income is pledged as part of a long-range renovation program which will continue to be financed by bonds. Each project is evaluated carefully to ensure the building's income can support its operation plus debt service. Inability to guarantee bond obligations would compromise the department's ability to finance future renovation projects.

Prepared: October 11, 2013
Diana L. Robertson
Director, Student Housing

**The University of Kansas Department of Student Housing
Supplemental Financial Information
KBOR Rate Increase Request**

	Projected FY 2015	Estimated FY 2014	Actual FY 2013	Actual FY 2012
Gross Operating Revenue	25,512,000	24,896,300	24,177,662	22,084,857
Gross Operating Expense	<u>(17,712,000)</u>	<u>(17,280,000)</u>	<u>(16,914,419)</u>	<u>(16,418,418)</u>
Gross Operating Revenue (Loss)	7,800,000	7,616,300	7,263,243	5,666,439
Capital Improvements Expense	(3,500,000)	(8,000,000)	(1,177,476)	(2,343,910)
Annual Debt Service	(3,703,432)	(3,686,576)	(3,650,485)	(3,636,287)
Other Capital Expenditures	-0-	-0-	-0-	-0-
Net Operating Revenue (Loss)	<u>596,568</u>	<u>(4,070,276)</u>	<u>2,435,282</u>	<u>(313,758)</u>
Ending Balance	6,425,791	5,829,223	9,899,499	7,464,217
Residence Hall Occupancy	96.5%	96.5%	92.4%	91.9%
Long-Term Debt Outstanding (as of 6/30)	46,215,000	48,065,000	49,855,000	51,610,000
Lewis Hall (orig. 1998D), Ellsworth Hall (orig. 2002A) plus Towers A and D, 2010A Hashinger Hall and Templin Hall Refunding, 2005E-1 Ellsworth Hall, 2002 A-1 GSP Hall, 2011C				

Capital Improvements Detail

Future \$47.8M Replacement of McCollum Residence Hall Project

Capital Improvements Description

Future - Replacement of 910-bed traditional residence hall (McCollum Residence Hall) with two 350+ bed residence halls designed for first-year students, variety of room and occupancy designs based on market research/consultant report. The Project will be funded with a combination of existing balances and revenue bonds to be issued through the Kansas Development Finance Authority. The debt service will be funded with Housing and Parking funds.

**KANSAS STATE UNIVERSITY
HOUSING AND FOOD SERVICE RATES**

I. PROPOSED RATES (Room and Board)

These rates have been thoroughly reviewed by staff and overwhelmingly supported by the Association of Residence Halls.

<u>Single Students Per Person</u> ³⁾	<u>Regular Semester</u> <u>Effective July 1, 2014</u>	
	<u>Present</u>	<u>Room & Meals</u> ¹⁾ <u>Recommended</u> ²⁾
Residence Halls - 20 Meal Plan Double Room	\$3,855.00	\$4,030.00
Residence Halls - 15 Meal Plan (any 15 per week) Double Room	\$3,805.00	\$3,955.00
Residence Halls - 10 Meal Plan (any 10 per week) Double Room	\$3,770.00	\$3,920.00
Residence Halls – Flex 15 Meal Plan (Any meal plan may choose optional Marketplace Dollars) ⁴⁾ Double Room	\$3,940.00	\$4,090.00
Cooperative House - 20 Meal Plan ⁵⁾ Double Room	\$3,240.00	\$3,465.00
Suite Options priced by semester		
1 Person Private Apartment	\$1,295.00	\$1,360.00
1 Person Private	\$1,095.00	\$1,150.00
1 Person by 1 Person (bath between)	\$1,000.00	\$1,040.00
1 Person side of a 1 by 2 (bath between)	\$950.00	\$990.00
2 Person side of a 1 by 2 (bath between)	\$820.00	\$870.00
2 Person Private	\$910.00	\$955.00
3 Person Private	\$820.00	\$855.00
2 Person by 2 Person (bath between)	\$725.00	\$790.00
4 Person Private	\$550.00	\$590.00
Unattached Triple	\$350.00	\$365.00
1 Person Private Inside Cluster	\$950.00	\$990.00
2 Person Private Inside Cluster	\$875.00	\$910.00
3 Person Private Inside Cluster	\$800.00	\$830.00
Single room with community bath additional rate	\$210.00	\$220.00

¹⁾ Includes housekeeping service other than within rooms. Sunday evening meals are not served.

²⁾ Plus a non-refundable application fee of \$30.00 per academic year, from which a sum not to exceed \$25.00 may be deducted as a recreational and social fee.

³⁾ For payment due dates, cancellation dates and charges, please refer to “Student Housing Contract Terms”.

- 4) Students may choose to add \$100, \$150 or \$200 per semester of Residential Dining Market Place Dollars to be used in all Housing and Dining Services Retail options, including JPs Restaurant, Cornerstone Coffee Shop, Derby Bakery, All 5 Quick Cats C-Stores, Rec Center C Store Operation and Housing Laundry Facilities. Students will have unlimited Grab and Go Meals with all meal plans.
- 5) Plus assisting with house and food service operations on an average of one hour per day.

Salina Campus Regular Semester ^{1), 2), 3)}
Effective July 1, 2014

Single Students Per Person

	Room & Meals	
	<u>Present</u>	<u>Recommended</u>
Residence Halls - 14 Meal Plan		
Double Room	\$3,043.00	\$3,104.00
Single Room	\$4,875.00	\$4,972.00
Residence Halls - 19 Meal Plan		
Double Room	\$3,255.00	\$3,320.00
Single Room	\$5,087.00	\$5,188.00
Other Salina Campus Housing Fees		
Application Fee	\$30.00	\$30.00

- 1) Plus a non-refundable application fee of \$30 per contract from which a sum not to exceed \$25.00 may be used as a recreational and social fee.
- 2) For payment due dates, cancellation dates and charges please refer to "Student Housing Contract Terms".
- 3) Residents may lock-in their starting residence hall rate for a maximum of five years if fall contract are turned in no later than the first Friday in May each year.

Manhattan Campus Apartments ^{1), 2), 3)}
Effective July 1, 2014
Rate Per Month

<u>Traditional Rate Per Apartment</u> ^{1), 2)}	<u>Present</u>	<u>Recommended</u>
One Bedroom Unfurnished	\$420.00	\$435.00
Two Bedroom Unfurnished	\$495.00	\$515.00
<u>Renovated Rate Per Apartment</u> ^{1), 2)}		
One Bedroom Unfurnished	\$485.00	\$505.00
Two Bedroom Unfurnished	\$555.00	\$575.00
<u>Highly Renovated Rate Per Apartment</u> ^{1), 2), 3)}		
One Bedroom Unfurnished	\$520.00	\$545.00
Two Bedroom Unfurnished	\$625.00	\$655.00
Three Bedroom Unfurnished	\$725.00	\$755.00
<u>New Construction Rate Per Person</u> ^{1), 2), 3)}		
One Bedroom Unfurnished	\$600.00	\$630.00
Two Bedroom Unfurnished	\$510.00	\$535.00
Three Bedroom Unfurnished	\$450.00	\$470.00
Four Bedroom Unfurnished	\$420.00	\$435.00
Studio Unfurnished	\$555.00	\$575.00

Town House Unfurnished	\$998.00	\$998.00
Studio Loft Unfurnished	\$580.00	\$625.00

- 1) In addition to the monthly rates, a refundable deposit of \$400.00 is required at time of application and a non-refundable application fee of \$30.00 for each application from which a sum not to exceed \$25.00 may be deducted as a recreational and social fee. A late payment fee of \$10.00 will be charged for apartment rent not paid when due.
- 2) Students provide linen, dishes, telephone and electricity.
- 3) Furniture is available for rent on a limited basis.

**Summer Session
Effective May, 2014**

	Double Room as Single		Double Room	
	Present	Recommended	Present	Recommended
Room and 20 Meals Weekly	\$354.00	\$370.00	\$235.00	\$245.00
Room and 15 Meals Weekly	\$350.00	\$365.00	\$232.00	\$242.00
Room and 10 Meals Weekly	\$347.00	\$362.00	\$229.00	\$239.00

Salina Campus

	Single Room		Double Room	
	Present	Recommended	Present	Recommended
Room and 15 Meals Weekly	\$213.00	\$218.00	\$175.00	\$179.00
Room and 10 Meals Weekly	\$191.00	\$195.00	\$153.00	\$156.00

Optional Meal Plans For Residents of Housing and Dining Services Apartments Per Semester

	Present	Recommended
Optional 5 Meal Plan (Lunch, M-F), with \$75 Marketplace Value	\$663.00	\$689.00
Optional 5 Dinner Plan (Dinner M-F) with \$100 Marketplace Value	\$980.00	\$1,019.00
Optional 10 Meal Plan	\$1,731.00	\$1,800.00
Optional 15 Meal Plan	\$1,747.00	\$1,817.00
Optional 20 Meal Plan	\$1,770.00	\$1,841.00
Market Place Dollars (\$500 to be used in the Jardine Marketplace includes \$50 Bonus Marketplace)	\$400.00	\$450.00

Salina Campus

Optional 5 Meal Plan (Lunch, M-F)	\$428.00	\$428.00
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II. Expenditure Impact of Proposal.

The proposed rates are recommended to cover increased operational costs. Expenditures for salaries and benefits, facility maintenance, food, utilities, and other operational categories such as technology are expected to rise about 3.8% collectively. Capital improvement projects are expected to be \$250,000 less in FY 2015. Debt service also decreases by \$80,000 in FY 2015. But a new residence hall and dining center will be constructed ready for occupancy by August, 2015; therefore debt service is expected to increase by \$4.4 million in FY 2016.

Because K-State does not require any student to live in university owned residence halls or apartments, we provide excellent facilities and exemplary service to maintain the optimal number of residents.

Salaries and KPERs	\$332,000	Student Labor	\$150,000
Food Costs Increase	\$420,000	Other Operational Categories	\$82,000
Utilities Increase	\$210,000	Household Supplies	\$100,000

III. Revenue Impact of Proposal

Our Housing System operates as an auxiliary unit so all projected revenue is deposited to auxiliary funds. The estimated additional revenue, an increase of about 3.3% over FY 2014 Residence Hall and Apartment, is categorized as follows:

Residence Halls	\$1,768,000	
Apartment	<u>\$265,000</u>	
Total Revenue	\$2,033,000	(Including Dining Service)

IV. Estimated Benefits from Proposal

Approximately 5,500 students living in Residence Halls, Cooperative House and apartments will be affected by the rate increase. The proposed increases are primarily driven by inflationary increases in costs for labor, utilities, food and facility maintenance. Additionally, planned upgrades to roofs, elevators, heating and cooling systems, dining service facilities and academic support facilities require resources. Even though it's a challenge, we successfully maintain room and board rates that are below national and Big XII averages. Within the Big XII, we continue to remain in the lower one-third.

V. Alternatives to Proposal

None Identified. Because Housing and Dining is entirely self-supporting, user fees must cover costs.

VI. Impact of Not Implementing Proposal

The Housing and Dining Services annual operating budget is approximately \$39 million. The insured replacement value of the housing facilities is about \$411 million. Prudent management and legally binding bond covenants require a fiscally stable budget and a properly maintained physical plant – in other words a stable future. Failure to properly plan, budget and operate would compromise our ability to meet core responsibilities to students. Additionally, failure to meet bond covenants would jeopardize any University efforts to finance future capital improvements.

VII. Supplemental Financial Information

Salina and Manhattan Campuses	Projected FY 2015	Projected FY 2014	Actual FY 2013	Actual FY 2012	Actual FY 2011
Gross Operating Revenue	45,490,000	43,457,000	43,038,000	38,186,000	37,459,000
Salaries and Wages	18,488,000	17,995,000	17,490,000	16,898,000	16,386,000
Other Operating Expenditures	<u>17,415,000</u>	<u>16,585,000</u>	<u>15,950,000</u>	<u>12,794,000</u>	<u>13,526,000</u>
Total Gross Operating Expense	35,903,000	34,580,000	33,440,000	29,692,000	29,912,000
Gross Operating Revenue(Loss) ⁽¹⁾	9,587,000	8,877,000	9,598,000	8,494,000	7,547,000
Capital Improvements Expense *	4,360,000	4,607,000	1,597,000	4,020,000	1,923,000
Annual Debt Service	5,112,000	5,196,000	5,920,000	5,055,000	4,378,000
Other Capital Expenditures	<u>190,000</u>	<u>190,000</u>	<u>188,000</u>	<u>192,000</u>	<u>144,000</u>
Net Revenue(Loss)	(75,000)	(1,116,000)	1,893,000	(773,000)	1,102,000
Occupancy Rate	97.94%	97.52%	100.99%	101.60%	98.55%
Housing System Earned Fund Balance ⁽²⁾	12,281,000	12,356,000	13,472,000	11,579,000	12,352,000
L/T Debt Outstanding	70,360,000	72,375,000	74,470,000	76,425,000	66,125,000

*Capital Improvements Planned:

FY 2014 - \$4,607,000 (Rehab and Repair Renovations including HVAC repairs, roof replacements, and \$2 million reserve for upgrade to Food Service Centers)

FY 2015 - \$4,360,000 (Rehab and Repair Renovations including roof replacements and \$2 million reserve for upgrade to Food Service Centers)

- (1) Bond covenants require 1.25 times annual debt service. Failure to meet this requirement will jeopardize the university's financial rating.
- (2) Fund Balance excludes unearned advance payments that have been collected for the upcoming academic year. The remaining fund balance is primarily in place for cash flow needs such as those associated with KSU's self-operated dining services, employment protection issues, reserves for capital projects (as noted above), and emergency equipment and building repairs. KSU's housing operations have buildings with replacement values in excess of \$411 million. Long term fiscal stability requires a properly maintained physical plant.

**Wichita State University
Proposed Housing and Board Rates for Fiscal Year 2015**

Proposed Housing and Board Rates for Fiscal Year 2015

Wichita State University requests authorization to amend the Comprehensive Fee Schedule for Fiscal Year 2014 for the following adjustments to housing and board rates in the residence halls.

Fairmount Towers Proposed Fiscal Year 2015 Room and Board Options
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Plan Type	FY 2014 Double Occupancy	Proposed FY 2015 Double Occupancy	Percent Increase	FY 2014 Single Occupancy	Proposed FY 2015 Single Occupancy	Percent Increase
<u>Academic Year 2014-2015</u>						
19 Meal Plan with \$200 Shocker Dollars	\$6,689	N/A	N/A	\$7,490	N/A	N/A
15 Meal Plan with \$200 Shocker Dollars	\$6,648	N/A	N/A	\$7,450	N/A	N/A
10 Meal Plan with \$200 Shocker Dollars	\$6,473	N/A	N/A	\$7,280	N/A	N/A
19 Meal Plan with \$300 Shocker Dollars	\$6,792	\$8,373	23.28%	\$7,590	\$9,767	28.68%
15 Meal Plan with \$300 Shocker Dollars	\$6,751	\$8,319	23.23%	\$7,550	\$9,634	27.60%
10 Meal Plan with \$300 Shocker Dollars	\$6,576	\$7,903	20.18%	\$7,380	\$9,292	25.91%
19 Meal Plan with \$400 Shocker Dollars	N/A	\$8,522	0.00%	N/A	\$9,806	0.00%
15 Meal Plan with \$400 Shocker Dollars	N/A	\$8,469	0.00%	N/A	\$9,753	0.00%
10 Meal Plan with \$400 Shocker Dollars	N/A	\$8,106	0.00%	N/A	\$9,390	0.00%
5 Meal Plan with \$1500 Shocker Dollars	N/A	\$8,352	0.00%	N/A	\$9,636	0.00%

Plan Type	FY 2014 Double Occupancy	Proposed FY 2015 Double Occupancy	Percent Increase	FY 2014 Single Occupancy	Proposed FY 2015 Single Occupancy	Percent Increase
<u>Summer Session 2014</u>						
Pre-Session with 10 Meal Plan	\$516	\$516	0.00%	\$675	\$675	0.00%
Four Week Session	\$590	\$590	0.00%	\$773	\$773	0.00%
Pre-Session Plus Four Week Session	\$931	\$931	0.00%	\$1,269	\$1,269	0.00%
Eight Week Session	\$962	\$962	0.00%	\$1,322	\$1,322	0.00%
Pre-Session Plus Eight Week Session	\$1,409	\$1,409	0.00%	\$1,927	\$1,927	0.00%

Note: The above plans include 10 meals per week at \$60/week with No Shocker Dollars. 15 and 19 meals are available for an additional \$20/week and \$30/week respectively.

Weekly Break Housing Only (No meals)	\$70	\$70	0.00%	\$112	\$112	0.00%
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<p align="center">New Residence Hall Proposed Fiscal Year 2015 Room and Board Options</p>
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Unit Type Style	FY 2014 Per Person Occupancy Rate	Proposed FY 2015 Per Person Occupancy Rate	Percent Increase
<u>Academic Year 2014-2015</u>			
Plan 1, 1 bed/1 bath			
19 Meal Plan with \$300 Shocker Dollars	NA	\$12,053	NA
15 Meal Plan with \$300 Shocker Dollars	NA	\$12,000	NA
10 Meal Plan with \$300 Shocker Dollars	NA	\$11,638	NA
19 Meal Plan with \$400 Shocker Dollars	NA	\$12,171	NA
15 Meal Plan with \$400 Shocker Dollars	NA	\$12,118	NA
10 Meal Plan with \$400 Shocker Dollars	NA	\$11,755	NA
5 Meal Plan with \$1500 Shocker Dollars	NA	\$12,001	NA
Plan 2, 3 private bed/1 bath			
19 Meal Plan with \$300 Shocker Dollars	NA	\$10,577	NA
15 Meal Plan with \$300 Shocker Dollars	NA	\$10,524	NA
10 Meal Plan with \$300 Shocker Dollars	NA	\$10,162	NA
19 Meal Plan with \$400 Shocker Dollars	NA	\$10,695	NA
15 Meal Plan with \$400 Shocker Dollars	NA	\$10,642	NA
10 Meal Plan with \$400 Shocker Dollars	NA	\$10,279	NA
5 Meal Plan with \$1500 Shocker Dollars	NA	\$10,546	NA
Plan 3, 2 shared bed/1 bath			
19 Meal Plan with \$300 Shocker Dollars	NA	\$10,217	NA
15 Meal Plan with \$300 Shocker Dollars	NA	\$10,164	NA
10 Meal Plan with \$300 Shocker Dollars	NA	\$9,802	NA

19 Meal Plan with \$400 Shocker Dollars	NA	\$10,335	NA
15 Meal Plan with \$400 Shocker Dollars	NA	\$10,282	NA
10 Meal Plan with \$400 Shocker Dollars	NA	\$9,919	NA
5 Meal Plan with \$1500 Shocker Dollars	NA	\$10,856	NA
Plan 4, 2 private bed/1 bath			
19 Meal Plan with \$300 Shocker Dollars	NA	\$11,279	NA
15 Meal Plan with \$300 Shocker Dollars	NA	\$11,226	NA
10 Meal Plan with \$300 Shocker Dollars	NA	\$10,864	NA
19 Meal Plan with \$400 Shocker Dollars	NA	\$11,397	NA
15 Meal Plan with \$400 Shocker Dollars	NA	\$11,344	NA
10 Meal Plan with \$400 Shocker Dollars	NA	\$10,981	NA
5 Meal Plan with \$1500 Shocker Dollars	NA	\$12,036	NA
Plan 5, 4 private bed/2 bath			
19 Meal Plan with \$300 Shocker Dollars	NA	\$10,811	NA
15 Meal Plan with \$300 Shocker Dollars	NA	\$10,758	NA
10 Meal Plan with \$300 Shocker Dollars	NA	\$10,396	NA
19 Meal Plan with \$400 Shocker Dollars	NA	\$10,929	NA
15 Meal Plan with \$400 Shocker Dollars	NA	\$10,876	NA
10 Meal Plan with \$400 Shocker Dollars	NA	\$10,513	NA
5 Meal Plan with \$1500 Shocker Dollars	NA	\$10,759	NA

<p align="center">Other Housing Fees Proposed Fiscal Year 2015</p>

Fee Description	FY 2014 Rate	Proposed FY 2015 Rate	Percent Increase
Non-refundable Application Fee	\$75	\$75	0.00%
Late Payment Fee	\$100	\$100	0.00%
Contract Cancellation Fee	\$275	\$275	0.00%
Contract Prepayment – Fairmount & New Residence Hall	\$200	\$200	0.00%

Expenditure Impact of the Proposal

The revenue received from the proposed housing and board rates will be used to pay for operating expenditures, utilities, staffing, capital expenditures, major remodeling and improvements and debt service on the residence halls. The Fiscal Year 2015 rate increases will also cover mandatory meal plan cost increases in accordance with WSU's food service management contract.

Revenue Impact of the Proposal

The increased revenue anticipated from the proposed housing rate increase of 20% is estimated to be approximately \$150,000 based on 85% occupancy. This would impact approximately 1,100 residential students.

Student Involvement with the Proposal

Student feedback on rates and increases on meal costs were conducted with a number of task force meetings in April through the consultant group SCION. These meetings were held to allow students feedback on the current housing structure, future rates and room assignments:

Tuesday, April 16 th	12:00 – 1:00 PM	Student Focus Group #1- Current Residents	Fairmount Towers Game Room
Tuesday, April 16 th	4:30 – 5:30 PM	Student Focus Group #2- Student Leaders	Fairmount Towers Game Room
Tuesday, April 16 th	6:00 – 7:00 PM	Student Focus Group #3- International Students	Fairmount Towers Game Room
Tuesday, April 16 th	7:00 – 8:00 PM	Student Focus Group #4- Off Campus Students	Fairmount Towers Game Room
Tuesday, April 16 th	8:00 – 9:00 PM	Student Focus Group #5- Greek Students	Fairmount Towers Game Room

Estimated Benefits from the Proposal

Students who live on campus accrue a variety of benefits, including easy access to classrooms, laboratories, dining facilities, Ablah Library, the Heskett Center and the Rhatigan Student Center. Professional and paraprofessional staff members live in each building to provide academic and personal support services to the residents. Additionally, residents are provided with computer rooms, laundry facilities, meeting facilities, and are more easily able to participate in campus events and activities compared to those who commute.

Cable television service and Ethernet connections are provided to each student room. Extensive renovations to Fairmount Towers have resulted in improvements to the quality of the residential living experience. Scheduled facilities maintenance projects for Fiscal Years 2014 and 2015 are listed in the Supplemental Financial Information below. The cost of the aforementioned items is included in the recommended rates outlined in this proposal and from existing reserves.

Alternatives to the Proposal

Alternatives to the proposed rate increases have not been identified. The projected revenue from the increased rates is to be used for inflationary increases in expenditures for basic services, planned improvements and contractual agreements as mentioned above. As an auxiliary operation, housing must cover all expenses through fees charged to students living in the residence hall facilities.

Impact of Not Implementing the Proposal

If the proposed housing and board rates are not implemented, the current financial stability of the housing and residence life operations would be compromised. Financial stability is also important to maintaining quality ratings on the outstanding revenue bonds.

Implementation Date

If approved, the rates will become effective on July 1, 2014. Rates for the summer session housing will become effective with the beginning of the summer contract.

Supplemental Financial Information

<u>Revenue and Expenditures</u>	<u>Projected FY 2015</u>	<u>Projected FY 2014</u>	<u>Actual FY 2013</u>	<u>Actual FY 2012</u>	<u>Actual FY 2011</u>
Gross Operating Revenue – See Note 1	\$11,552,548	\$6,701,239	\$6,726,192	\$6,531,996	\$6,470,509
Gross Operating Expenditures	7,608,800	5,527,523	4,646,665	4,710,802	4,045,065
Gross Operating Revenue (Loss)	\$3,943,748	\$1,173,716	\$2,079,527	\$1,821,194	\$2,425,444
Annual Debt Service – See Notes 2 and 3	\$4,088,373	\$3,464,560	\$964,778	\$1,991,133	\$1,202,688
Other Capital Expenditures	100,000	100,000	1,095,688	676,301	1,271,067
Transfers (In) Out – See Note 4	(1,507,036)	(2,394,513)	(328)	19,095	19,026
Other Operating (Revenue) Expenditures	\$2,681,337	\$1,170,047	\$2,060,138	\$2,686,529	\$2,492,781
Net Operating Revenue (Loss)	\$1,262,411	\$3,669	\$19,389	(\$865,335)	(\$67,337)
Less Capital Improvement Expense – See Below	\$106,000	\$0	\$205,684	\$481,795	\$722,912
Net Change in Fund Balance	\$1,156,411	\$3,669	(\$186,295)	(\$1,347,130)	(\$790,249)
Occupancy Rate	81.00%	85.00%	92.00%	91.00%	88.70%
Housing System Earned Fund Balance	\$4,552,977	\$3,396,566	\$3,392,897	\$3,579,192	\$4,926,322
Long Term Debt Outstanding – See Notes 2 and 3	\$70,523,871	\$71,353,871	\$6,922,028	\$7,780,153	\$9,250,000

Notes to Supplemental Financial Information

Note 1 – In FY 2014, WSU began construction of a new housing facility which will open on August 6, 2014. Wheatshocker will be demolished following the opening of the new housing facility. The net number of beds available will increase by 184. Housing rates in the new facility will be higher due to the greater number of single rooms, rooms with larger square footage, enhanced amenities, and location in the heart of the campus near the Rhatigan Student Center. Rates at Fairmount Towers have increased approximately 20% to 29% in the above proposal.

Note 2 – In FY 2012, WSU refunded the 2002P Series Revenue Bonds in the amount of \$8,135,000 in order to get a lower interest rate and annual debt service payment. Due to the timing of the refunding, WSU made two annual principal payments on the housing bonds in FY 2012.

Note 3 – In FY 2014, WSU issued revenue bonds in the amount of \$65,226,843, including premium, to fund the new housing facility, and to refund the 2010D Series that was issued for energy conservation improvements.

Note 4 – In FY 2014 and 2015, capitalized interest will be transferred in to partially cover debt service requirements.

**Emporia State University
Division of Student Affairs
Department of Residential Life and Memorial Union Corporation**

**Statement of proposed Residence Hall and Contract Board Rates
Academic Year 2014-2015**

Emporia State University requests authorization to amend the Comprehensive Fee Schedule, effective fall semester 2014 as follows:

Description of Fee Adjustment: Figures shown represent academic year totals, unless otherwise noted. The housing and meal contract's default time period includes both the fall 2014 and the spring 2015 semesters.

Room & Board Options Total Standard Double

	<u>From</u>	<u>To</u>	<u>Increase</u>	<u>% Increase</u>	<u>Room+Board, % Increase</u>	
Morse Double Room Rate	\$3,558	\$3,718	\$160	4.5%		
Morse Triple Room Rate	\$4,175	\$4,279	\$104	2.5%		
Morse Quad Room Rate	\$0	\$3,960				
Towers/Trusler/Singular Dbl Rate	\$3,963	\$4,181	\$218	5.5%		
Towers Suite	\$4,175	\$4,363	\$188	4.5%		
Private rooms when available – all buildings	\$5,077	\$5,280	\$203	4.0%		
All access Meal Plan	\$3,320	\$3,400	\$80	2.4%	\$7,118	3.5%
15 Block Meal Plan	\$3,234	\$3,316	\$82	2.5%	\$7,034	3.6%
10 Block Meal Plan	\$2,732	\$2,800	\$68	2.5%	\$6,518	3.6%

Justification for Fee Adjustment and Projected Revenue

Residence Hall Impact

Proposed room and board rates for FY15 have been held to an increase of 3.6%. The opening of the newly renovated Singular and Trusler Halls combined with rate increases will generate additional gross revenue of approximately \$145,000. Bringing these facilities on line will require that additional staff, a Complex Coordinator along with custodial employees, be hired therefore increasing salary and associated benefits. Other estimated expenses for FY15 are expected to increase moderately, with most increases coming from changes in utility rates and fringe benefit rates. Any additional revenue not obligated by operating increases, will be utilized to finance capital improvements related to existing facilities, Morse Hall and Towers.

Memorial Union Impact

The proposed rates for meal plans represent a 2.5% increase in food plan costs. Proposed adjustments to the board rate are needed to meet the higher food costs of dining operations. All students living in the Residence Halls participate in one of three meal plans. The proposed increase would generate approximately \$57,000 of additional revenue, which will be used to fund projected increased costs of the food service contract for FY15.

Review of Proposal Increases

The Residence Hall and meal plan increases were developed in consultation with students who live in the residence halls and serve on the Memorial Union board of directors which has student board member positions representing the Residence Halls and Associated Student Government. The proposed increases contained in this document were presented to the Memorial Union Board of Directors and approved by that body on October 4, 2013.

**Emporia State University
Housing System Financial Report Summary Prepared for KBOR Increase
Request**

Fiscal Years 2011 through 2015

	Projected FY 2015	Estimated FY 2014	Actual FY 2013	Actual FY 2012	Actual FY 2011
Gross Operating Revenue	\$3,875,418	\$3,244,652	\$3,061,310	\$3,131,652	\$3,034,279
Salaries and Wages	1,611,795	1,476,111	1,336,970	1,341,800	1,376,079
Other Operating Expenditures	700,681	622,797	558,965	715,630	685,645
Gross Operating Expense	2,312,476	2,098,908	1,895,935	2,057,430	2,061,724
Gross Operating Revenue Gain/(Loss)	\$1,562,942	\$1,145,744	\$1,165,375	\$1,074,222	\$972,555
Capital Improvements Expense*	\$0	\$111,000	\$47,321	\$0	\$256,294
Annual Debt Service	727,205	707,560	706,044	720,041	697,414
Other Transfers	397,000	597,000	357,429	178,144	296,190
Other Transfer – Topeka Cuts					2,617
Major Maintenance & Repair*	425,000	395,000			
Net Operating Revenue Gain/(Loss)	\$13,737	(\$664,816)	\$54,581	\$176,037	(\$279,960)
Operating Fund Balance-June 30th	\$272,534	\$258,797	\$923,613	\$869,032	\$692,995
Occupancy Rate **	100.0%	105.6%	90.8%	88.6%	80.0%
Long Term Debt Outstanding	\$6,160,000	\$6,610,000	\$7,025,000	\$7,425,000	\$7,825,000

***Capital Improvements Description**

FY 11 Finish internet in Morse and Towers, Chiller in Morse

FY 12 Phase 1 of continued remodeling of Singular/Trusler

FY 13 Continue Phase 1 and begin Phase 2 remodeling of Singular/Trusler

FY 14 Capital Improvement – Technology infrastructure in Towers

FY 14 Major Repairs – New furnishing for Singular/Trusler, Morse Hall Lounges, and hot water tank/lines in Trusler basement

FY 15 Major Repairs – Central Morse Hall, room doors, flooring, blinds, paint and bathroom renovation Central Morse Hall

**** Occupancy rates reflect adjustment of temporary closure of Trusler Hall**

KANSAS BOARD OF REGENTS
AGENDA ITEM
Pittsburg State University
November, 2013

REQUEST AMENDMENT TO HOUSING RATES - Pittsburg State University

In accordance with Board Policy, Pittsburg State University requests that the Board of Regents consider for final action at its December 2013, meeting the following adjustments in housing rates to be effective with the 2014 Fall Semester.

Residence Halls	Academic Year	
	<u>13-14</u>	<u>14-15</u>
Double Room/Seven Day Access	\$6,734	\$6,936
Double Room/Any 14 Access	6,344	6,534
Double Room/Any 10 Access	5,978	6,158
Single room charge (maximum)	900	900
Crimson Commons room additional charge*	1,000	1,000
Willard Hall room additional charge	440	440
Renovated Hall room additional charge**	220	220
Application fee	45	45
Installment fee (optional payment plan)***	25	25
Late Payment fee (maximum)	30	30

*new residence hall complex opened Fall 2010

**charged on all rooms in Bowen, Trout, Tanner, and Tanner Annex and Dellinger

***per semester

	<u>13-14</u>	<u>14-15</u>	<u>Increase for</u> <u>Annual Cost</u>	<u>%</u>
Double Room/Unlimited Meal Plan	\$6734	\$6,936	\$202	3.00
Double Room/14 Meal Plan	6,344	6,534	190	2.99
Double Room/10 Meal Plan	5,978	6,158	180	3.01

Student Family Apartments	Academic Year	
	<u>13-14</u>	<u>14-15</u>
Two Bedroom	\$490	\$490
Three Bedroom	555	555
Processing Fee for each new lease	45	45
Late Payment Fee	30	30

The proposed rates were developed by the Director of University Housing in consultation with the Assistant Director of University Housing for Operations and the Associate Vice President for Campus Life and Auxiliary Services.

**Pittsburg State University
Financial Impact Statement
Housing Rates**

I. DESCRIPTION OF RATE ADJUSTMENT

An increase in the rates is proposed. If approved, the new rate schedule would be effective for the 2014 fall semester.

Residence Halls	Academic Year		Increase for	
	<u>13-14</u>	<u>14-15</u>	<u>Annual Cost</u>	<u>%</u>
Double Room/Unlimited Meal Plan	\$6,734	\$6,936	\$202	3.00
Double Room/14 Meal Plan	6,344	6,534	\$190	2.99
Double Room/10 Meal Plan	5,978	6,158	\$180	3.01
Single room charge (maximum)	900	900	--	--
Crimson Commons room charge*	1,000	1,000	--	--
Willard Hall room charge	440	440	--	--
Renovated Hall room charge**	220	220	--	--
Application Fee	45	45	--	--
Installment Fee (optional payment plan)***	25	25	--	--
Late payment fee (maximum)	30	30	--	--

*new residence hall complex opened Fall 2010

**charged on all rooms in Bowen, Trout, Tanner, and Tanner Annex, and Dellinger

***per semester

Crimson Village Apartments

Two Bedroom	\$490	\$490	--	--
Three Bedroom	555	555	--	--
Processing Fee (new lease)	45	45	--	--
Late Payment fee	30	30	--	--

II. JUSTIFICATION FOR RATE ADJUSTMENT

In late May, 2009, Pittsburg State University began an ambitious but critical, multi-year, \$22 million effort to renovate all existing residence halls and a twelve month project to construct a new residence hall complex designed to accommodate 204 additional students. Funding for the new construction and an initial phase of renovation (\$14 million) was derived from sale of bonds to be repaid from the revenues received from operation of the housing system. The revenue pledged for repayment of the bonds, approved in the initial authorization for the overall project, included in part, targeted room rental rate increases beyond those authorized for annual operating cost increases (\$100 per occupant per year for each of five consecutive years beginning FY09). The remaining increased revenue for debt service will come from occupancy growth derived from the additional bed space in the new construction and reallocation of historically large and growing annual expenditures for maintenance of facilities from that category to debt service.

Aside from annual debt service, the remaining majority of University Housing expenditures are tied to areas that are subject to annual increases (food service board plan rate increases negotiated with contract provider, utility costs, and salaries and benefits). Food service, utilities, and employee salary and benefits costs continue to increase. While cost of living increases and merit raises in staff compensation have been minimal in recent

years, even minimal increases (1-2%) and pay plan adjustments stipulated by the legislature for classified employees have had an impact on the budget.

It is important to note, as with any complex renovation taking place over a total project period of several years, hidden issues arise and inflation occurs which increase the total cost of the project. To address these factors, in the past five fiscal years, University Housing funded \$2.8 million from annual revenues and reserves in furnishing and other renovation costs in order to preserve the availability of authorized bond funding to sufficient levels to complete the project. Continued expenditures of this nature are expected through the final two phases over the next two fiscal years. Most notable in the coming two fiscal years is projected expenditure of nearly \$2.5 million from University Housing revenues and reserves beyond the \$3.3 million remaining bond funds for completion of construction and furnishing of Nation and Mitchell halls. The estimated \$320,000 in additional revenue projected from the proposed rate increase will be utilized not only to address inflationary increases in operating cost, but more importantly to contribute to the \$2.5 million needed to complete the renovations of all existing facilities.

III. STUDENT REVIEW OF FEE ADJUSTMENT

The rate adjustment of a maximum 4% increase for residence halls was presented to the Residence Hall Assembly at their September 24, 2013, regular session. The assembly voted in favor of the proposed changes at that same meeting, by the following vote: 6 affirmative, 3 negative, and 7 abstentions [Note: The abstentions were from Resident Assistants (staff) who are part of the assembly or were from students who plan to graduate or live off campus next year who did not believe it appropriate to participate in the decision process relative to setting of rates]. The group endorsed the university's continuing efforts to invest funds in the updating and improvement of the residence halls. Subsequent review by University Housing staff and President's Council reduced the original consideration from a 4% increase to the 3% level reflected in this rate request.

IV. PROJECTION OF REVENUE FROM AND NUMBER OF STUDENT AFFECTED BY FEE ADJUSTMENT

The rate increases should increase annual revenue by approximately \$320,000. Over 1,300 students are projected to live in the residence halls.

V. PROJECTED IMPACT OF RATE ADJUSTMENT ON STUDENT OCCUPANCY

Because residence halls are in direct competition with off-campus rentals for student occupancy, any rate increase must remain within the appropriate levels that the overall market will support. University Housing is committed to providing students with high quality accommodations at reasonable rates. Given the proximity to campus, the programmatic efforts of the departmental staff, the amenities and services provided, and the reliable maintenance provided by the university, residence hall rates are very competitive with other student housing options of equal quality in the community. The additional 204 beds available with the completion of the new construction are occupied and the housing system is at 101% occupancy of rated capacity for the Fall 2013 semester.

**Pittsburg State University Housing
KBOR Rate Increase Request
Supplemental Financial Information
October 29, 2013**

	Projected FY2015	Estimated FY2014	Actual FY2013	Actual FY2012
Gross Operating Revenue	\$8,970,000	\$8,650,000	\$8,556,883	\$8,477,899
Salaries and Benefits	1,996,515	1,930,015	1,892,147	1,862,012
Other Operating Expenses	3,328,510	3,200,490	2,972,591	3,025,909
Gross Operating Revenue(Loss)	\$3,644,975	\$3,519,495	\$3,692,145	\$3,589,978
Capital Improvements Expense	630,000	630,000	732,600	1,389,021
Annual Debt Service	2,678,681	1,982,662	1,849,967	1,849,175
Other Capital Expenditures	^2,500,000	0	0	*2,062,915
Net Operating Revenue(Loss)	(\$2,163,706)	\$906,833	\$1,109,578	(\$1,711,133)
Operating Fund Balance (EOY)	\$1,845,053	\$4,008,759	\$3,101,926	\$1,992,348
L/T Debt Outstanding	\$25,920,000	\$26,395,000	\$26,835,000	\$18,300,000
Occupancy Rate	100%	101%	101.2%	101%

Capital Improvements Planned

*FY 2012 \$2,062,195—Out of Reserves to fund Tanner Annex project

^FY2015 \$2,500,000—Out of Reserves to finalize Nation Hall project

FORT HAYS STATE UNIVERSITY
DIVISION OF STUDENT AFFAIRS
 Department of Residential Life

Consistent with Board of Regents policy, the following amendments to the Comprehensive Fee Schedule are submitted to the Board's November 2013 meeting.

Recommended Residence Hall and Apartment Rates – 2014-2015
Rates are reported for both fall and spring semesters

Residence Hall Double Room Rates, Fall & Spring Semester

Accommodations/Plan	2013-2014 Rate	2014-2015 Proposed Rate	Difference in Amount	Difference in Percentage
No meal plan	\$3,510	\$3,656	\$55	1.6%
Open access meal plan	\$7,130	\$7,280	\$150	2.1%
10 meals per week	\$6,965	\$7,111	\$146	2.1%
7 meals per week	\$6,914	\$7,059	\$145	2.1%

Residence Hall Single Room Rates, Fall & Spring Semester

Accommodations/Plan	2013-2014 Rate	2014-2015 Proposed Rate	Difference in Amount	Difference in Percentage
No meal plan	\$4,040	\$4,105	\$65	1.6%
Open access meal plan	\$7,660	\$7,820	\$160	2.1%
10 Meals per week	\$7,495	\$7,652	\$157	2.1%
7 Meals per week	\$7,444	\$7,600	\$156	2.1%

Agnew Hall Suites, Fall & Spring Semester

Accommodations	2013-2014 Rate	2014-2015 Proposed Rate	Difference in Amount	Difference in Percentage
No meal plan	\$4,545	\$4,618	\$73	1.6%
Open access meal plan	\$8,165	\$8,336	\$171	2.1%
10 Meals per week	\$8,000	\$8,168	\$168	2.1%
7 Meals per week	\$7,949	\$8,116	\$167	2.1%

Wooster Place Apartment, Fall & Spring Semester (10 Months)

Accommodations (Unfurnished)	2013-2014 Rate	2014-2015 Proposed Rate	Difference in Amount	Difference in Percentage
1 Bedroom	\$5,050	\$5,131	\$81	1.6%
2 Bedroom	\$5,475	\$5,563	\$88	1.6%
2 Bedroom Shared	\$4,465	\$4,536	\$71	1.6%

Stadium Place Apartment, Fall & Spring Semester (10 Months)

Accommodations	2013-2014 Rate	2014-2015 Proposed Rate	Difference in Amount	Difference in Percentage
2 Bedroom	\$5,475	\$5,563	\$88	1.6%
4 Bedroom	\$4,970	\$5,050	\$80	1.6%

Additional Fees

Fee	2013-2014 Rate	2014-2015 Proposed Rate	Difference in Amount	Difference in Percentage
Upgrade charge for a Wiest Hall suite	\$200	\$200	\$0	0%
Application fee	\$35	\$35	\$0	0%
Late fee	\$25	\$25	\$0	0%

Weekly Rates

Accommodations	2013-2014 Rate	2014-2015 Proposed Rate	Difference in Amount	Difference in Percentage
Early arrival for students with housing contracts	\$120	\$120	\$0	0%
Guest room	\$167	\$167	\$0	0%

Daily Rates

Accommodations	2013-2014 Rate	2014-2015 Proposed Rate	Difference in Amount	Difference in Percentage
Early arrival for students with housing contracts	\$55	\$55	\$0	0%
Guest Room	\$27	\$27	\$0	0%

Summer Term

Accommodations	2013-2014 Rate	2014-2015 Proposed Rate	Difference in Amount	Difference in Percentage
Camper daily rate for a double room	\$13	\$13	\$0	0%
Camper daily rate for a single room	\$26	\$26	\$0	0%

**FORT HAYS STATE UNIVERSITY
PROPOSED INCREASES IN RESIDENCE HALL, CONTRACT BOARD AND APARTMENT
RENTAL RATES AND FEES
2014 -2015**

Business Impact of Proposed Rates

Expenditures Impact

The proposed increase of 1.6% in the traditional residence halls, suites, and apartments and an increase of 2.6% in contract board rates, equaling a blended increase of 2.1%, will generate approximately \$195,000 in additional revenue for the 2015 fiscal year. These resources will become part of the base budget and will be used for increases in ongoing operating expenses as a result of inflation, staff adjustments, changes in compensation and capital improvements as well as the costs associated with the food service rate increase. Residential Life has been able to hold the increase to a minimum due in part to anticipated savings resulting from the implementation of wind generation. Projections indicate a savings in electrical cost of approximately \$100,000 annually. These savings plus the revenue generated by the rate increase will offset expense increases and add a small amount to reserves for use in capital improvement projects needed in the existing residence halls.

Estimated Benefits from Proposal

The proposed rate of increase in university housing will be used to help offset general operating expense increases, increases in the board rates proposed by our food service provider due to increases in overall food and benefits costs of operation and will help fund facility improvements throughout housing. Scheduled facility improvements include window replacements in Custer Hall, electrical upgrades in McMinder Hall, masonry cleaning and sealing for Wooster Hall and replacement of stairwells in Wooster Hall.

Alternatives to Proposal

The proposed rates of increase depicted on the accompanying “Recommended Residence Hall and Apartment Rates—2014-2015” were determined to be the most advantageous to all parties concerned. The rate proposal was presented to the Residence Hall Association at its regular meeting on September 30, 2013 and was approved. No alternatives were discussed.

Impact of Not Implementing Proposal

The rate increases proposed are to cover anticipated and known increases in operational costs for residential life. While the operation could survive without the increase future plans for the maintenance, improvement, and replacement of facilities would have to be reconsidered. The goal of residential life over the next five years is to replace or refurbish all its facilities and to accomplish that its price increases minimally should be near inflation during that time period. Planning has begun for the replacement of Wiest Hall with an anticipated completion date of August 2016. Once complete Wiest Hall will be razed. The project is anticipated to include an additional food service facility and more than 400 beds.

Supplemental Financial Information KBOR Rate Increase Request	Projected FY 2015***	Estimated FY 2014***	Actual FY 2013***	Actual FY 2012
Gross Operating Revenue	\$9,384,268	\$9,188,838	\$8,004,146	\$7,550,117
Gross Operating Expense	\$5,246,242	\$5,211,020	\$4,959,566	\$4,846,874
Gross Operating Revenue (Loss)	\$4,138,026	\$3,977,818	\$3,044,580	\$2,703,243
Capital Improvements Expense*	\$5,570,000	\$3,526,000	\$1,384,625	\$1,449,295
Annual Debt Service	\$1,010,888	\$ 981,446	\$ 498,150	\$ -
Other Capital Expenditures	\$ -	\$ -	\$ -	\$ -
Net Operating Revenue (Loss)	\$(2,442,862)	\$ (529,628)	\$1,161,805	\$1,253,948
Occupancy Rate	90%	90%	88%	91%
Reserve Balance (EOY)	\$1,206,144	\$3,649,007	\$4,178,634	\$2,993,489
L/T Debt Outstanding	\$ -	\$ -	\$ -	\$ -
Capital Improvements Planned*				
FY 2014		\$3,526,000		
FY 2015	\$5,570,000			

*** Capital Improvements Description:**

FY 2014- Wiest replacement capital investment, Agnew storm room, Wooster stairwells and masonry, Custer windows.

FY 2015- Wiest replacement capital investment, McMIndes furnishing replacement.

Debt Service:

***** Agnew replacement cash flow guarantee estimate beginning 2013 for first phase. 2014 includes guarantee cash flow for second phase.**

2. Approve Allocation of FY 2015 Rehabilitation and Repair Appropriation – Systemwide **Eric King,
Director of Facilities**

Allocation of Funds for Rehabilitation and Repair Projects for Institutions of Higher Education as Appropriated to the Kansas Board of Regents

FY 2015 - \$35,000,000 (EBF)

Adjusted gross square feet (mission-critical buildings only):

	<u>GSF</u>	<u>% of Total</u>
The University of Kansas	5,488,301	26.87
The University of Kansas Medical Center	2,297,176	11.25
Kansas State University	6,105,374	29.90
Wichita State University	2,243,148	10.98
Emporia State University	1,232,601	6.04
Pittsburg State University	1,507,841	7.38
Fort Hays State University	<u>1,548,171</u>	<u>7.58</u>
	20,422,612	100.00

PRIORITY LISTING OF PROJECTS

ALLOCATION

The University of Kansas

- | | |
|--|-----------|
| 1. Fraser Hall Fire Alarm | \$400,000 |
| Replace the existing fire alarm system, which has reached the end of its useful life, with a new intelligent voice system with 2010-compliant dual strobes for fire alarm and mass notification. | |
| 2. Learned Hall Electrical Improvements Phase 3 | \$150,000 |
| Replace existing electrical equipment which has reached the end of its useful life. | |
| 3. Watson Library Electrical Improvements | \$290,000 |
| Continued replacement of existing electrical equipment which has reached the end of its useful life. | |
| 4. Haworth Hall Replace Electrical Improvements Phase 3-5 | \$400,000 |
| Design replacement of electrical equipment which has reached the end of its useful life. | |
| 5. Strong Hall Ramp | \$195,000 |
| Install an ADA compliant ramp providing ingress and egress via the front of KU's main administration building. | |
| 6. Haworth Hall Traction Elevator | \$100,000 |
| Replace existing elevator which has reached the end of its useful life. | |

- | | | |
|--|--|-------------|
| 7. Snow Hall Hydraulic Elevator | Replace existing elevator which has reached the end of its useful life. | \$70,000 |
| 8. Lindley Hall Hydraulic Elevator | Replace existing elevator which has reached the end of its useful life. | \$65,000 |
| 9. Fraser Hall Tuck-Pointing | Tuck-point, clean and seal limestone exterior. | \$300,000 |
| 10. Bridwell Research Laboratory Reroof | Replace existing roofing which has reached the end of its useful life. | \$100,000 |
| 11. Summerfield Hall HVAC Improvements | Design an updated HVAC system to meet applicable codes and the needs of new occupants when the building is re-purposed following completion of the new School of Business. | \$300,000 |
| 12. Bailey Hall HVAC Improvements & Fire Sprinkler System | Design a new fire sprinkler system and an updated HVAC system to meet applicable codes and the needs of building occupants. | \$200,000 |
| 13. Strong Hall HVAC Improvements | Design an updated HVAC system to meet applicable codes and the needs of building occupants. | \$500,000 |
| 14. Zoned Chilled Water Plant | Design a zoned chiller plant to improve efficiency and to replace existing individual cooling plants in Lindley Hall, Art & Design, Marvin Hall, etc. | \$354,000 |
| 15. Art & Design HVAC Improvements | Design an updated HVAC system to meet applicable codes and the needs of building occupants. | \$500,000 |
| 16. Lindley Hall HVAC Improvements | Design an updated HVAC system to meet applicable codes and the needs of building occupants. | \$300,000 |
| 17. Jayhawk Blvd. Reconstruction – Phase 2 | Replace and reconstruct street and infrastructure between Poplar Lane and Sunflower Street that has reached the end of its useful life. | \$3,500,000 |
| 18. 15th Street Infrastructure Improvements | Design replacement and improvements to infrastructure between Burdick and Naismith streets. | \$800,000 |
| 19. Naismith Drive Infrastructure Improvements | Replace and improve infrastructure between 15 th Street and Crescent Road. | \$600,000 |
| 20. Wescoe Hall Classroom Improvements | Renovate two classrooms with modern technology to accommodate changes in teaching methods. | \$140,000 |

- | | |
|---|------------------|
| 21. Microscopy Lab Remodel | <u>\$140,000</u> |
| Reconfigure layout and mechanical/electrical/plumbing systems to meet current research needs. | |

Total	\$9,404,000
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The University of Kansas Medical Center

- | | |
|--|-------------|
| 1. Campus Facility Code Issues | \$200,000 |
| Correct non-code compliant issues in various buildings concerning fire code, ADA compliance, electrical, plumbing, HVAC systems and hazardous material remediation. | |
| 2. Campus Interior Maintenance | \$200,000 |
| Replacement deteriorated and aging flooring and ceiling materials and repair damaged walls in various buildings. | |
| 3. Campus Fire Alarm/Sprinkler Systems | \$50,000 |
| System upgrades/replacements in Delp, Building 14, Murphy, and Sudler Link. | |
| 4. Campus Exterior Maintenance | \$80,000 |
| Clean, tuck-point, re-caulk and seal exterior masonry walls, roof repairs, walk and curb repairs. | |
| 5. Campus Utility Infrastructure | \$482,000 |
| Replace failing electrical conduit, electrical transformers, condensate tanks, pumps, air handling units, etc. | |
| 6. Applegate Energy Center Infrastructure | \$100,000 |
| Replace various failing and obsolete system components, piping, etc. | |
| 7. Campus Energy Conservation | \$350,000 |
| Replace windows, variable frequency drives, pipe insulation, etc. | |
| 8. Delp ADA Compliant Restrooms | \$200,000 |
| Provide ADA compliant restrooms on one floor of the Delp building. | |
| 9. Research Support Facility Improvements-Phase 2 | \$2,000,000 |
| Replace the air terminal boxes and controls, connect the domestic water to the Applegate Energy Center water source, and replace surgery unit medical gas systems and monitors/alarms. | |
| 10. Campus Infrastructure Maintenance - SOM Wichita | \$125,000 |
| Repair HVAC, electrical and lighting components in buildings 90 and 92. | |
| 11. Campus Exterior Maintenance - SOM Wichita | \$80,000 |
| Miscellaneous roof repairs, painting, walk replacements, etc. | |

- | | |
|--|-----------------|
| 12. Campus Interior Maintenance - SOM Wichita | <u>\$71,000</u> |
| Replace interior ceiling, wall and flooring finishes in buildings 90 and 92. | |

Total	\$3,938,000
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Kansas State University

- | | |
|--|-----------|
| 1. Holton Hall Roof Replacement | \$450,000 |
| Replace 25 year old shingle roof system with 50 year warranty and architectural style shingles, including new gutters, down spouts and any other unforeseen water damage issues uncovered during demolition. | |
| 2. Power Plant Roof Replacement | \$150,000 |
| Replace 27 year old shingle roof system with 50 year warranty and repairs on any unforeseen water damage issues uncovered during demolition. | |
| 3. Ahearn Roof Replacement | \$180,000 |
| Remove and replace 33 year old modified bitumen roofing system with new insulation and modified bitumen system on flat roof area(s) repair any water damaged areas uncovered during demolition. | |
| 4. Main Campus Roof Maintenance | \$145,000 |
| Miscellaneous repairs to roof systems, lighting systems and roof coatings as required. | |
| 5. Main Campus Infrastructure Repairs | \$625,000 |
| Maintenance repairs and replacement to streets, curbs, walks, safety issues, underground utility systems, water line breaks and other unplanned infrastructure emergencies as necessary. | |
| 6. Bluemont Hall Elevator Upgrades | \$250,000 |
| Upgrades to two elevators including new hoist motors, control systems, selector systems, drive systems, hoist and governor traveling cables and wiring. | |
| 7. Hale Library Foundation Waterproofing | \$225,000 |
| To prevent further water infiltration during heavy rains, below grade walls must be exposed and waterproofed, window wells rebuilt, and topsoil re-graded to direct water from building. | |
| 8. KSU-Salina College Center Roof Replacement | \$75,000 |
| Replace roof which has reached the end of its useful life. | |
| 9. KSU-Salina Cyclic Painting/Carpet Replacement | \$50,000 |
| Miscellaneous repairs/replacements as necessary. | |
| 10. KSU-Salina Street Maintenance | \$17,000 |
| Storm water drainage improvements to Scanlan and Kuhlman Square. | |
| 11. KSU-Salina Walk Repairs | \$20,000 |
| Repairs or replacement at the TAC and College Center. | |

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| 12. KSU-Salina Classroom Improvements | \$30,000 |
| Classroom 120 renovations, classroom 139 renovation design, and demolition at the Science Center. | |
| 13. KSU-Salina Campus Infrastructure Repairs | \$35,000 |
| Design an updated HVAC system to meet applicable codes and the needs of building occupants. | |
| 14. Vet. Med. Window Replacement | \$75,000 |
| Replace irreparable window system in Coles Hall. | |
| 15. Vet. Med. Exterior Joint Caulking | \$75,000 |
| Replace caulking at stone joints to prevent water infiltration at Trotter Hall and Mosier Hall. | |
| 16. Vet. Med. Cyclic Painting | \$25,000 |
| Paint as necessary to meet hospital accreditation standards. | |
| 17. Vet. Med. Mechanical/Electrical/Plumbing System Repairs | \$98,000 |
| Maintenance and repairs to MEP systems in all three buildings. | |
| 18. Vet. Med. Door Repairs and Replacement | \$35,000 |
| Replace doors that have exceeded their useful life and can no longer be maintained, or repair doors as necessary. | |
| 19. Main Campus Electrical Distribution Upgrades | \$600,000 |
| Connect the remaining twenty buildings on the 4160 system to the 12,470v electrical loop. The goal is to upgrade one or two buildings per year. This request will connect one building to the 12,470v loop. | |
| 20. ESARP Maintenance | \$200,000 |
| Maintenance repairs to buildings and infrastructure at Ag Research facilities located throughout Kansas. | |
| 21. KSU-Salina Utility Upgrades | \$40,000 |
| Repairs and upgrades to current sanitary lines and kitchen grease trap systems on campus. | |
| 22. KSU-Salina Exterior Lighting | \$52,000 |
| LED lighting upgrades to exterior walkways and parking lots to lower maintenance costs and energy usage. | |
| 23. KSU-Salina Duct Cleaning | \$21,000 |
| Clean ducts and general HVAC system repairs at the College Center. | |
| 24. Vet. Med. Exterior Lighting | \$20,000 |
| Lighting upgrades to exterior walkways and parking lots to lower maintenance costs and energy usage. | |

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| 25. Vet. Med. HVAC Upgrades | Upgrade and replacement of HVAC system components and controls to improve efficiency and energy consumption. | \$83,000 |
| 26. Vet. Med. Trotter Hall Lighting | LED lighting upgrade to lecture room 201 to lower maintenance and energy costs. | \$35,000 |
| 27. Main Campus Electrical Repair and Replacement | Repair and replacement of electrical distribution equipment including walk lights, street lights, electrical service to buildings and building electrical systems. | \$200,000 |
| 28. Main Campus HVAC Repair and Replacement | Repair and replacement of heating and cooling systems including chilled and hot water systems, DX heating/cooling systems, window air conditioners, controls, power plant equipment, boilers, etc. | \$775,000 |
| 29. Natatorium AHU Replacement | Replace the deteriorated 60-year old air handling unit equipment. | \$554,000 |
| 30. Throckmorton Hall Water Line Replacement | Replace inadequate water lines to meet user requirements and fix leaks. | \$200,000 |
| 31. Ahearn Hot Water System Replacement | Replace leaking 60-year old hot water lines. | \$75,000 |
| 32. Bluemont Hall Heat Recovery Wheel Replacement | Replace the 30-year old non-functioning enthalpy wheel to reduce energy costs. | \$75,000 |
| 33. Main Campus Fire Code Improvements | The State Fire Marshal has identified code violations that require corrective action to comply with current standards. | \$1,163,000 |
| 34. Vet. Med. Fire Code Improvements | The State Fire Marshal has identified code violations that require corrective action to comply with current standards. | \$49,000 |
| 35. KSU-Salina Code Improvements | The State Fire Marshal has identified code violations that require corrective action to comply with current standards. | \$38,000 |
| 36. Engineering Complex Fire Sprinkler System | Install fire sprinkler system in Durland Hall, Rathbone Hall and Fiedler Hall as a cost efficient measure in lieu of maintaining building separation requirements. | \$500,000 |
| 37. Main Campus Fire Code Maintenance and Repairs | Routine maintenance of fire systems such as exit lighting, egress path lighting, door adjustments, closer replacements, audio/visual horn strobes and smoke detector maintenance or replacement. | \$250,000 |

38. Nichols Hall Stone Restoration – Phase 3	\$600,000
Continued replacement of stone damaged in the 1968 building fire.	
39. West Stadium Stone Restoration (Old Memorial West Stadium) – Phase 2	\$600,000
This will complete the replacement/restoration of damaged stone on the West Stadium. (This facility contains academic programs).	
40. Cardwell Hall Classroom Renovation	\$825,000
Major renovations to Room 101 general classroom include, new ceiling, lighting, seating, flooring and walls, including asbestos abatement.	
41. Arts and Science Space Upgrades and Renovations	\$200,000
General upgrade of space throughout campus to enhance the learning environment for students and faculty.	
42. Hazardous Material Remediation	\$200,000
Address hazardous conditions such as asbestos, lead paint, CFC, transformer and switch oil, fuel storage, etc.	
43. Main Campus Cyclic Painting, Windows & Doors	\$200,000
Painting of public space across campus and maintenance or replacement of entry doors and exterior building windows on campus.	
44. West Stadium Window Replacement	\$150,000
Replace existing metal frame single-pane windows with energy efficient thermal-break windows.	
45. Main Campus Cyclic Floor Covering Replacement	<u>\$200,000</u>
Replacement of floor coverings in public spaces throughout campus as necessary.	
Total	\$10,465,000

Wichita State University

1. Campus Floor Covering Replacement	\$350,000
Replace deteriorated carpet and tile in campus buildings	
2. Campus Sidewalk Repair	\$150,000
Replace uneven and cracked sidewalks throughout campus	
3. Campus Street Repair	\$100,000
Replace deteriorated segments of street pavement throughout campus	
4. Exterior/Interior Door and Hardware Replacement	\$250,000
Replace deteriorated doors and outdated hardware in campus buildings.	
5. Exterior/Interior Lighting Upgrades	\$150,000
Replace outdated lighting fixtures: walk lights, street lights, and classroom lights with new energy efficient fixtures.	

6. Upgrade Elevator Controls in Various Campus Buildings	\$250,000
Replace outdated elevator controls with new controllers	
7. Replace Outdated Fire Alarm Panels in Various Campus Buildings	\$250,000
Replace conventional fire alarm panels with new addressable systems.	
8. Fire Alarm System and Device Inspection and Repair	\$100,000
Annual inspection of fire alarm systems campus wide as required by Kansas State Fire Marshall's Office.	
9. Refurbish Restrooms in Various Campus Buildings	\$300,000
Continue to upgrade campus restrooms with new finishes and fixtures.	
10. Refurbish Classrooms in Various Campus Buildings	\$343,000
Continue to upgrade campus classrooms with new lighting, finishes, seats, and floor coverings.	
11. Replace 3 Boiler Burner Control Systems in the Central Energy Plant	\$250,000
Boiler burner controls need to be replaced due to being outdated and parts are no longer available.	
12. Install Elevator Fiske Hall	\$700,000
Install elevator at Fiske Hall to bring all floors to an accessible condition.	
13. Conduct Study to Evaluate Central Energy Plant Cooling Towers Upgrade	\$25,000
Study the Central Energy Plant Cooling Towers to explore the viability to expand and create redundancy to the system.	
14. Exterior Waterproofing Various Campus Buildings	\$75,000
Make necessary repairs to various buildings on campus to prevent water intrusion into buildings.	
15. Duerksen Fine Arts Building Roof Replacement	\$450,000
Replace roof at Duerksen Fine Arts Center as it is past its life and out of warranty.	
16. Human Resources Building Roof Replacement	<u>\$100,000</u>
Replace roof at Human Resources Building that sustained damage from hail and wind.	
Total	\$3,843,000

Emporia State University

1. Visser Hall Piping Replacement	\$300,000
Replace existing 35 year old HW convector piping in Visser Hall. This was originally a part of the Regent's Deferred Maintenance Initiative of 2007 but was not started due to the funding being curtailed.	

- 2. Replace Fan Coil and Heating Convactor Units and Insulation** \$400,000

Replace defective and dilapidated fan coil and heating convactor units at several buildings (Roosevelt Hall, Science Hall, Cremer Hall & P.E. Building) across campus. Also start a program of replacing dilapidated and/or missing piping insulation across campus. This will improve energy efficiency, room environment and stop interior damage due to water drips.
- 3. Upgrade Fire Alarm Systems at Various Buildings** \$150,000

Replace existing fire alarm systems at various buildings to comply with current life safety and accessibility codes.
- 4. Update and Enhance Teaching Laboratories** \$250,000

Renovate selected existing teaching laboratories across the campus to update and enhance the esthetics, accessibility and technology in each room. This would include replacing floor, ceiling and wall finishes, casework, lab stations and furniture, and improving the lighting, and sound and projection systems.
- 5. Corridor Improvements at Various Campus Buildings** \$150,000

Upgrade existing original corridors (floors, ceilings and walls) that are over 30 years old.
- 6. Repurpose Underutilized Classrooms into Learning Centers** \$250,000

Repurpose existing public spaces and underutilized classrooms into learning centers (with energy efficient lighting, furniture, technology and accessibility improvements) for students in Roosevelt Hall, King Hall, Visser Hall and P.E. Building.
- 7. Repurpose Space in Cremer Hall and Butcher Education Center** \$250,000

Repurpose the area occupied by the University Press Department in Cremer Hall for the expansion and upgrade of the University's Information Technology Department. This will centralize this department into a single location from the current three locations (Visser Hall, Butcher Education Center and Cremer Hall). The current University Press operations will be reduced in area and be relocated in the existing storage warehouse location. The vacated spaces in Butcher Education Center and Visser Hall will be repurposed without changes to existing conditions.
- 8. Campus Roof Repairs and Maintenance** \$50,000

Continue repairs and improvements to roofs on academic buildings on campus. An "on-call" roofing contract allows quick response to minor problems to prevent major damage to interiors of buildings.
- 9. Campus Fire Alarm System Inspection and Repairs** \$34,000

Continue repairs and improvements to fire alarm systems and devices as indicated and/or required by the State Fire Marshal's annual inspection.
- 10. Campus Floor Covering Replacement** \$50,000

Continue repairs and improvements to existing floor coverings in need of replacement at various buildings across campus.

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| 11. Campus Elevator Improvements | \$30,000 |
| Continue repairs and improvements on existing elevator equipment and controls at various buildings across campus. | |
| 12. Campus HVAC Repairs & Replacements | \$50,000 |
| Continue repairs and improvements on existing HVAC equipment at various buildings across campus. | |
| 13. Campus Sidewalk, Street and Exterior Improvements/Repairs | \$150,000 |
| Continue repairs and improvements on exterior campus sidewalks, streets, signage and exterior elements to sustain accessibility for the disabled and improve wayfinding on campus. | |

Total	\$2,114,000
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Pittsburg State University

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| 1. Weede PE Building Lower Roof Replacement | \$500,000 |
| Remove and replace deteriorated built-up roofing, gravel ballast, and insulation with new insulation and TPO membrane on lower roof area. | |
| 2. Weede PE Building Pool Repairs | \$450,000 |
| Work includes replacing the gutter and return lines, painting of the ceiling, tile replacement and re-grouting of the pool and deck, and adding fans for improved ventilation. | |
| 3. Campus Life Safety Systems Repairs and Maintenance | \$100,000 |
| Repair, replace, and/or upgrade fire alarm, fire suppression and emergency lighting components. | |
| 4. Kelce Center Partial Roof Replacement | \$83,000 |
| Remove and replace deteriorated built-up roofing, gravel ballast, and insulation with new insulation and EPDM membrane. | |
| 5. Yates Hall Renovations | \$350,000 |
| New interior doors and floor finishes in the corridors and new ceiling and lights in classrooms. | |
| 6. Axe Library Interior Renovation – Phase 1 | \$300,000 |
| New finishes, ceiling, lights, and reconfiguration of one floor to add group study areas and meeting rooms. | |
| 7. Hartman Hall Classroom Renovations – Phase 1 | \$350,000 |
| Renovate and reconfigure to provide additional classrooms on the second floor north and south wings including new HVAC systems. | |
| 8. Whitesitt Hall Renovations | <u>\$450,000</u> |
| New ceilings, lights, and floor finishes in first floor corridor. New HVAC, ceiling, lights and finishes in Classroom 101. | |

Total	\$2,583,000
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Fort Hays State University

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| 1. Campus Sidewalk Replacements | \$30,000 |
| Replace deteriorated segments of sidewalk pavement throughout campus. | |
| 2. HVAC Repairs/Replacement | \$30,000 |
| Repair or replace components of existing HVAC equipment located throughout the academic campus. | |
| 3. Campus Asbestos Abatement | \$5,000 |
| Provides funding for removing small quantities of asbestos-containing materials found in plumbing chases, floor finishes, and mechanical spaces. | |
| 4. Steam Generation and Distribution Upgrades | \$5,000 |
| Replace valves and other components related to steam generation systems throughout campus. | |
| 5. Life Safety Systems Repairs and Maintenance | \$10,000 |
| Repair, replace and test fire alarm, fire suppression and emergency lighting components. | |
| 6. Street Repairs | \$70,000 |
| Replace portions of deteriorated concrete street paving that are 50+ years old. | |
| 7. Stroup Hall Roofing Replacement | \$250,000 |
| Remove existing deteriorated modified bitumen roofing and rigid insulation board and replace with single-ply epdm membrane over new rigid insulation. | |
| 8. McCartney Hall Roofing Replacement | \$145,000 |
| Remove existing deteriorated modified bitumen roofing and rigid insulation board and replace with single-ply epdm membrane over new rigid insulation. Project also includes removal and replacement of existing asphalt shingles. | |
| 9. Albertson Hall Shingle Replacement | \$85,000 |
| Provides for the removal and replacement of existing asphalt shingles at gable portion of north wing. | |
| 10. Rarick Hall – First Floor VAV Replacement | \$140,000 |
| Complete the fifth and final phase of VAV equipment, ductwork and controls improvements at Rarick Hall. | |
| 11. Malloy Hall & Cunningham Hall/Gross Coliseum Telecommunications Wiring Replacement | \$80,000 |
| Replace aging communications wiring with new CAT 6 wiring and equipment. | |

12. Akers Energy Center Boiler Replacement Study	\$10,000
Provide funding for preliminary engineering study to determine project scope and probable cost to replace existing gas fired boilers installed in 1968.	
13. Campus Exterior Lighting Improvements – Phase I	\$930,000
Replace existing aging metal halide pedestrian light fixtures and high pressure sodium street lighting with new energy-efficient L.E.D. luminaires and aluminum poles.	
14. Livestock Pavilion Roof Replacement	\$300,000
Remove and replace existing 33-year-old metal roofing panels. Project also provides for installation of roofing insulation.	
15. Cunningham Hall Sanitary Sewer Improvements	\$413,000
Remove and replace deteriorated sanitary sewer lines at south quadrant of Cunningham Hall. This is a multi-phase project at Cunningham Hall/Gross Coliseum.	
16. Campus Medium Voltage Electrical Improvements Phase III –	<u>\$150,000</u>
Provides funding to move remainder of buildings in south campus to new 12,470v electrical system.	
Total	\$2,653,000

SUMMARY

	<u>Allocation</u>
The University of Kansas	\$9,404,000
The University of Kansas Medical Center	3,938,000
Kansas State University	10,465,000
Wichita State University	3,843,000
Emporia State University	2,114,000
Pittsburg State University	2,583,000
Fort Hays State University	<u>2,653,000</u>
TOTAL	\$35,000,000

3. Adopt Bond Resolution – Construction of and Improvements to Performing Arts Center, Indoor Events Center, and Overman Student Center; Redeem Temporary Notes Previously Issued for Improvements to the Housing System; and Economic Refundings of Prior Debt – PSU

**Julene Miller,
General Counsel**

Summary and Staff Recommendation

Pittsburg State University requests that the Board of Regents adopt a Resolution authorizing the issuance of KDFA Revenue Bonds in one or more series in an aggregate amount not to exceed \$36,000,000 plus costs and reserves. The purpose of the Bonds is to (i) acquire, construct and improve a performing arts center and an indoor events center (ii) make improvements and construct an addition to the Overman Student Center; (iii) redeem temporary notes previously issued for improvements to the PSU housing system; and (iv) refund certain outstanding revenue bonds relating to other facilities at the University. The Bonds for the construction of and improvements to the performing arts center, indoor events center, and Overman Student Center will be issued in an aggregate amount of not to exceed \$24,000,000, plus costs and reserves, and will be secured and debt serviced with a pledge of generally available unencumbered funds of the University. Repayment of the bond anticipation notes will add another approximately \$9.04 million to the principal amount that will be secured and debt serviced with a pledge of generally available unencumbered funds of the University. The refunding Bonds will be issued in an aggregate principal amount of approximately \$2,500,000 and will also be secured and debt serviced with a pledge of generally available unencumbered funds of the University. As of June 30, 2013, the University and its affiliated corporations had approximately \$7,970,000 in outstanding revenue bonds, the debt service for which is payable from the unrestricted revenues of the University. The balance University-wide on all outstanding revenue bonds as of that date was \$40,833,205. After transaction close, the University will have approximately \$65 million revenue bonds outstanding.

The Resolution further authorizes the Chair and the President and CEO to execute the Resolution, a Pledge of Revenues Agreement(s) and various other documents relating to the security and payment of such Bonds in such form as is approved by the General Counsel to the Board and necessary to accomplish the purposes set forth in the Resolution and the issuance of the Bonds.

Background

The Kansas Development Finance Authority (KDFA), created by the 1987 Kansas Legislature, K.S.A. 74-8901, *et seq.*, has authority to issue bonds on behalf of the State for projects authorized by the Legislature. K.S.A. Supp. 74-8905(b) provides, in part, that:

The authority may issue bonds for activities and projects of state agencies as requested by the secretary of administration. Research facilities of state educational institutions shall be subject to the provisions of this subsection (b). No bonds may be issued pursuant to this act for any activity or project of a state agency unless the activity or project either has been approved by an appropriation or other act of the legislature or has been approved by the state finance council acting on this matter which is hereby characterized as a matter of legislative delegation and subject to the guidelines prescribed in subsection (c) of K.S.A. 75-3711c, and amendments thereto.

Authority to initiate and complete a capital improvement project, and to issue revenue bonds, for improvements and construction of the student center, physical education center, and performing arts center at Pittsburg State University has been authorized by the 2013 Legislature in Section 236(d) of Chapter 136 of the 2013 Session Laws, which provides:

In addition to the other purposes for which expenditures may be made by Pittsburg state university from the moneys appropriated from the state general fund or from any special revenue fund or funds for fiscal year 2014 or fiscal year 2015 authorized by this or other appropriation act of the 2013 regular session of the legislature or by appropriation act of the 2014 regular session of the legislature, expenditures shall be made by Pittsburg state university from moneys appropriated from the state general fund or any special revenue fund or funds for fiscal year 2014 or for fiscal year 2015 to provide for the issuance of bonds by the Kansas development finance authority in accordance with K.S.A. 74-8905, and amendments thereto, for a capital improvement project for improvements and construction of the student center, physical education center, and performing arts center at Pittsburg state university: *Provided*, That such capital improvement project is hereby approved for Pittsburg state university for the purposes of subsection (b) of K.S.A. 74-8905, and amendments thereto, and the authorization of the issuance of bonds by the Kansas development finance authority in accordance with that statute: *Provided further*, That Pittsburg state university may make expenditures from the moneys received from the issuance of any such bonds for such capital improvement project: *Provided, however*, That expenditures from the moneys received from the issuance of any such bonds for such capital improvement project shall not exceed \$24,000,000, plus all amounts required for costs of bond issuance, costs of interest on the bonds issued for such capital improvement project during the construction of such project, credit enhancement costs and any required reserves for the payment of principal and interest on the bonds: *And provided further*, That all moneys received from the issuance of any such bonds shall be deposited and accounted for as prescribed by applicable bond covenants: *And provided further*, That debt service for any such bonds for such capital improvement project shall be financed by appropriations from any appropriate special revenue fund or funds: *And provided further*, That Pittsburg state university may make provisions for the maintenance of the buildings.

Section 151(h), Chapter 131 of the 2008 Kansas Session Laws and Section 138(e), Chapter 175 of the 2012 Kansas Session Laws authorized bonds in the amount of not more than \$22,000,000 for the housing project.

Pursuant to these authorizations, Pittsburg State University and KDFA propose issuance of Revenue Bonds in an aggregate principal amount not to exceed \$36,000,000, plus all amounts required for costs of issuance, costs of interest on such revenue bonds during the construction of the project, credit enhancement costs, and any required reserves for the payment of principal and interest on such revenue bonds, for the purpose of funding the Project.

This project includes:

Performing Arts Center Project. The Pittsburg State University Center for the Arts is anticipated to be complete late in the Fall 2014 and open in the Spring 2015. This \$33 million project will serve as one of the Midwest's premier venues for music, theater, art exhibits, lectures and other special events. The facility will include two performance halls, a grand entrance lobby appropriate for receptions and other gatherings, an art gallery, a workspace for an artist-in-residence, a private reception room, a multiuse rehearsal space for large musical groups, and various technical support spaces. The contractor for the construction of the Performing Arts Center Project is Crossland Construction.

Events Center Project. The \$17 million expansion and renovation of the Weede Physical Education building will provide the community with its first indoor event facility, as well as expanded recreational and athletic facilities for students and the community. A 300-meter track will enclose an 80-yard turf field and provide seating for up to 1,000 fans. John Lance Arena was renovated as phase one of this project and now includes modern seating and flooring as well as new office space and meeting rooms on the mezzanine level. The Events Center Project is expected to be completed by Spring 2015. The contractor for the Events Center Project is Nationwide Construction.

Student Center Project. Improvements to the Overman Student Center are expected to begin March 2014 with a \$15.74 million expansion and renovation. The projected completion date is Summer 2015. The improvements will provide the University with more than 44,000 square feet of new space and allow for renovations of 25% of the current building. The renovation includes a new student activities complex, a new ballroom space, a larger University Club seating area, additional lounge space and new meeting and programming space. The contractor has not been selected yet for the Student Center Project.

At its November, 2012 meeting, the Board adopted a Resolution authorizing the issuance of KDFA Revenue Bonds in one or more series in an aggregate amount not to exceed \$22 million plus costs and reserves to finance all or a portion of the student housing system improvements and construction. Approval was sought at that time as there were several preliminary expenses that would be incurred over the next year and temporary financing would be needed to fund those costs. On December 6, 2012, KDFA issued a bond anticipation note (BAN) in the amount of \$8,998,205.15 for this project. The BAN was placed with the Pooled Money Investment Board and carries an interest rate of 0.25% above the yield on a one-year U.S. Treasury security (currently 0.37%) with a floor of 0.50% and resetting July 1st and January 1st. While the Board has already approved issuance of bonds for this project, because the other projects are being rolled in, a new Resolution reflecting these projects and the increased amount is needed.

The bonds will be secured and debt serviced with a pledge of generally available unencumbered funds of the University.

Additionally, the University is requesting authority to have the Kansas Development Finance Authority issue certain Refunding Bonds. The Authority has previously issued Revenue Bonds, Series 2003A-1 (Kansas Board of Regents – Pittsburg State University Overman Student Center Project), for the purpose of refunding certain prior bonds of the Authority the proceeds of which were applied to finance a portion of the costs of renovating and expanding the Overman Student Center at the University; and Series 2004D (Kansas Board of Regents – Pittsburg State University Housing System Renovation Project – Bonita Terrace), for the purpose of refunding certain prior bonds of the Authority the proceeds of which were applied to finance a portion of the costs of constructing and renovating a portion of the Housing System at the University. The Authority indicates that it is advisable to issue refunding bonds to refund all or a portion of the outstanding Refunded Bonds to achieve estimated net present value interest cost savings of over 6% on each issue. Such Refunding Bonds would be secured by the pledge of generally available unencumbered funds of the University.

In conjunction with the issuance of the proposed bonds, since KDFA has and will incur expenses in relation to the issuance of the proposed bonds and subsequent administration of the Resolution and Pledge of Revenues Agreement(s), it will be necessary to execute an Administrative Agreement to provide for reimbursement of those expenses, as well as other documents and certificates necessary to accomplish the purposes set forth in the Resolution and the issuance and delivery of the bonds.

The Board is asked to adopt the Resolution (set out in full below), which approves the issuance of the bonds and authorizes the Chair and the President and CEO of the Board to execute the Pledge Agreement(s) and Administrative Agreement in such form as is approved by the General Counsel and to execute any and all other documents and certificates necessary to accomplish the purposes of the Resolution and the issuance of the bonds. Staff recommends approval of the Resolution.

RESOLUTION

A RESOLUTION OF THE KANSAS BOARD OF REGENTS APPROVING THE ISSUANCE BY THE KANSAS DEVELOPMENT FINANCE AUTHORITY OF ITS KANSAS DEVELOPMENT FINANCE AUTHORITY REVENUE BONDS TO PROVIDE ALL OR A PORTION OF THE FUNDS NECESSARY TO CONSTRUCT AND IMPROVE A PERFORMING ARTS CENTER AND AN INDOOR EVENTS CENTER AND MAKE IMPROVEMENTS TO THE OVERMAN STUDENT CENTER AT PITTSBURG STATE UNIVERSITY, REDEEM CERTAIN TEMPORARY NOTES PREVIOUSLY ISSUED BY THE AUTHORITY FOR THE PURPOSE OF FINANCING IMPROVEMENTS TO THE HOUSING SYSTEM AT PITTSBURG STATE UNIVERSITY, AND REFUND CERTAIN OUTSTANDING REVENUE BONDS OF THE AUTHORITY ISSUED FOR THE BENEFIT OF PITTSBURG STATE UNIVERSITY; AND AUTHORIZING THE EXECUTION OF ONE OR MORE PLEDGE OF REVENUES AGREEMENTS AMONG THE KANSAS BOARD OF REGENTS, PITTSBURG STATE UNIVERSITY AND THE KANSAS DEVELOPMENT FINANCE AUTHORITY THAT CONTAINS CERTAIN COVENANTS AND PROVISIONS WITH RESPECT TO THE PLEDGE OF CERTAIN FUNDS OF PITTSBURG STATE UNIVERSITY THAT WILL PROVIDE FOR THE PAYMENT OF SUCH BONDS.

WHEREAS, the Kansas Board of Regents (the "Board") is vested under the Constitution and laws of the State of Kansas with supervision and control over Pittsburg State University (the "University"), and is authorized under such laws to adopt this Resolution and perform, execute and carry out, or cause to be performed, executed and carried out, the powers, duties and obligations of the Board under this Resolution in connection with the acquisition and improvement of certain facilities located on the campus of the University and the University's operation thereof; and

WHEREAS, the Board and the University have heretofore determined that it is advisable to acquire, construct and improve a performing arts center and an indoor events center and make improvements to the Overman Student Center for the University and redeem certain temporary notes previously issued by the Kansas Development Finance Authority (the "Authority") for the purpose of financing improvements to the Housing System on the Pittsburg, Kansas campus of the University (the "Project"); and

WHEREAS, Chapter 136, Section 236(d) of the 2013 Session Laws of Kansas ("2013 Session Laws") authorizes the Authority, on behalf of the Board and the University, to issue its revenue bonds in an aggregate principal amount of not to exceed \$24,000,000 for the payment of the costs of the Project, plus all amounts required for costs of issuance, costs of interest on such revenue bonds during the construction of the Project and any required reserves for the payment of principal and interest on such revenue bonds; and

WHEREAS, the Authority has previously issued its Kansas Development Finance Authority Bond Anticipation Notes, Series 2012-3 (Pittsburg State University Projects) in the aggregate principal amount of \$8,998,205.15 (the "Series 2012-3 Notes"), the proceeds of which were applied to provide temporary financing for a portion of the costs of the Project relating to the Housing System; and

WHEREAS, the University has requested that revenue bonds in a principal amount not to exceed \$36,000,000 (the "Project Bonds") secured by the pledge of generally available unencumbered funds of the University be issued by the Authority on behalf of the Board and the University pursuant to the 2013 Session Laws and K.S.A. 74-8901 *et seq.* to finance the costs of the Project, including the redemption and retirement of the Series 2012-3 Notes; and

WHEREAS, the Authority has also previously issued its Refunding Revenue Bonds, Series 2003A-1 (Kansas Board of Regents – Pittsburg State University Overman Student Center Project), dated January 15, 2003, in the aggregate principal amount of \$1,225,000 (the "Series 2003A-1 Bonds"), for the purpose of refunding certain prior bonds of the Authority the proceeds of which were applied to finance a portion of the costs of renovating and expanding the Overman Student Center at the University; and

WHEREAS, the Authority has also previously issued its Revenue Bonds, Series 2004D (Kansas Board of Regents – Pittsburg State University Housing System Renovation Project – Bonita Terrace), dated July 14, 2004, in the aggregate principal amount of \$1,195,000 (the "Series 2004D Bonds"), for the purpose of refunding certain prior bonds of the Authority the proceeds of which were applied to finance a portion of the costs of constructing and renovating a portion of the Housing System at the University; and

WHEREAS, the University has requested that revenue bonds in a principal amount not to exceed \$2,500,000 (the "Refunding Bonds") be issued by the Authority on behalf of the Board and the University to refund the outstanding Series 2003A-1 Bonds and Series 2004D Bonds that were issued for the benefit of the University (collectively, and as more fully described herein, the "Refunded Bonds"); and

WHEREAS, the University has requested that the Refunding Bonds be secured by the pledge of generally available unencumbered funds of the University; and

WHEREAS, the Board, upon recommendation of the University, hereby finds and determines that it is advisable that the Project Bonds and the Refunding Bonds be issued by the Authority on behalf of the Board and the University, such bonds to be secured by the pledge of generally available unencumbered funds of the University; and

WHEREAS, in conjunction with the issuance of the Project Bonds and the Refunding Bonds, the Board may be required to execute one or more Pledge of Revenues Agreements among the Board, the University and the Authority (the "Pledge Agreement"), which contain certain covenants and provisions with respect to the pledge of generally available unencumbered funds of the University; and

WHEREAS, it is recognized that the Authority has and will incur additional expenses in relation to the issuance of the Project Bonds and the Refunding Bonds and subsequent administration and enforcement of the Pledge Agreements; and the University desires to reimburse the Authority for said additional expenses through the execution of one or more Administrative Service Fee Agreements (the "Administrative Agreement").

NOW THEREFORE, BE IT RESOLVED BY THE KANSAS BOARD OF REGENTS, AS FOLLOWS:

SECTION 1. The Board hereby approves the issuance of the Project Bonds and the Refunding Bonds by the Authority on behalf of the Board and the University, in one or more series to retire the 2012-3 Notes, finance all or a portion of the costs of the Project and/or redeem the Refunded Bonds, in an aggregate principal amount not in excess of the amount necessary to refund the Refunded Bonds and, with respect to the Project Bonds, the limitations described in the 2013 Session Laws. The Project Bonds and the Refunding Bonds shall be issued substantially in the form and with the repayment terms and provisions contained in information to be presented to the Board by the Authority and the University, all as may be approved by the Chair or his/her designate and the General Counsel to the Board. Notwithstanding the foregoing approval, Refunding Bonds may be issued only if the projected present value savings resulting from the issuance of the Refunding Bonds is not less than 3% of the principal amount of the outstanding Refunded Bonds.

SECTION 2. The Board hereby authorizes and instructs the Chair and President and CEO of the Board to

execute on behalf of the Board the Pledge Agreements, if required, and such other documents and certificates necessary to accomplish the purposes set forth in this Resolution and the issuance of the Project Bonds and the Refunding Bonds, including any documents and certificates necessary to accomplish any temporary financing entered into in anticipation of the issuance of such bonds, in such forms as are approved by the General Counsel to the Board. The Board further authorizes the President of the University to execute on behalf of the University the Pledge Agreements, the Administrative Agreements and such other documents and certificates necessary to accomplish the purposes set forth in this Resolution and the issuance of the Project Bonds and the Refunding Bonds, including any documents and certificates necessary to accomplish any temporary financing entered into in anticipation of the issuance of such bonds, in such forms as are approved by the General Counsel to the University.

SECTION 3. This Resolution shall be in full force and effect from and after its adoption.

CERTIFICATE

We, the undersigned Chair and President and CEO of the Kansas Board of Regents, hereby certify that the foregoing Resolution was lawfully adopted by the Board at its meeting held on December 18, 2013.

KANSAS BOARD OF REGENTS

(SEAL)

By _____
Fred Logan, Chair

ATTEST:

By _____
Andy Tompkins, President and CEO

4. Act on Naming of Building – KU

Chancellor Gray-Little

Background

The Computer Services Facility, or Computer Center, as it is informally known, was built in 1978 at a cost of \$4 million. When new, the creation of this computing center consolidated academic and data-management computer services for other buildings on the KU campus, most notably Summerfield Hall which served as the first home to the University Computation Center beginning in 1960. The Computer Center is currently home to the KU Information Technology staff and services including enterprise applications, KU data center infrastructure and IT security. Chancellor Gray-Little will be recommending a name for this facility for the Board's approval.

D. Governance

Regent Logan

1. Amend Existing Board Policy to Include Language Regarding Improper Use of Social Media**Julene Miller,
General Counsel****Summary and Staff Recommendation**

Staff proposes a revision to the Board Policy Manual to provide clarity regarding what is considered an improper use of social media. The language of the amendment is taken directly from United State Supreme Court cases. Any employment decisions made pursuant to this new policy, just as with the existing provisions in the section, would be subject to the grievance provisions at the end of that section of the policy. We have worked with the Attorney General's Office and it has advised that it they believe it is constitutionally sound from a First Amendment and procedural due process perspective.

Background

Recognizing that the Board Policy Manual currently has no provisions specifically dealing with use of social media in a way that respects and protects the rights of individuals to speak freely while also addressing the employee's responsibility to the university and the university's need to operate in an efficient and effective manner, the Board Chair, Board President and CEO and other Board staff recommend adoption of a proposed policy that does so.

Most of the language in the proposed policy was drawn directly from United States Supreme Court free speech precedents including *Garcetti v. Ceballos*, 547 U.S. 410 (2006), *Waters v. Churchill*, 511 U.S. 661 (1994), *Rankin v. McPherson*, 483 U.S. 378 (1987), *Pickering v. Board of Education*, 391 U.S. 563 (1968), and *Chaplinsky v. New Hampshire*, 315 U.S. 568 (1942). The existing Grievance Procedures paragraph in this section of the Board Policy would apply to this new language in the same way it does to the other provisions of this section.

This proposed policy was reviewed by the Attorney General's Office and found to be constitutionally sound on its face under a First Amendment analysis. They also noted that the policy appropriately meets procedural due process requirements.

Because of the proliferation of the use of this medium for communication purposes and its particular susceptibility to misuse and damage to the universities, we believe that a provision outlining improper uses of social media will be beneficial to all parties. The proposed policy is intended to provide guidance to both employees and university administration in determining what is and is not acceptable use.

Proposed Revision to Board Policy**C. CHIEF EXECUTIVE OFFICER, FACULTY AND STAFF**

...

6. SUSPENSIONS, TERMINATIONS AND DISMISSALS**a. Felony Offenses**

- i Felony Conviction. The chief executive officer of a state university has the authority to discharge any employee, including a tenured faculty member, immediately upon conviction of any felony.

ii Felony Charge. The chief executive officer of a state university has the authority to discharge or place on leave without pay any employee, including a tenured faculty member, who has been charged with a felony offense. Prior to any such determination, the employee shall be given notice of the proposed action and an opportunity to respond.

b. Other

Faculty and staff may also be suspended, dismissed or terminated from employment for reasons of significant reduction in or elimination of the funding source supporting the position, program discontinuance, financial exigency, or for just cause related to the performance of or failure to perform the individual's duties or for violation of the reasonable directives, rules and regulations, and laws of the institution, the Board and the State of Kansas or the United States.

The chief executive officer of a state university has the authority to suspend, dismiss or terminate from employment any faculty or staff member who makes improper use of social media. "Social media" means any facility for online publication and commentary, including but not limited to blogs, wikis, and social networking sites such as Facebook, LinkedIn, Twitter, Flickr, and YouTube. "Improper use of social media" means making a communication through social media that:

- i. directly incites violence or other immediate breach of the peace;
- ii. when made pursuant to (i.e. in furtherance of) the employee's official duties, is contrary to the best interests of the University;
- iii. discloses without authority any confidential student information, protected health care information, personnel records, personal financial information, or confidential research data; or
- iv. subject to the balancing analysis required by the following paragraph, impairs discipline by superiors or harmony among co-workers, has a detrimental impact on close working relationships for which personal loyalty and confidence are necessary, impedes the performance of the speaker's official duties, interferes with the regular operation of the university, or otherwise adversely affects the university's ability to efficiently provide services.

In determining whether the employee's communication constitutes an improper use of social media under paragraph (iv), the chief executive officer shall balance the interest of the university in promoting the efficiency of the public services it performs through its employees against the employee's right as a citizen to speak on matters of public concern, and may consider the employee's position within the university and whether the employee used or publicized the university name, brands, website, official title or school/department/college or otherwise created the appearance of the communication being endorsed, approved or connected to the university in a manner that discredits the university. The chief executive officer may also consider whether the communication was made during the employee's working hours or the communication was transmitted utilizing university systems or equipment. This policy on improper use of social media shall apply prospectively from its date of adoption by the Kansas Board of Regents.

c. Grievance Procedure

- i Each state university shall establish and publish grievance procedures for use by faculty and staff in appealing employment decisions of the institution. The procedures shall provide the employee with notice of the action to be taken, the reasons for the action where appropriate, and an opportunity to be heard. A copy of all institutional grievance procedures shall be provided to the institution's general counsel for review prior to becoming effective.
- ii The decision of the chief executive officer, or the chief executive officer's designee, concerning any grievance appealing employment decisions of the university shall be final and is not subject to further administrative review by any officer or committee of the university or by the Board of Regents.

E. Other Matters

1. Act on the Fort Hays State University Presidential Profile

**Andy Tompkins,
President and CEO**

Summary

One of the first responsibilities of a Presidential Search Committee and its consultants is to develop the attributes and characteristics that will be used in the advertisement of the position and that will become a part of the presidential profile. The Search Committee spent several hours at its first meeting identifying and discussing these utilizing the charge from the Board. Additionally, the search consultants visited with a host of constituencies while in Hays. The Search Committee is meeting on Monday, December 16th to adopt a set of attributes and characteristics to be used in the ad and profile to recommend to the Board at the December meeting. Further, the entire profile maybe ready for the Board's review and approval.

CONSENT AGENDA

VI. Introductions and Reports

A. *Introductions*

B. *Report from System Council of Presidents*

President Heilman

VII. Approval of Consent Agenda

A. *Academic Affairs*

1. Act on Requests for Additional Degree Granting Authority for:

Gary Alexander,
VP, Academic Affairs

- a) **Columbia College**
- b) **University of Maryland University College**
- c) **Wright Career College**
- d) **University of Cincinnati**

Staff Recommendation

The following institutions request approval for additional degree granting authority: (1) Columbia College, (2) University of Maryland University College, (3) Wright Career College, and (4) University of Cincinnati. After a thorough review of staff qualifications, record keeping systems, coursework, materials, website platforms, extended studies and campuses, the listed institutions demonstrate they meet and maintain compliance with all of the statutorily imposed requirements described below. Staff recommends approval for additional degree granting authority.

Summary of Institution Requirements

The Private and Out-of-State Postsecondary Educational Institution Act (Act) requires private and out-of-state postsecondary educational institutions to obtain Certificates of Approval from the Kansas Board of Regents (Board) in order to lawfully “operate” in Kansas. This Act not only covers “brick and mortar” schools having a physical presence within Kansas but also schools that offer or provide on-line distance education to Kansans who remain in Kansas while receiving that education.

To qualify for a Certificate of Approval, an institution operating in Kansas subject to the Act must meet the standards established by the Act. In reviewing schools to determine if they meet the statutory standards, Board staff requires and reviews substantial documentation and evidence presented to demonstrate compliance of the schools to ensure proper facilities (with site reviews), equipment, materials, and adequate space are available to meet the needs of the students. A recent financial statement, proof of accreditation, evidence of compliance with local, county, state and national safety codes, enrollment agreements, copies of advertisements, schedules of tuitions and fees, and refund policies are reviewed by KBOR staff. Schools are also required to provide descriptions of their programs and courses, including class syllabi, clinical or externship contracts, instructor credentials; a statement of the objectives of the programs; and qualifications of administrators and owner information.

Institution Requests:

Columbia College

The Kansas Board of Regents first approved Columbia College in September of 2011. Founded in Columbia, MO in 1851 as a Christian Female College, the college was the first women’s college west of the Mississippi River to be chartered by a state legislature. The college changed its name to Columbia College in 1970 when it changed from a two-year women’s college to a four-year co-educational college. More than 3,500 students attend at the main campus in Columbia, MO and over 30,000 students, including working adults and military

personnel and their families, attend the 34 nationwide campuses and online. Columbia College is currently approved by the Board of Regents to offer 47 programs at the associate, bachelor and master degree levels.

Graduation rate data are based on undergraduate students who enrolled full-time and have never enrolled in college before. This may not represent all undergraduates who attend this institution. The U.S. Department of Education reports 24% of entering students at Columbia College were counted as “full-time, first-time” in 2012 with a graduation rate of 43% and an 11.6% federal student loan default rate.

Columbia College is accredited by The Higher Learning Commission and has been a member of the North Central Association since 1918. The Higher Learning Commission is an institutional accrediting agency recognized by the U.S. Department of Education. This accreditation, according to K.S.A. 74-32,168 of the Postsecondary Educational Institution Act, may be accepted as evidence of compliance with the statutory standards for approval.

Degrees Requested by Columbia College for Approval:

- 1) Master of Education in Educational Leadership

University of Maryland University College

The Kansas Board of Regents first approved University of Maryland University College (UMUC) in September of 2012. UMUC was founded in 1947. Its name designates the affiliation within the University System of Maryland and the University College denotes emphasis on serving the academic needs of working professionals. In 1949, at the request of the U.S. Department of Defense, the University became the first institution to send a team overseas to teach college classes to active-duty service members. Today, UMUC remains a leading higher education provider to the U.S. military, enrolling 55,000 military service members and their family. In addition to serving the military, UMUC offers certificate, associate, bachelor, master and doctoral programs online and at more than 150 locations to students in every state in the U.S. and 28 countries. UMUC is currently approved to offer 48 programs at the bachelor, master, and doctorate levels.

Graduation rate data are based on undergraduate students who enrolled full-time and have never enrolled in college before. This may not represent all undergraduates who attend this institution. The U.S. Department of Education reports 2% of entering students at University of Maryland University College were counted as “full-time, first-time” in 2012. The U.S. Department of Education also reports a 4% graduation rate for students who began their studies in the fall of 2006 and a 5.8% federal student loan default rate in fiscal year 2009.

University of Maryland University College is accredited by the Commission on Higher Education of the Middle States Association of Colleges and Schools. The Commission on Higher Education of the Middle States Association of Colleges and Schools is recognized by the United States Department of Education. This accreditation, according to K.S.A. 74-32,168 of the Postsecondary Educational Institution Act, may be accepted as evidence of compliance with the statutory standards for degree granting approval.

Degrees Requested by University of Maryland University College for Approval:

- 1) Bachelor of Science in Health Services Management
- 2) Bachelor of Science in Public Safety Administration
- 3) Bachelor of Science in Nursing (RN-BSN)
- 4) Master of Science in Data Analytics
- 5) Master of Science in Digital Forensics and Cyber Investigation

Wright Career College

Wright Career College has been authorized to operate in Kansas since October of 1983. It is a not-for-profit institution with a history dating back to 1921. The school's main campus is in Overland Park, with a branch location in Wichita. Wright Career College offers degrees in business, technology, healthcare and wellness training. The degree requested will be offered at the Overland Park campus. The Overland Park campus is currently approved by the Kansas Board of Regents to offer 19 programs at the associate and bachelor levels.

Graduation rate data are based on undergraduate students who enrolled full-time and have never enrolled in college before. This may not represent all undergraduates who attend this institution. The U.S. Department of Education reports a 63% graduation rate for students who began their studies in the fall of 2009 and a 23% federal student loan default rate in fiscal year 2009.

Wright Career College has been accredited since 1982 by the Accrediting Council for Independent Colleges and Schools (ACICS), an approved accrediting agency by the United States Department of Education. This accreditation, according to K.S.A. 74-32,168 of the Postsecondary Educational Institution Act, may be accepted as evidence of compliance with the statutory standards for degree granting approval.

Degree requested by Wright Career College for the Overland Park Campus Location:

- 1) Master of Business Administration

University of Cincinnati

The Board of Regents first approved the University of Cincinnati for degree granting authority in November of 2013. The University of Cincinnati was founded in 1819 as Cincinnati College. In 1870 the institution was chartered by the Ohio legislature as the University of Cincinnati. The University became a part of the Ohio university system in 1977. Today the comprehensive public research university serves more than 42,000 students. The programs requested will be offered through the Distance Learning and Outreach division of the University of Cincinnati. Currently, the University of Cincinnati is approved by the Kansas Board of Regents to offer 8 programs at the associate, bachelor and master levels.

Graduation rate data are based on undergraduate students who enrolled full-time and have never enrolled in college before. The U.S. Department of Education reports 73 percent of entering students at the University of Cincinnati were counted as full-time, first-time in 2012 with a 55 percent graduation rate and an 8.9 percent federal financial student loan default rate in 2009.

The University of Cincinnati is accredited by the Higher Learning Commission of the North Central Association of Colleges and Schools, an institutional accrediting agency recognized by the U.S. Department of Education. This accreditation, according to K.S.A. 74-32,168 of the Postsecondary Educational Institution Act, may be accepted as evidence of compliance with the statutory standards for approval.

Degrees Requested by University of Cincinnati:

- 1) Associate of Applied Science in Health Information Systems Technology
- 2) Master of Arts in Speech Language Pathology
- 3) Master of Science in Health Informatics

DISCUSSION AGENDA

VIII. Consideration of Discussion Agenda

A. Presentation

1. Receive Update on Engineering Initiative

Blake Flanders,
VP, Workforce Development

Background

The University Engineering Initiative Act (UEIA) [K.S.A. 74-8768(a)] was enacted and signed into law effective July 1, 2011. The Kansas legislature appropriated \$1 million in 2011 for the purpose of expansion of the state's professional engineer training programs at Kansas State University, the University of Kansas and Wichita State University, to address needs for engineers in industries that were not being met with the current levels of graduating students. The appropriation was enhanced by a commitment of \$3.5 M per year for ten years for each of the three colleges of engineering to continue the expansion and produce a combined total of 1,365 engineering graduates per year by 2021. Results and progress of the UEIA are tracked in the "scorecard" and other metrics, and universities provide an annual report and metrics to the Department of Commerce in November of each year.

Latest results were presented at the June 2013 Board meeting. The Board requested semi-annual updates on the UEIA; an updated scorecard and summary of progress will be presented.

B. Academic Affairs

Regent Moran

1. Act on Request for New Degree Granting Authority for Oregon State University**Gary Alexander,
VP, Academic Affairs****Staff Recommendation**

Oregon State University requests approval for new degree granting authority. After a thorough review of staff qualifications, record keeping systems, coursework, materials, extended studies delivery, and online platforms, the institution demonstrates it meets and complies with all statutorily imposed requirements described below. Staff recommends approval for new degree granting authority.

Summary of Institution Requirements

The Private and Out-of-State Postsecondary Educational Institution Act (Act) requires private and out-of-state postsecondary educational institutions to obtain Certificates of Approval from the Kansas Board of Regents (KBOR) in order to lawfully “operate” in Kansas. This Act not only covers “brick and mortar” schools having a physical presence within Kansas but also schools that offer or provide on-line distance education to Kansans who remain in Kansas while receiving that education.

To qualify for a Certificate of Approval, an institution operating in Kansas subject to the Act must meet the standards established by the Act. In reviewing schools to determine if they meet the statutory standards, Board staff requires and reviews substantial documentation and evidence presented to demonstrate compliance of the schools to ensure proper facilities (with site reviews), equipment, materials, and adequate space are available to meet the needs of the students. A recent financial statement, proof of accreditation, evidence of compliance with local, county, state and national safety codes, enrollment agreements, copies of advertisements, schedules of tuitions and fees, and refund policies are reviewed by KBOR staff. Schools are also required to provide descriptions of their programs and courses, including class syllabi, clinical or externship contracts, instructor credentials; a statement of the objectives of the programs; and qualifications of administrators and owner information.

Institution Request**Oregon State University**

Founded in 1868, Oregon State University is a Land Grant university and is one of only two universities in the U.S. to have Sea Grant, Space Grant and Sun Grant designations. Located in Corvallis, Oregon State University is also the only university in Oregon to hold both the Carnegie Foundation's top designation for research institutions and its prestigious Community Engagement classification. The University serves over 26,000 students from all 50 states and more than 100 countries. The programs requested will be delivered through the university's E-campus.

Graduation rate data are based on undergraduate students who enrolled full-time and have never enrolled in college before. The U.S. Department of Education reports 55 percent of entering students at Oregon State University were counted as full-time, first-time in 2012 and it has a 61 percent graduation rate for students who began their studies in fall 2006. The U.S. Department of education also reports a 4.2 percent federal financial student loan default rate in 2009.

Oregon State University is accredited by the Northwest Commission on Colleges and Universities (NWCCU), an institutional accrediting agency recognized by the U.S. Department of Education. This accreditation, according to K.S.A. 74-32,168 of the Postsecondary Educational Institution Act, may be accepted as evidence of compliance with the statutory standards for approval.

Degrees Requested by Oregon State University:

- 1) Bachelor of Arts in Anthropology
- 2) Bachelor of Arts in Economics
- 3) Bachelor of Arts in German
- 4) Bachelor of Arts in Liberal Studies
- 5) Bachelor of Arts in Political Science
- 6) Bachelor of Arts in Psychology
- 7) Bachelor of Arts in Sociology
- 8) Bachelor of Arts in Women, Gender, and Sexuality Studies
- 9) Bachelor of Science in Agricultural Science
- 10) Bachelor of Science in Anthropology
- 11) Bachelor of Science in Computer Science
- 12) Bachelor of Science in Economics
- 13) Bachelor of Science in Environmental Economics and Policy
- 14) Bachelor of Science in Environmental Science
- 15) Bachelor of Science in Fisheries and Wildlife
- 16) Bachelor of Science in General Horticulture
- 17) Bachelor of Science in Human Development & Family Sciences
- 18) Bachelor of Science in Liberal Studies
- 19) Bachelor of Science in Natural Resources
- 20) Bachelor of Science in Political Science
- 21) Bachelor of Science in Psychology
- 22) Bachelor of Science in Sociology
- 23) Bachelor of Science in Women, Gender, and Sexuality Studies
- 24) Master of Education in K-12 Education
- 25) Master of Education in Adult Education
- 26) Master of Science in Counseling
- 27) Master of Science in Fisheries and Wildlife Administration
- 28) Master of Science in Health Physics
- 29) Master of Science in Math Education
- 30) Master of Science in Natural Resources
- 31) Master of Science in Science Education
- 32) Doctor of Education in Community College Leadership
- 33) Doctor of Philosophy in Counseling

2. Appoint Three Members to the Kansas Private Postsecondary Advisory Commission

Summary and Staff Recommendation

As required by the Kansas Private and Out of State Postsecondary Educational Institution Act, Advisory Commission 74-32,166, the Commission shall consist of nine members appointed by the Kansas Board of Regents. The terms of Eryn Myrick, Gerald Lauber, and Irene Narvaez expire on December 31, 2013. Staff recommends that the Board appoint the following three individuals to the Private Postsecondary Advisory Commission, each to serve a four year term beginning January 1, 2014:

- *Linda Proctor, Vice President of Global Compensation and Benefit, Nueterra*
- *Robert S. Maxwell, Executive Vice President and Trust Officer, Kaw Valley Bank*
- *Nicole Hughes, Owner/Operator of 360 Barbering College*

Background

K.S.A. 74-32,166 of the Kansas Private and Out of State Postsecondary Educational Institution Act creates an Advisory Commission whose purpose is to recommend policies, minimum standards and rules and regulations for the purpose of administering the provisions of that Act. Members of this Advisory Commission are appointed by the Kansas Board of Regents. The Commission consists of nine members, representing specified sectors, who meet each October (or more as necessitates). A brief summary of the qualifications of each proposed new member is provided below.

Replacing Eryn Myrick of Topeka, KS for Health Occupations is Nominee Linda Proctor, Vice President of Global Compensation and Benefits at Nueterra, Leawood, KS.

Linda Proctor, Vice President of Global Compensation at Nueterra, has worked in health insurance and benefits for 23 years and has a Bachelor of Arts in Human Resources Management. She transitioned through multiple roles training, designing healthcare plans and benefit packages, and eventually expanding into global benefit plan design and administration. Nueterra is a 2,500 employee healthcare management company, headquartered in Leawood, Kansas. It owns and manages 70 hospitals, surgery centers, and physical therapy centers throughout the U.S., Latin America, the UK and the Middle East. It is a global leader in developing joint equity partnerships with health systems, governments, hospitals and physicians.

Replacing Gerald Lauber, Topeka, KS for Business & Industry is Nominee Robert Maxwell of Kaw Valley Bank, Topeka, KS

Robert S. Maxwell is Executive Vice President and Trust Officer of Kaw Valley Bank, Topeka, Kansas. He has been with the bank for over 34 years, serving in a variety of capacities. Prior to his current position, Mr. Maxwell held positions in consumer and commercial lending, trust, asset/liability management, interest rate risk management, and finance and security portfolio management. Mr. Maxwell earned a Bachelor of Science in Business from Baker University, Baldwin City, Kansas, a juris doctor degree from Washburn University School of Law, Topeka, Kansas, and a Masters of Law in Taxation from the University of Miami School of Law, Coral Gables, Florida.

Replacing Irene Narvaez of Overland Park, KS as School Owner/Operator for Institutions Under 125 in Enrollment is Nominee Mrs. Nicole Hughes, 360 Barber College, Wichita, KS

Mrs. Hughes is an owner/operator and instructor. She has worked in the industry for fifteen years and is dually certified as a cosmetologist and a barber. She has taught in a variety of settings, including cosmetology schools, barbering schools, and the Hutchinson Correctional Facility as a barbering instructor. Mrs. Hughes has extensive experience designing course curriculum and in-service programs that promote teamwork in college settings. Hughes received the Trio Achievement Award and is a member of Cosmetology Educators of America.

Staff Recommendation

Staff recommends the Board appoint each of these three individuals to the Private Postsecondary Advisory Commission, for a four year term beginning on January 1, 2014.

3. Act on Request for Approval of Kansas System-Wide Transfer Appeals Process

Summary and Recommendations

The Board of Regents articulated a strategic goal for 2013-14 that directed the Transfer and Articulation Council to submit a quality assurance process for statewide transfer courses, which articulated a system level appeal procedure. The Quality Assurance Subcommittee of the Transfer and Articulation Council (TAAC) proposed a “Kansas System-Wide Transfer Appeal Process” to the TAAC, which they approved after their October 17, 2013 meeting. TAAC presented the appeals process to the Board Academic Affairs Standing Committee (BAASC) at their November 20, 2013, meeting; input was provided, followed by a recommendation to the Board of Regents. BAASC requested a quality assurance report be included with the presentation of the system level appeal process. This report is included as an appendix. Staff asks the board to authorize including the Kansas System-Wide Transfer Appeal Process in the “Kansas Transfer and Articulation Operating Procedures”.

Background

KBOR policy states the Transfer and Articulation Council’s mission is to create structures and processes that facilitate student transfer and degree completion within Kansas higher education. TAAC is responsible for assuring quality and adherence to the agreed-upon learning outcomes of courses articulated across institutions. Ensuring appeals processes exist at the institutional level and at the system level for equitable resolution of transfer concerns between institutions was a focus of the Quality Assurance Subcommittee during the initial year of system-wide transfer in Kansas. In addition, the Board directed the TAAC to develop a system-wide appeal process for dealing with situations unresolved at the campus level, which is stated in policy as such:

Ensure that appeals processes exist: (1) for individual students at the institutional level; and (2) at the system level to ensure equitable resolution of transfer concerns between institutions;

Pursuant to Board authorization, the TAAC will include the following system-wide appeal process to the *Kansas Transfer and Articulation Operating Procedures*:

Kansas System-Wide Transfer Appeal Process

Conditions of Appeal

The Kansas Board of Regents (KBOR) established a Transfer and Articulation Council (TAAC) in 2011, to provide oversight and implementation of the Board’s transfer and articulation policy. The TAAC’s mission is to create structures and processes that facilitate student transfer and degree completion within Kansas higher education, while assuring quality and adherence to the agreed-upon learning outcomes of system-wide courses. A system-wide appeal process ensures equitable resolution of transfer concerns between institutions, and institutional appeal processes ensure students a clear path for petitioning disputes regarding transfer decisions on individual campuses. Cases may be appealed in which institutions are unable to resolve disagreements regarding transferring a course approved by the KBOR for system-wide transfer. In such cases, the sending institution may submit an appeal to the KBOR Vice President for Academic Affairs within 30 calendar days of notification of the transfer denial.

These conditions are required for system-wide appeals:

- (1) **The course being appealed must have been approved for system-wide transfer,**
- (2) **The parties must have exhausted the appeal processes at the institutional levels *(refer to campus websites listed below containing campus level appeal processes), and**

(3) The sending institution can provide evidence that the decision made by the receiving institution was inconsistent with the Kansas system-wide transfer policy.

To initiate the statewide appeal process, a written request must be submitted to the KBOR Vice President for Academic Affairs. Each request must include the following documentation:

- Written documentation that the appeal processes and discussions for resolution have been exhausted at both the receiving and sending institutions
- Supporting documents, which could include course descriptions, course syllabi, course objectives, learning outcomes, transcripts or other relevant information
- Rationale from the sending institution as to why the appeal should be supported

Appeal Procedures

1. Appeals must be submitted by the Provost/Chief Academic Officer of the sending institution to the KBOR Vice President for Academic Affairs, who will refer the appeal to the Chair of the Quality Assurance Subcommittee of the TAAC.
2. The Quality Assurance Subcommittee will review arguments and evidence presented in the appeal at the next regularly scheduled meeting and make a recommendation to the full TAAC either to:
 - a. Uphold the receiving institution's decision to deny the transfer credit in question; or
 - b. Require the acceptance of the transfer credit in question by the receiving institution.
3. The TAAC then reviews the recommendation of the Quality Assurance Subcommittee and makes a decision to approve or deny the appeal. The TAAC will inform all parties involved within two weeks of its decision.
 - a. If the receiving institution accepts the decision of the TAAC, the process ends; or
 - b. If the receiving institution disagrees with the decision of the TAAC, the Provost/Chief Academic Officer can appeal to the KBOR President and CEO, or designee.
4. The President and CEO, or designee, will convene an *ad hoc* Appeal Subcommittee composed of three members of the TAAC, with one of the members being a Board of Regent. No member of the subcommittee may be from an institution involved in the appeal. The President and CEO, or designee, and the *ad hoc* Appeal Subcommittee will review all documentation provided through the statewide appeal process, and will make a final determination, to either:
 - a. Uphold the decision of the TAAC and require the receiving institution to abide by that decision; or
 - b. Overturn the decision of the TAAC, in which the receiving institution is not required to implement the TAAC's decision.

*The following university websites list individual campus appeal procedures:

<http://www.emporia.edu/regist/com/transferdb.html>

<http://www.fhsu.edu/admissions/transfer-students/>

<http://www.k-state.edu/admissions/#p=apply/transfer.html>

<http://www.pittstate.edu/admission/undergraduate/transfer.dot>

<http://admissions.ku.edu/credit/transfer/>

<http://www.washburn.edu/admissions/undergraduate/transfer/transfer-guides.html>

http://webs.wichita.edu/?u=academicaffairs&p=/Transfer_and_Articulation/transferandarticulation/

Appendix

Requested Quality Assurance Report

Submitted by Joey Linn, Quality Assurance Sub-Committee Chair

The Quality Assurance Sub-Committee of TAAC is currently working on a template for a reporting structure that will provide robust data directly dealing with the transfer of system-wide courses. This data will target courses that have been approved for seamless transfer to and from the Regent's colleges and universities along with additional courses as needed. Data will include Mean Term GPA information for students from an individual community college to an individual Regent's institution along with Mean Cumulative GPA information by subject/course area. This course GPA data will then be compared to the other student's GPA from that Regent's institutions who are not Kansas community college transfers.

In addition, the report will show not only how students from a particular community college are doing at each university per subject area, but also show how students from that same community college are doing at the other universities in the state in the same subject areas. Comparisons can also be made to other non-Kansas community college transfer students in those subject areas.

Finally, the report will show how students are doing at the universities in courses that have an obvious follow-up course. For instance, if a student takes Chemistry I and Lab at a Kansas community college, how are they doing in Chemistry II and Lab at a university. This data will be electronically sent to the Regent's database and then dispersed to all community colleges and universities in a usable format. The Quality Assurance Sub-Committee will have this report finalized by the June, 2014 board meeting.

4. Receive Recommendation on the State Authorization Reciprocity Agreement (SARA) from the Board Academic Affairs Standing Committee

Summary and Recommendation

The Board Academic Affairs Standing Committee (BAASC) will present its findings and make a recommendation to the Board at its regularly scheduled meeting on Thursday, December 19.

Background

BAASC was directed to review options with regard to the State Authorization Reciprocity Agreement (SARA) put forward by the Midwestern Higher Education Compact (MHEC). The committee has completed its review and will bring forward a recommendation at the regularly scheduled Board meeting on Thursday, December 19.

C. Other Matters

1. Receive Legislative Update

**Mary Jane Stankiewicz,
Director, Government Relations &
Communications**

The following are non-budgetary legislative items that may be discussed in the 2014 legislative session and other issues that relate to the legislative session.

Non-budgetary Legislative Issues

1. Carry over issues

- a. Last year the Board approved ESU's and KU's request to sell or transfer land however, these bills did not make it through the legislative process. However, the bills were combined into one bill (HB2396), which will still be alive this session and hopefully will be passed.

b. ESU land sale bill

- i. There are 96 units in 8 apartment buildings that were built in the 1960's as "married student apartments". These apartments are close to ESU but not immediately adjacent to the campus and would require significant upgrades to be desirable to the current student population. The units were closed in the beginning of fiscal year 2013 because they were not cost effective to operate.

ESU would like the authority to sell these units and is seeking the ability to seek alternatives to the low bid sale including the possibility of swapping properties with the Foundation. ESU is also seeking the ability to retain 100% of the revenue from the sale of the property which would require an exemption from the statute (K.S.A. 75-6609) which allows the state to receive 20% of the funds. Informal estimates of the value of the units range from \$900,000 to \$1.2m. ESU would use the money from the sale of the property to defray improvements to other university housing.

c. KU Land Exchange with KU Endowment Association.

- i. The KU Endowment and the City of Lawrence have been discussing options to replace the aging water towers adjacent to the Adams Alumni Center. To provide flexibility on how to proceed, the University of Kansas requests authorization to trade property adjacent to the parking garage for property on 14th street between Louisiana and Ohio streets.

- d. Midwest Higher Education Commission (MHEC) Property Insurance
 - i. Last year the Board approved this issue which would amend Insurance Statute K.S.A. 75-4101(d) to provide state universities the option of purchasing property insurance from an insurance company associated with MHEC. It is estimated the savings from purchasing insurance through a company that is associated with MHEC to be in the \$400,000+ range.
- 2. New Issues
 - a. Codify the 60% attainment goal
 - i. At the November, 2013 board meeting, there was discussion of asking the Legislature to codify this goal.
- 3. Referendum amendment
 - a. Awaiting a recommendation from COPs

Other Items of Interest

- 1. Editorial Board articles
- 2. Session begins January 13th
- 3. State of the State – January 15th
- 4. Alumni Training
 - a. December 13th & December 19th
 - b. Government Relations – Advocacy page on KBOR website
 - c. Participation and Response

IX. Executive Session

Board of Regents – Personnel Matters Relating to Non-Elected Personnel

X. Adjournment

AGENDA

**Board Academic Affairs Standing Committee
December 18, 2013
10:30 a.m. – 11:30 a.m.
Kathy Rupp Conference Room**

1. Approve December 3, 2013 Conference Call Minutes
2. BAASC 13-05, Teacher Preparation: Gary Alexander
 - a. Kansas State University Education Dean – Debbie Mercer
 - b. Wichita State University Education Dean – Shirley Lefever-Davis
3. BAASC 13-03, SARA Discussion – Gary Alexander
4. BAASC 13-02, Transfer and Articulation: Update – Karla Wiscombe and Gary Alexander
5. BAASC 13-04, Developmental Education Update – Susan Fish and Gary Alexander
6. Informational Item
Study Regarding Retention/Graduation Rates of Students Admitted as Exception To Minimum Admission Standards as Prescribed in K.S.A. 76-717 [Issue Paper is in the Board Agenda Materials] – Jean Redeker and Gary Alexander

MINUTES

**Kansas Board of Regents
Academic Affairs Standing Committee
Tuesday, December 3, 2013
Conference Call
MINUTES**

The Academic Affairs Standing Committee of the Kansas Board of Regents met by Conference Call at 11:00 a.m. on Tuesday, December 3, 2013. This meeting had been properly noticed pursuant to the Kansas Open Meetings Law on November 26, 2013.

In Attendance:

Members: Regent Robba Moran
Regent Mildred Edwards
Regent Van Etten
Regent Tim Emert

Staff: Gary Alexander, Jean Redeker, Karla Wiscombe, Jacqueline Johnson, Susan Fish, and Julene Miller

Others: Sara Rosen, University of Kansas; Rick Muma, Wichita State University; Tony Vizzini, Wichita State University; Lynette Olson, Pittsburg State University; Brian Niehoff, Kansas State University; Nancy Tate, Washburn University; Peggy Forsberg, Highland Community College; Mike Werle, University of Kansas Medical Center; Chris Crawford, Fort Hays State University; Rustin Clark, Hutchinson Community College; Cindy Hoss, Hutchinson Community College; Mike Vitale, Kansas City Kansas Community College; Brian Bode, Kansas City Kansas Community College; Bruce Exstrom, Garden City Community College; Slade Griffiths, Cowley County Community College; Gene George, Butler Community College; Karla Fisher, Butler Community College; Kim Krull, Butler Community College; Mike Calvert, Pratt Community College; Jim Genandt, Neosho County Community College; Alysia Johnston, Coffeyville Community College; Sara Harris, Independence Community College; Clarissa Craig, Johnson County Community College; Joe Burke, Labette Community College; Darlene Wood, Fort Scott Community College; Bill McGuire, Cloud County Community College; Penny Quinn, Barton County Community College; and Linda Fund, Kansas Association of Community College Trustees (KACCT)

Meeting called to order at 11:00 a.m.

Approve November 20, 2013 Minutes

Regent Moran authorized the November 20, 2013 minutes stand approved as written.

Consent Agenda

Act on Requests for Additional Degree Granting Authority for:

- **Columbia College**
- **University of Maryland University College**
- **Wright College**
- **University of Cincinnati**

Regent Moran authorized placing the Requests for Additional Degree Granting Authority on the December consent agenda of the Board of Regents.

Discussion Agenda

Act on Requests for New Degree Granting Authority for Oregon State University

Jacqueline Johnson presented Oregon State University's request for new degree granting authority. Oregon State University is accredited by the Northwest Commission on Colleges and Universities, which is recognized by the U.S. Department of Education.

Regent Moran authorized placing Oregon State University's request for new degree granting authority on the December discussion agenda of the Kansas Board of Regents.

Act in Request to Approve Three New Members to the Kansas Private Postsecondary Advisory Commission

Jacqueline Johnson presented the Act on Request to Approve Three New Members of the Kansas Private Postsecondary Advisory Commission. The Advisory Commission has nine members who represent specific sectors and rotate every three years. The two candidates for renewal are Dr. Linda Wiley, Principal, Topeka High School, and Jerrad Tausz, J.D., Vice President, Chief Student and Campus Operating Officer, University of Phoenix. The new candidate is Kerry Norbury, Campus Director, National American University.

The purpose of the advisory board is to recommend to the state board such policies, minimum standards and rules and regulations that the commission deems necessary for administering the provisions of subsection (f) of the Kansas Private and Out of State Postsecondary Educational Institution Act, 74-32, 166, this act.

Regent Van Etten moved, and Regent Edwards seconded the motion, to approve placing Act on Request to Approve Three Members of the Kansas Private Postsecondary Advisory Commission on the December consent agenda of the Kansas Board of Regents. Motion carried.

Act on Approval for Continuance of Dr. Juergen A. Richt as Regents Distinguished Professor – Kansas State University

Jean Redeker provided a brief overview of Kansas State University's report of Dr. Juergen A. Richt for his continued appointment as a Regents Distinguished Professor.

Regent Edwards moved, and Regent Van Etten seconded the motion, to approve placing Act on Approval for Continuance of Dr. Juergen A. Richt as Regents Distinguished Professor – Kansas State University on the December consent agenda of the Kansas Board of Regents. Motion carried.

Other Business

State Authorization Reciprocity Agreement (SARA) Webinar Update

Gary Alexander gave the Standing Committee an update concerning the November 22, 2013, SARA Webinar as follows:

- Status Update
- Some 195 attendees from 16 states; 20 Kansans
- A complaint process was discussed
 - Flow from the institution, to the state SARA agency, to MHEC SARA
 - Make it parallel to federal requirements (those required under Program Integrity Rules and the DOD MOU)
- The goal is to have a majority of the states involved by 2016
- State Status Update – Goal – have 7 states join MHEC SARA by fall 2014

- Nebraska and North Dakota are planning to submit applications in spring and January, 2014, respectively
- Indiana is working on submitting an application January 1, 2014
- Illinois has submitted talking points to the governor's office
- Minnesota is drafting proposed legislation for consideration Spring 2014
- Ohio is drafting legislation
- South Dakota is leaning toward WICHE SARA application
- Cross-sector meetings scheduled for Iowa, Michigan, Missouri, Ohio and Wisconsin

Regent Moran presented a draft recommendation to BAASC for its consideration:

KBOR recommends that the State of Kansas become a member of MHEC –SARA for the benefit of Kansas students and our institutions of higher education, and requests that the Kansas Legislature: 1) adapt present Kansas statutes to make KBOR the “portal agency” with all necessary enforcement authority under the SARA agreement; and 2) provide necessary funding for additional KBOR enforcement staff as it becomes necessary.

Discussion followed:

- BAASC expressed appreciation to Theresa Schwartz for her work on SARA
- The Standing Committee is concerned about consumer protection
- BAASC feels strongly the Kansas Board of Regents be the Kansas State Agency for SARA
- It was noted:
 - SARA states will receive degree granting authority automatically
 - Non-member states will be subject to the current procedures used in Kansas to obtain degree granting authority
 - Kansas institutions will be responsible for paying the fee to be a member of SARA
- What is the amount of money lost from fees when states become members of SARA (i.e. Nebraska)
- A fiscal note

BAASC sees the need to pursue SARA in a timely manner. The resolution will be given to the General Counsel prior to sending to the Regents. The Standing Committee will discuss SARA at its December 18, 2013 meeting.

Update on Legislatively Requested Retention and Graduation Study of Students admitted by Exception

Jean Redeker informed BAASC that during the 2013 session, the Legislature amended the Qualified Admissions statute to require the Board conduct a survey on graduation and retention. The study has been completed and a draft report written. The report will on the Board's December agenda.

Performance Agreements 2014 - 2016 Review

BAASC reviewed eleven Performance Agreements for AY 2014, AY 2015, and AY 2016, for the purpose of making recommendations to the full Board.

Karla Wiscombe gave a brief overview of the following performance agreements:

1. **Barton County Community College** – Penny Quinn was present representing Barton County Community College. Indicators 1 and 3 focus on attainment of degrees, certificates and credentials. Indicators 2 and 6 target student performance. Indicator 4 targets participation of Adult Basic Education. Staff recommends approval.

Regent Emert moved, and Regent Edwards seconded the motion, to recommend placing Barton County Community's Performance Agreement on the Kansas Board of Regents January 2014 agenda for approval. Motion carried unanimously.

2. **Coffeyville Community College** – Alysia Johnson was present representing Coffeyville Community College. Indicator 1 focuses on retention. Indicators 2, 3, and 5 target attainment of certificates, degrees and credentials, with indicator 5 specifically targeting the completion rate of minority students on their campus. Indicators 4 and 6 focus on developmental students' completion of college level math and English courses and their success rates in developmental courses. Staff recommends approval.

Regent Edwards moved, and Regent Van Etten seconded the motion, to recommend placing Coffeyville Community College's Performance Agreement on the Kansas Board of Regents January 2014 agenda for approval. Motion carried unanimously.

3. **Colby Community College** – Greg Nichols was present representing Colby Community College. Indicator 1 and 2 focus on attainment of degrees, certificates and credentials. Indicator 3 targets the employment rate of graduates. Indicators 4, 5 and 6 focus on student success as measured by GPA and successful completion of financial literacy and STEM courses. Staff recommends approval of the agreement.

Regent Emert moved, and Regent Van Etten seconded the motion, to recommend placing Colby Community College's Performance Agreement on the Kansas Board of Regents January 2014 agenda for approval. Motion carried unanimously.

4. **Cowley County Community College** – Slade Griffiths was present representing Cowley County Community College. Indicator 1 focuses on retention. Indicators 2 and 6 focus on attainment of credentials, certificates, and degrees. Indicator 3 focuses on employment rate of graduates. Indicators 4 and 5 focus on successful completion of college level English and math courses by developmental students. Staff recommends approval.

Regent Edwards moved, and Regent Emert seconded the motion, to recommend placing Cowley County Community College's Performance Agreement on the Kansas Board of Regents January 2014 agenda for approval. Motion carried unanimously.

5. **Dodge City Community College** – Michael Ahern was present representing Dodge City Community College. Indicators 1 and 2 focus on retention. Indicator 3 focuses on wages of graduates. Indicators 4 and 5 target success of developmental students. Indicator 6 targets attainment of certificates in Electrical Power Technician and Welding. Staff recommends approval.

Regent Emert moved, and Regent Van Etten seconded the motion, to recommend placing Dodge City Community College's Performance Agreement on the Kansas Board of Regents January 2014 agenda for approval. Motion carried unanimously.

6. **Garden City Community College** – Bruce Exstrom was present representing Garden City Community College. Indicator 1 focuses on retention. Indicators 2 and 6 focus on attainment of credentials, certificates, and degrees. Indicator 3 focuses on employment rate of graduates. Indicators 4 and 5 focus on successful completion of college level courses by developmental students. Staff recommends approval.

Regent Edwards moved, and Regent Emert seconded the motion, to recommend placing Garden City Community College's Performance Agreement on the Kansas Board of Regents January 2014 agenda for approval. Motion carried unanimously.

7. **Highland Community College** – Harold Arnett was present representing Highland Community College. Indicators 1 and 3 focus on attainment of degrees, certificates and credentials. Indicator 2 focuses on employment rate of graduates. Indicator 4 focuses on completion rates of developmental students. Indicators 5

and 6 target student performance, specifically the first attempt pass rate for registered nurses and responsibility outcomes for Tech Center students. Staff recommends approval.

Regent Van Etten moved, and Regent Emert seconded the motion, to recommend placing Highland Community College's Performance Agreement on the Kansas Board of Regents January 2014 agenda for approval. Motion carried unanimously.

8. **Hutchinson Community College** – Rustin Clark was present representing Hutchinson Community College. Indicator 1 focuses on retention. Indicators 2 and 3 focus on attainment of credentials, certificates, and degrees. Indicator 4, 5 and 6 target completion of courses, programs and stackable credentials. Staff recommends approval.

Regent Edwards moved, and Regent Emert seconded the motion, to recommend placing Hutchinson Community College's Performance Agreement on the Kansas Board of Regents January 2014 agenda for approval. Motion carried unanimously.

9. **Independence Community College** – Sara Harris was present representing Independence Community College. Indicator 1 focuses on retention. Indicator 2 focuses on attainment of degrees, certificates and credentials. Indicators 3 and 5 focus on student performance on core academic skills and GPA. Indicators 4 and 6 target completion of College Algebra and online courses. Staff recommends approval.

Regent Emert moved, and Regent Van Etten seconded the motion, to recommend placing Independence Community College's Performance Agreement on the Kansas Board of Regents January 2014 agenda for approval. Motion carried unanimously.

10. **Johnson County Community College** – Judy Korb was present representing Johnson County Community College. Indicator 1 focuses on student success as measured by the Student Success Index which measures retention and completion of **all** students enrolled at Johnson County Community College after two years. Indicator 2 focuses on attainment of degrees, certificates and credentials. Indicator 3 focuses on employment rate of graduates. Indicators 4 and 5 focus on retention. Indicator 6 focuses on graduation rates. Staff recommends approval.

Regent Emert moved, and Regent Edwards seconded the motion, to recommend placing Johnson County Community College's Performance Agreement on the Kansas Board of Regents January 2014 agenda for approval. Motion carried unanimously.

11. **Kansas City Kansas Community College** – Michael Vitale was present representing Kansas City Kansas Community College. Indicator 1 focuses on retention. Indicator 2 focuses on attainment of degrees, certificates and credentials. Indicator 3 focuses on employment rate of graduates. Indicators 4 and 6 target completion rates for developmental students in math and English courses. Indicator 5 focuses on increasing enrollment for Hispanic students. Staff recommends approval.

Regent Edwards moved, and Regent Emert seconded the motion, to recommend placing Kansas City Kansas Community College's Performance Agreement on the Kansas Board of Regents January 2014 agenda for approval. Motion carried unanimously.

12. **Neosho County Community College** – James Genandt was present representing Neosho County Community College. Indicators 1 and 3 focus on attainment of degrees, certificates and credentials. Indicators 2 and 6 focus on student performance on analytical thinking and core outcomes for system wide transfer courses. Indicators 4 and 5 target completion of developmental writing and college level Composition courses. Staff recommends approval.

Regent Edwards moved, and Regent Emert seconded the motion, to recommend placing Neosho County Community College's Performance Agreement on the Kansas Board of Regents January 2014 agenda for approval. Motion carried unanimously.

13. **Butler Community College** – Karla Fisher was present representing Butler Community College. Indicators 1, 3, 5 and 6 focus on attainment of degrees, certificates and credentials. Indicator 2 focuses on retention. Indicator 4 targets completion of college level composition by developmental students. Staff recommends approval with reservation.

BAASC asked Butler Community College add a seventh indicator with a 3 year history providing a majority of indicators with 3 year histories.

Regent Van Etten moved, and Regent Edwards seconded the motion, to recommend placing Butler Community College's Performance Agreement on the Kansas Board of Regents January 2014 agenda for approval with the understanding that Butler Community College will add a seventh indicator with a 3 year history. Motion carried unanimously.

Other Business

The Board Academic Affairs Standing Committee meets at 10:00 a.m. on December 18, 2013, in the Crumrine Conference Room, Suite 540, Curtis State Office Building, Topeka, Kansas.

The agenda includes Teacher Preparation Presentations by Kansas State University Education Dean – Debbie Mercer and Wichita State University Education Dean – Shirley Lefever-Davis. BAASC will receive updates on Transfer and Articulation and Developmental Education. The Standing Committee will also discuss the State Authorization Reciprocity Agreement (SARA).

The meeting adjourned at 12:05 p.m.

AGENDA

Board Fiscal Affairs and Audit Committee
Wednesday, December 18, 2013
10:00am-11:50am, Board Room

1. Follow up questions from Dec. 3 conference call
 - a. AUTHORIZATION TO AMEND FY 2014 CAPITAL IMPROVEMENTS AND APPROVE REVISED PROGRAM STATEMENT FOR WIEST HALL REPLACEMENT PROJECT – FHSU – Mike Barnett will provide comparative cost information.
 - b. ALLOCATION OF FUNDS FOR REHABILITATION AND REPAIR PROJECTS AS APPROPRIATED TO THE KANSAS BOARD OF REGENTS, Eric King will provide background about the allocation and how it is determined
2. Questions/clarifications about any of the FAA items on the Board's Dec 18-19 agenda
3. Audits for committee review and discussion (standing item)
4. FAA 13-02 Review of each of the state universities organizational financial reporting structures and development of specific board policy on designation of a "Chief Financial Officer" at each state university
 - a. Mary Herrin, Vice-President for Administration and Finance, WSU
 - i. University financial organization chart; where the financial functions and control points occur; component units and organizational relationship to the university; component unit boards by composition and membership
 - ii. Further review and discussion of any university budget issues i.e. reductions, reallocations, enhancement requests, resource plan
 - b. Staff draft of a specific board policy on the designation at each state university of "Chief Financial Officer", Diane Duffy
5. FAA 13-07 Review and discuss changes to the capital budgeting process, including review of the Educational Building Fund (EBF)
 - a. Report from COBO on review of capital construction processes and capital financing alternatives utilizing the EBF
 - b. Committee discussion of capital budgeting process and the development of the Board's capital improvement request for FY 2016-FY 2017 (the Board's request is approved by the Board in May, 2014)
6. Other Committee Business
 - a. Next meeting dates
 - i. AGENDA CALL, Monday, January 6, Noon, Teleconference
 - ii. NEXT REGULAR MEETING, Wednesday, January 15, 10:00am-11:50am, Board Room

AGENDA

Board Governance Committee
Wednesday, December 18, 2013
8:45-10:00, Conference Room B

I. APPROVE MINUTES FROM NOVEMBER 20, 2013

II. NEW BUSINESS

- A. Review proposed revisions to policies
 - 1. GOV 13-10, Advising Policy
 - 2. GOV 13-08, Concurrent Enrollment Policy
 - 3. GOV 13-10, Proposed policy addressing improper use of social media

- B. GOV 13-03, Review state universities' annual updates on campus security
 - 1. Fort Hays State University
 - 2. Kansas State University

III. OTHER COMMITTEE ITEMS

- A. Next meeting dates
 - 1. January 15
 - 2. February 12

MINUTES

GOVERNANCE COMMITTEE

November 20, 2013 Minutes

The Kansas Board of Regents' Governance Committee met on Wednesday, November 20, 2013. Chairman Fred Logan called the meeting to order at 8:40 a.m. Proper notice was given according to law.

Members Present: Fred Logan, Chair
Kenny Wilk
Tim Emert

Others Present: Regent McKechnie; President Shonrock, ESU; President Bardo, WSU; Chancellor Gray-Little, KU; President Schulz, KSU; President Hammond, FHSU; Andy Tompkins, KBOR; Julene Miller, KBOR; Renee Burlingham, KBOR

MINUTES

Regent Emert moved to approve the October 16, 2013 minutes. Regent Wilk seconded, and the motion carried.

MAJOR INITIATIVES AT STATE UNIVERSITIES

One of the Board's goals this year is to study how the universities and the state of Kansas can best take advantage of the National Bio and Agro-Defense Facility (NBAF) at Kansas State University, National Cancer Institute (NCI) designation at the University of Kansas, the Technology Park at Wichita State University and other major initiatives at each of the governed universities. The Governance Committee met with Chancellor Gray-Little, President Schulz, and President Bardo to develop a strategy for discussing how to leverage these assets. Following discussion, Regent Logan directed the three research university CEOs to prepare a 4-5 page report and panel presentation on the following questions:

- 1) How will the university take advantage of its major initiative academically?
- 2) What new expertise might be brought to the state as a result of the major initiative?
- 3) What might be some of the economic impacts of the initiative? (Note: this is not to be an economic impact statement)
- 4) How can the state build on the success of the initiative?

The panel presentation for the three research universities will be in January. The Governance Committee then directed the three regional universities (Fort Hays State University, Emporia State University, and Pittsburg State University) to submit a report and present their ideas on other major initiatives to the Board at the February meeting.

EXECUTIVE SESSION

At 9:00 a.m., Regent Wilk moved, followed by the second of Regent Emert, to recess into executive session for 60 minutes to discuss matters relating to security measures pursuant to K.S.A. 75-4319(b)(13). Participating in the executive session were members of the Governance Committee, President Tompkins, General Counsel Julene Miller, and designated university staff. At 10:00 a.m., the meeting returned to open session.

ADJOURNMENT

The meeting was adjourned at 10:00 a.m.

AGENDA

System Council of Presidents
Kansas Board of Regents Office
1000 S.W. Jackson
Topeka, KS
December 18, 2013
10:00 a.m.
Kathy Rupp Conference Room

1. Approve minutes of November 20, 2013
2. Report from System Council of Chief Academic Officers
3. Continue discussion of reverse transfer (Attachment)
4. Review previous agreement on transfer and reverse transfer on general education courses – President Hammond
5. Other matters

DRAFT**Reverse Transfer Agreement Procedure**

Four year university (hereinafter “University”) and College (hereinafter “College”) wish to facilitate the transfer of students, enhance the number and quality of learning options at the institutions, and provide a seamless transfer process for students. This process is called reverse transfer. *University* and *college* have entered into this Reverse Transfer Agreement (hereinafter “Agreement”) and hereby agree as follows:

1. (*University*) and (*College*) are active educational partners in providing educational opportunities for currently enrolled and potential students of both institutions. Each institution will maintain the integrity of their separate programs and enter into this agreement as equal partners.
2. Students enrolled at *University* who (i) previously attended *College* or are currently enrolled at *College*, (ii) meet *College* resident credit requirement, and (iii) transfer a minimum of 45 credit hours to *University* will be eligible for reverse transfer credit to facilitate *College* degree completion.
3. Separate academic records for students will be maintained at each institution.
4. Authorized employees of *University* and *College* will have necessary access to personally identifiable information about the students who are eligible for reverse transfer credit for a *College* degree. *University* and *College* shall take reasonable steps to ensure the confidentiality of all student information as required by the Family Educational Rights and Privacy Act.
5. *University* shall notify all students who transfer a minimum of 45 credit hours of coursework from *College* (or combination or accumulated hours from other accredited institutions) of the existence and purpose of this Agreement to ascertain the student's interest in participation. This notification to students shall occur during the student's first semester at *University*. Each eligible student will be afforded the opportunity to withhold their participation and not be considered for reverse transfer degree status.
6. Upon receipt of an official *University* transcript, *College* will conduct a degree audit of each affected student and evaluate all coursework that may assist in the completion of the student's associate degree at *College*. Students who meet all degree requirements are eligible to receive a *College* degree.
7. *University* and *College* shall communicate with each other and with their common and respective communities concerning the relationship between the institutions. *University* and *College* agree to acknowledge and recognize the reverse transfer program on each institution's website and via other marketing and publicity methods.
8. *University* and *College* agree to acknowledge and recognize the reverse transfer program on each institution's website and via other marketing and publicity methods.
9. *University* and *College* agree to promptly communicate with each other any future curriculum changes, policy changes, or resident credit requirements that will affect this Agreement. (Optional reference based on question of redundancy)
10. Each semester *University* will promote to eligible students the existence and purpose of the Agreement, to encourage their completion of *College* degree. *University* will provide to *College* a list of students determined to be eligible to be notified of the existence and purpose of the Agreement; *College* will provide *University* a list of students who, through the Agreement, are recipients of an associate degree.

- 11 Each institution will designate the Registrar who will serve as the point of contact for administration of this Agreement.
- 12 This agreement shall be governed by and construed in accordance with policies of the Kansas Board of Regents and Federal regulations; and shall not be an exclusive relationship between the parties.

MINUTES

System Council of Presidents
November 20, 2013
10:00 a.m.
University of Kansas Medical Center
School of Nursing, Room 4016 Varnes

President Michael Shonrock called the meeting to order at 10:00 a.m.

1. Minutes of October 16, 2013 were approved.
2. Report from the System Council of Chief Academic Officers
 - a. Transfer and Articulation Council (TAAC) Update - Karla Wiscombe reported that the Council's work is progressing steadily, with a meeting scheduled for 11-21-2013.
 - b. Development Education Task Force Update - The Task Force's objective is to define strategies for improving the effectiveness of developmental education. A report to the Board should be ready by June 2014.
 - c. State Authorization Reciprocity Agreement (SARA) Update - Gary Alexander reported that the Midwestern Higher Education Compact has endorsed SARA. BAASC will discuss SARA at today's meeting and will likely offer a recommendation at the December Board meeting.
 - d. Concurrent Enrollment Faculty - The draft incorporates two main changes, one of which is to utilize HLC criteria for faculty qualifications to teach non-tiered CEP courses, and the other of which is to eliminate the 24-hour limit on credits earned in CEP courses. SCACAO endorsed those changes.
 - e. Student Learning Outcomes Reporting - Gary Alexander will ask the CAO's in December to let him know what they will be reporting on next June.
 - f. Statewide Reverse Transfer Agreement - The Task Force has met, and will be bringing a best practices document to SCOPS today.
3. Reverse Transfer – Clayton Tatro, FSCC and Michael Shonrock, ESU, provided an update and briefly reviewed the draft of the system wide reverse transfer agreement. General consensus is that the system wide program would incorporate an opt-out option on the respective community college, technical college, and four year university undergraduate application.
4. Concurrent Enrollment – Significant discussion regarding the proposed amendments to the current concurrent policy. Substantive changes included the elimination of the 24 hour limit and utilization of the HLC's faculty requirements. Motion made and seconded (Clayton Tatro/Kirk Schulz). Motion passed. Special note of thanks to Gary Alexander and Jean Redeker (KBOR).

5. Other Business

- a. Discussion of Prior Learning - Zoe Thompson (KBOR Director of Workforce Training) reported on the system's participation in a Lumina/Jobs for the Future grant project to develop a guidebook for prior learning assessment. Project should be completed by September 2014.

There being no further business, the meeting adjourned at 10:35am

Submitted by,

Sarah McKernan
Emporia State University

AGENDA

Council of Presidents
Kansas Board of Regents Office
1000 S.W. Jackson
Topeka, KS
December 18, 2013
11:00 a.m.
Kathy Rupp Conference Room

1. Approve minutes of November 20, 2013.
2. Report from Council of Chief Academic Officers
3. Report from Council of Chief Business Officers
4. Report from Council of Government Relations Officers
5. Report from Council of Research Officers
6. Request Approval for a Bachelor of Science in Personal Financial Planning (CIP 52.0804) –KSU (see attached)
7. Utilization of tuition dollars for facilities
8. Other matters

Summary and Recommendation

Universities may apply for approval of new academic programs following the guidelines in the Kansas Board of Regents Policy Manual. The University of Kansas has submitted an application for approval of a Professional Science Masters in Applied Science. The proposing academic unit has responded to all of the requirements of the program approval process. No institutions have programs utilizing this Classification of Instructional Program (CIP) code. The Council of Chief Academic Officers recommends approval.

Background

<u>Criteria</u>	<u>Program Summary</u>
1. Program Identification	Professional Science Masters in Applied Science – Concentration in Environmental Assessment - Degree Abbreviation: PSM-EA CIP Code: 52.0210 - Primary Location of Instruction: Edwards Campus
2. Academic Unit	School: College of Liberal Arts & Sciences, Department: Environmental Studies Program
3. Program Description	<p>The Professional Science Master's (PSM) is a relatively new interdisciplinary graduate degree that combines advanced coursework in science with a set of professional skills courses (project management, technical writing/communications, financial management), and a capstone/ internship experience. PSM degree programs establish an External Advisory Board from professionals in the subject area to assist with clarifying program objectives, identifying expected learning and professional development outcomes, and ensuring that regional workforce needs are met.</p> <p>PSM programs focus on particular fields of study. The proposed PSM in Applied Science will be launched with a concentration in Environmental Assessment. The Environmental Assessment concentration is the first for which KU has identified a market need, and as additional market research is conducted and market forces change, additional science concentrations will be created in response. While this proposal is by necessity worded heavily toward the Environmental Assessment concentration, the fundamental structure of the PSM – core professional skills and graduate level science courses, and the capstone experience - will be the same for all concentrations.</p> <p>Broadly stated, environmental assessment identifies possible environmental effects of a project or program, proposes measures to mitigate adverse effects, and predicts whether there will be significant adverse environmental effects, even after the mitigation is implemented. By its nature, environmental assessment is highly interdisciplinary, drawing on, for example, training in biology, geology, chemistry, hydrology, and geospatial analysis, as well as requiring project management and professional communications skills.</p>
4. Demand/Need for the Program	The proposed PSM Environmental Assessment Concentration is designed for graduates of bachelor's programs in physical/natural sciences, environmental studies, civil/environmental engineering or related fields who are currently employed in private firms, public agencies, and not-for-profit organizations that address a range of environmental issues. Key foci in the science curriculum of this program are environmental impact assessment; soils, water, and ecosystems science; geospatial analysis; environmental health and policy; and an understanding of environmental law and policy and the regulatory environment.

	<p>A query of a database created by the Environmental Studies Program of over 1200 KU undergraduates who graduated with a major in Environmental Studies indicates that over 500 of these graduates still reside in the greater Kansas City metropolitan area. Over 20% of these graduates reside within a few miles of the KU Edwards campus, in Olathe, Overland Park, and Lenexa. Only 22% of the graduates in the database reported completing an advanced graduate degree in any field.</p> <p>From October through mid-December 2007, a three-part study was conducted by DeSieghardt Strategic Communications of Stilwell, Kansas, on behalf of the KU Edwards Campus. The study sought to determine what – if any – educational programming expansion should be considered by the Edwards Campus by studying the current and future human resource needs among Kansas City’s leading employers and matching that with a comprehensive evaluation of interest in targeted potential degree programs. Extrapolations from a telephone survey conducted in 2007 in Johnson County by DeSieghardt projected that 10% of Johnson County residents who are ages 25 to 45 and who responded that they are “very” or “somewhat” likely to go to/return to school within the next three to five years were interested in obtaining a master’s degree specifically in the bioscience and applied science fields.</p> <p>An in-depth review of the Kansas City Metropolitan area job market showed 94 current openings and expected increases in demand ranging from 6% to 17% between now and 2022 for the following jobs. The Regional Workforce Intelligence Network report lists the following job titles: Environmental Science and Protection Technicians, Including Health, Environmental Scientists and Specialists, Including Health, Geoscientists, Except Hydrologists, and Natural Science Managers.</p>
5. Comparative /Locational Advantage	No Professional Science Master’s (PSM) degree with a concentration in Environmental Assessment is offered through public institutions of higher education in Kansas. The only existing PSM in the state is offered by Fort Hays State, in Biological Sciences. Regionally, three universities have similar environmentally-oriented PSMs: University of North Texas (PSM in Environmental Science); University of Northern Iowa (PSM in Ecosystem Management); and Southern Illinois University at Edwardsville (PSM in Environmental Science Management).
6. Curriculum	<p><u>Total credit hours: 33</u></p> <p>Core/Professional Skills Courses: 12 credit hours from courses offered at the KU Edwards Campus.</p> <p>Required science concentration courses: 12 credit hours.</p> <p>Elective science courses: 6 credit hours.</p> <p>Capstone: 3 credit hours, a culminating experience to develop a workforce project, producing a written report and presented orally to a committee that includes an industry member.</p>
7. Faculty Profile	The Environmental Studies has a core faculty of seven tenured and tenure-track faculty. In addition, as an interdisciplinary field, the program draws from a wide range of faculty in the science, social science, humanities, and engineering fields. In addition, the PSM program will be supported by faculty in Business and Communication Studies. To help support the professional training at Edwards, in the first year, the program will to hire 0.50 FTE lecturer, adjunct, or professor-of-the-practice. In each of the 2 nd and 3 rd years, the program will add an additional 0.25 FTE. A PSM-EA director or coordinator will be hired in Year 1, drawn from

	faculty or faculty-equivalent staff (PhD-level). This person will manage the program, provide advising to potential and current students, work with the external advisory board, and develop and implement a student recruitment plan. Additionally, the PSM-EA director will teach EVRN 815, the PSM-EA Capstone, every semester with the exception of Year 1.
8. Student Profile	We anticipate the majority of enrollment coming from entry- and mid-level professional scientists in public agency and private sector positions. Additionally, we anticipate being able to recruit recent bachelors graduates from Environmental Studies, Geography, Geology, Biology, and other relevant fields each year.
9. Academic Support	Academic support will be available from existing resources at the Edwards campus in Overland Park, and within the Program in Environmental Studies on the Lawrence and Edwards campuses. Resources include faculty advising and mentoring, a comprehensive library system, network infrastructure, online educational resources, desktop support, learning management systems, computer labs, and mediated classrooms.
10. Facilities and Equipment	No new physical space, equipment, or library resources are required for the successful implementation and administration of the new program. The teaching and office space will be accommodated in the new Business, Engineering, Science and Technology building on the Edwards Campus. The proposed PSM-EA program will purchase a site license for the ArcGIS geographic information systems software to be installed in an existing computer lab at the KU-Edwards campus for classroom and student project use at an annual cost of \$3500.
11. Program Review, Assessment, Accreditation	In addition to regular mandated University review, the program will have an External Advisory Board (EAB) to provide review and oversight. EAB members have already been identified and met with KU staff in July 2012 to review the proposed curriculum. They come from environmental professionals at private firms, public agencies, and nonprofits in the Greater Kansas City metro area. The PSM program will also affiliate with the PSM Initiative of the Council of Graduate Schools, which provides guidelines on best practices for PSMs.
12. Costs, Financing	<p>Year 1: 0.5 FTE Adjuncts, Lecturers, or Prof. of Practice = \$30K OOE: \$5500 Edwards ArcGIS software license: \$3500 PSM-EA Director¹ (.50 FTE): \$50K \$ 89K</p> <p>Year 2: 0.75 FTE Adjuncts, Lecturers, or Prof of Practice = \$45K OOE: \$5500 Edwards ArcGIS software license: \$3500 Program Assistant (0.5 FTE): \$20K PSM-EA Director¹ (.50 FTE): \$50K \$ 124K</p> <p>Year 3: 1.0 FTE Adjuncts, Lecturers, or Prof of Practice = \$60K OOE: \$7500 Edwards ArcGIS software license: \$3500 Program Assistant (0.5 FTE): \$20K PSM-EA Director¹ (.50 FTE): \$50K \$ 141K</p> <p>¹ PSM-EA Director will teach EVRN 815, Capstone, every semester with the exception of Year 1. Funding for the faculty, OOE, and software will be from the 0.125 cent sales tax in Johnson County, KS (JCERT, Johnson County Education and Research Triangle) funds.</p>

**CURRICULUM OUTLINE
NEW DEGREE PROPOSALS
Kansas Board of Regents**

I. Identify the new degree:**Professional Science Masters (Concentration in Environmental Assessment)****II. Provide courses required for each student in the major:**

	Course Name & Number	Credit Hours
Core Courses	PMGT 816: Project Management Fundamentals	3.0
	ENTR 701: Entrepreneurship - Starting Your Own Business	3.0
	ACCT 7xx: Survey of Accounting (3) <i>(This course will be developed to the specific needs of the P.S.M. degrees and will be assigned a number once developed)</i>	3.0
	COMS 730: Writing & Speaking for Decision Makers OR	3.0
	COMS 811: Managerial Communication	3.0
Concentration:	EVRN 616: Environmental Impact Assessment <i>(New course to be proposed)</i>	3.0
	EVRN 620: Environmental Politics and Policy	3.0
	EVRN 538: Environmental Soil Physics and Chemicals	3.0
	EVRN 611: Watershed, Land Use, and Ecosystems	3.0
Electives:	EVRN 510: Advanced Environmental Applications in Geospatial Techniques	3.0
	EVRN 656: Ecosystem Ecology	3.0
	GEOL 751: Geology – Physical & Transport Hydrogeology	4.0
	PRVM 830: Environmental Health	3.0
	PRVM 870: Environmental Health Law & Policy	3.0
	PRVM 871 Environmental Monitoring and Exposure Assessment	3.0
	PRVM 874 Toxicology and Risk Assessment	3.0
Practica:	EVRN 815: Professional Science Masters Capstone	3.0
Total		33.0

Implementation Year FY 2014

Fiscal Summary for the Proposed Academic ProgramInstitution: University of Kansas - LawrenceProposed Program: Professional Science Masters
(concentration in Environmental
Assessment)**Part I. Anticipated Enrollment**

	Implementation Year		Year 2		Year 3	
	Full-Time	Part-Time	Full-Time	Part-Time	Full-Time	Part-Time
A. Headcount	0	10	0	20	5	30
B. Total SCH taken by all students in the program	60		120		240	

Part II. Program Cost Projection

A. In the implementation year, list all identifiable **General Use** costs to the academic unit(s) and how they will be funded.

In subsequent years, please include **only** the additional amount budgeted.

	Implementation Year	Year 2	Year 3
<u>Base Budget</u>			
Salaries	\$ 80,000	\$ 115,000	\$ 130,000.00
OOE	9,000	9,000	11,000
Total	\$ 89,000	\$ 124,000	\$ 141,000

Indicate source and amount of funds if **other than** internal reallocation:

Funding for the salaries and OOE will be from the 0.125 cent sales tax in Johnson County, KS (JCERT, Johnson County Education and Research Triangle) funds.

MINUTES

Council of Presidents

November 20, 2013

10:00 a.m.

University of Kansas Medical Center
School of Nursing, Room 4016 Varnes

President Michael Shonrock called the meeting to order at 11:00 a.m.

1. Minutes of October 16, 2013 were approved.
2. Report from the System Council of Chief Academic Officers – Dr. David Cordle, Provost and Vice President for Academic Affairs, Emporia State University
 - a. Approved new program request from Kansas State University: Bachelor of Science in Personal Finance Planning.
 - b. Discussed the awarding of certificates and other non-degree credentials.
 - c. Discussed the Tilford Conference held at Emporia State University and the quality of the event.
3. Report from Council of Chief Business Officers – Mr. Ray Hauke, Emporia State University
 - a. Discussed potential changes to procedure and regulations which was a result of the October meeting with Foundation executives.
 - b. Received updates from KBOR staff in regards to preparation of budget appeal documents, campus safety and security reports, and status of Classified moving to University Support Staff.
4. Report from Council of Government Relations Officers – Mary Jane, Government Relations, KBOR & Dr. Kevin Johnson, General Counsel & Government, Emporia State University
 - a. Provided a post-legislative bus tour wrap-up as well as a post-Tilford Diversity conference wrap-up.
5. New Program Request (KU) for approval of a Master of Science in Geography was approved. Motion made and seconded (Michael Shonrock/Bernadette Gray-Little).
6. New Program Request (PSU) for approval of a Master of Science in Education was approved. Motion made and seconded (Michael Shonrock/Steve Scott).
7. Council reviewed the proposed template for the assessment of qualified admissions and the respective four year institutions will compile data for further review and discussion at December's KBOR meeting.
8. Council received and recommended the proposed amendment to the Board's Academic Advising policy (see page 101).
9. Council received and approved the re-establishment of the Council of Student Affairs Officers.
10. Other Business
 - a. Michael Shonrock provided an update regarding the system wide transfer agreement.

- b. Ed Hammond and Kirk Schulz provided updates on their Classified voting in favor to convert to University Support Staff.
- c. Kirk Schulz requested a further discussion regarding the use of tuition dollars for facility projects.
- d. The council extended congratulations and appreciation to Ed Hammond on his recent retirement announcement. A special thanks for his leadership for nearly 3 decades and the longest serving CEO at KBOR. Go Tigers!

There being no further business, the meeting adjourned at 11:55am

Submitted by,

Sarah McKernan
Emporia State University

AGENDA

System Council of Chief Academic Officers

Wednesday, December 18, 2013

8:30 a.m. – 9:00 a.m.

Kathy Rupp Conference Room

Kansas Board of Regents

Curtis State Office Building

1000 SW Jackson

Topeka, Kansas

1. Approve Minutes of November 20, 2013

2. Updates
 - a. Transfer and Articulation Council (TAAC) Update
 - b. Developmental Education Working Group Update
 - c. State Authorization Reciprocity Agreement (SARA) Update
 - d. Statewide Reverse Transfer Agreement Update

3. Other Business

SCOCAO Schedule – September 2013 – June 2014

AGENDA MATERIALS DUE	MEETING DATES
August 23, 2013	September 18, 2013
September 20, 2013	October 16, 2013
October 25, 2013	November 20, 2013
November 22, 2013	December 18, 2013
December 20, 2013	January 15, 2014
January 22, 2014	February 12, 2014
February 19, 2014	March 12, 2014
March 21, 2014	April 16, 2014
April 18, 2014	May 14, 2014
May 23, 2014	June 18, 2014

MINUTES

System Council of Chief Academic Officers Minutes

Wednesday, November 20, 2013

8:15 a.m.

Varnes Board Room

School of Nursing

University of Kansas Medical Center

Kansas City, KS

The System Council of Chief Academic Officers met in the Varnes Board Room, School of Nursing, at the University of Kansas Medical Center, Kansas City, Kansas, at 8:15 a.m. on Wednesday, November 20, 2013.

Members Present:

Karla Fisher, Butler Community College
David Cordle, Emporia State University
Lynette Olson, Pittsburg State University
Chris Crawford, Fort Hays State University
Tony Vizzini, Wichita State University

Robert Klein, University of Kansas Med Center
Jeffrey Vitter, University of Kansas
Randy Pembroke, Washburn University
April Mason, Kansas State University
Sara Harris, Independence Community College

Board Staff

Gary Alexander, Karla Wiscombe, Susan Fish, Jean Redeker, Jacqueline Johnson, Theresa Schwartz, Zoe Thompson, and Kirk Haskins

Others Present:

Rick Muma, Wichita State University; Sara Rosen, University of Kansas; Mike Ahern, Dodge City Community College; Cindy Hoss, Hutchinson Community College; Penny Quinn, Barton County Community College; Mike Calvert, Pratt Community College; Meg McGranaghan, Butler Community College; and Steve Loewen, Flint Hills Technical College

Approve Minutes of October 16, 2013 Meeting Minutes

David Cordle moved, and April Mason seconded the motion, to approve the October 16, 2013 minutes with the amendment to add Sara Harris, Independence Community College as the KCIA representative to SCOCOA. Motion carried unanimously.

Discussion:

Prior Learning

Zoe Thompson presented information concerning Prior Learning Assessment.

- There is nationwide interest in credit for prior learning
 - Effectiveness
 - Standards
 - Practices
- Multiple reasons for the interest
 - Diverse learners – their needs, demographics are different
 - Economic pressures – higher skills required for new economy

- Pressures for college completion
 - Persistence
 - Retention
 - Graduation
- Foresight 2020 goal – 60% of Kansas with postsecondary credentials
- Kansas Prior Learning Assessment Project
 - August – preliminary survey
 - September – Steering Committee face to face meeting
 - wants to build consensus around quality and standard practices
 - identified 3 areas where variability was wide and wanted quality standards
 - 3 chairs and subgroups established
 - Next steps
 - Subgroups meet
 - Evaluate best practices and standards
 - Use other state guidebooks as sample
 - Come to consensus and make recommendations
- After consensus by the Steering Committee
 - Guidebook will go through approval process
 - Evaluation tools
 - Revision timeline

Karla Fisher asked Zoe Thompson to report back to SCOCAO at its February 2014 meeting.

Transfer and Articulation Council (TAAC) Update

Karla Wiscombe informed SCOCAO that next year's meeting of the Core Outcomes Group will be September 12, 2014, hosted by Johnson County Community College.

The Core Outcomes Committee is reviewing the core outcomes from the September 27, 2013, meeting and will make recommendations to the Board of Regents in January.

The quality assurance subcommittee has developed an appeals process and the Transfer and Articulation Council reviewed it Thursday, October 17, 2013. Karla Wiscombe will present the proposed Kansas System-Wide Transfer Appeals Process to the Board Academic Affairs Standing Committee for recommendation to the Board.

Developmental Education Working Group Update

Susan Fish gave an update on the progress of the Developmental Education Working Group. At the initial meeting on October 29, 2013, the Developmental Education Working Group discussed current innovations being implemented in Kansas and reviewed its plan of work. Sub groups will discuss strategies for math, reading, writing, and advising, placement, and support services. The group will meet for the second time on Friday, November 22, 2013.

State Authorization Reciprocity Agreement (SARA) Update

Gary Alexander shared with the Council that the Board Academic Affairs Standing Committee will discuss the State Authorization Reciprocity Agreement today. The Standing Committee will review the general principles, goals and mechanisms of the agreement.

Concurrent Enrollment Faculty

Gary Alexander advised SCOCAO the proposed revision to the KBOR Concurrent Enrollment Policy will be presented to the System Council of Presidents today. The System Council will discuss and make a recommendation to the Governance Committee.

Student Learning Outcomes Reporting

Gary Alexander shared the draft format for campus reports on the Assessment of Student Learning. The institutions will provide an updated report on what each institution is currently doing for assessment of student learning.

Statewide Reverse Transfer Agreement

Karla Fisher gave a brief update concerning a statewide reverse transfer agreement. It was noted at the October 16 System Council of Presidents that President Shonrock and President Heilman took comments and developed a draft proposal.

Other Business

There was no other business.

Meeting adjourned at 8:45 a.m.

AGENDA

Council of Chief Academic Officers

Wednesday, December 18, 2013
 9:00 a.m. – 10:00 a.m.
 or upon adjournment of SCOCOA
 Kathy Rupp Conference Room
 1000 SW Jackson Street, Suite 520
 Kansas Board of Regents
 Topeka, Kansas
 and reconvene at noon

1. Approve Minutes of November 20, 2013
2. Informational Items
 - a. KUMC - Two new graduate certificates in the Department of Biostatistics:
 - (1) Statistics Graduate Certificate
 - (2) Statistical Applications Graduate Certificate
 - b. KUMC - Discontinuation of the Nurse Educator Certificate
 - c. PSU - Discontinuation of the Bachelor of Arts in Mathematics (CIP 27.0101)
 - d. KU - New Graduate Certificate in Russian East European, and Eurasian Studies
3. Discuss
 - a. Tilford Conference – Sheryl Lidzy (ESU)
 - b. Breakfast Meeting with the Regents
4. Other Business

AGENDA MATERIALS DUE	MEETING DATES	LUNCH ROTATION
August 23, 2013	September 18, 2013	Washburn U
September 20, 2013	October 16, 2013	FHSU
October 25, 2013	November 20, 2013	KSU
November 22, 2013	December 18, 2013	PSU
December 20, 2013	January 15, 2014	ESU
January 22, 2014	February 12, 2014	WSU
February 19, 2014	March 12, 2014	KU
March 21, 2014	April 16, 2014	KUMC
April 18, 2014	May 14, 2014	Washburn U
May 23, 2014	June 18, 2014	FHSU
*Please Note: New Program Proposals are to be submitted 4 weeks prior to the next COCAO meeting for review and processing purposes.		

MINUTES

Council of Chief Academic Officers

Wednesday, November 20, 2013

9:00 a.m. – 10:00 a.m.

Or upon adjournment of SCOCAO

Varnes Board Room

School of Nursing

University of Kansas Medical Center

Reconvene at noon

Varnes Board Room

Kansas City, Kansas

MINUTES

The Council of Chief Academic Officers met in the Varnes Board Room, School of Nursing, University of Kansas Medical Center, Kansas City, Kansas at 9:00 a.m. on Wednesday, November 20, 2013, and reconvened at noon in the Varnes Board Room.

Members Present:

Chris Crawford Interim Provost, FHSU

Jeffrey S. Vitter, Provost and EVC, KU

Robert Klein, VCAA, KU Med Ctr

Lynette Olson, Provost, PSU

David Cordle, Provost, ESU

Tony Vizzini, VPAA, WSU

April Mason, Provost, KSU

Randy Pembroke, Provost, Washburn U

Staff Present:

Gary Alexander, Jean Redeker, Jacqueline Johnson, Karla Wiscombe, Theresa Schwartz, Susan Fish, and Kirk Haskins

Others Present:

Rick Muma, Wichita State University; Sara Rosen, University of Kansas; Penny Quinn, Barton County Community College; Karla Fisher, Butler County Community College; Sara Harris, Independence Community College; Meg McGranaghan, Butler County Community College; Cindy Hoss, Hutchinson Community College; Mike Ahern, Dodge City Community College; and Mike Calvert, Pratt Community College

Approve Minutes of October 16, 2013

Lynette Olson moved, and Tony Vizzini seconded the motion, to approve the October 16, 2013 minutes as submitted. Motion carried unanimously.

New Program

KSU - Request Approval for a B.S. in Personal Financial Planning (CIP 52.0804) [SECOND READING]

Lynette Olsen moved, and Chris Crawford seconded the motion, to approve Kansas State University's B.S. in Personal Financial Planning (CIP 52.0804) to be placed on the Council of Presidents' December agenda. Motion carried.

Credentials and Certificates Not In Program Review

Due to the Board's goal to increase, to 60 percent, the number of Kansas adults who have a certificate, credential, associate's degree or bachelor's degree by 2020, universities need to begin evaluating options to

provide these awards to adults. COCAO discussed the need for uniformity among the universities with regard to credentials and certificates.

Tilford Conference Input from Campuses

COCAO discussed this Fall's Tilford Conference. Several of the Chief Academic Officers were unable to attend because of the Legislative Bus Tours.

Discussion with Tilford Planning Committee at December COCAO Meeting

The Council will begin discussion with the mission and vision of the Tilford Conference at its December meeting. The evaluation of this Fall's Tilford Conference should be available.

Other Business

University Press of Kansas, Retiring Director, Fred Woodward

Fred Woodward, retired Director of the University Press of Kansas, will be recognized during the November Board meeting. They will express appreciation for his many years of service.

Recognition of Larry Gould

COCAO recognized Larry Gould for his years of service as Provost at Fort Hays State University.

April Mason moved, and Jeff Vitter seconded the motion, to recess until noon. Motion carried.

COCAO reconvened at noon in the Varnes Board Room.

Other Business

Discussion of State Authorization Reciprocity Agreement (SARA)

The Council of Chief Academic Officers discussed SARA. There is a need for more information to give to the Board so it is making an informed decision. COCAO asked for Private Postsecondary's operating budget (revenue and costs), number of requests, and the number of authorizations.

April Mason moved, and Tony Vizzini seconded the motion, to adjourn. Motion carried.

The meeting adjourned at 1:00 p.m.

Sincerely,
David Cordle
Provost
Emporia State University

CURRENT FISCAL YEAR MEETING DATES

Fiscal Year 2014

<u>Meeting Dates</u>	<u>Agenda Material Due to Board Office</u>
August 13-15, 2013 Retreat	
September 18-19, 2013	August 28, 2013 at noon
October 16-17, 2013	September 25, 2013 at noon
November 20-21, 2013	October 30, 2013 at noon
December 18-19, 2013	November 27, 2013 at noon
January 15-16, 2014	December 26, 2013 at noon
February 12-13, 2014	January 22, 2014 at noon
March 12-13, 2014	February 19, 2014 at noon
April 16-17, 2014	March 26, 2014 at noon
May 14-15, 2014	April 23, 2014 at noon
June 18-19, 2014	May 28, 2014 at noon

TENTATIVE MEETING DATES

Fiscal Year 2015

Meeting Dates

August 19-21, 2014 – Retreat
 September 17-18, 2014
 October 15-16, 2014
 November 19-20, 2014
 December 17-18, 2014
 January 14-15, 2015
 February 18-19, 2015
 March 18-19, 2015
 April 15-16, 2015
 May 20-21, 2015
 June 17-18, 2015

COMMITTEES (2013-2014)

Fred Logan, Chair
Kenny Wilk, Vice Chair

Standing Committees

Academic Affairs

Robba Moran, Chair
 Mildred Edwards
 Tim Emert
 Helen Van Etten

Fiscal Affairs and Audit

Ed McKechnie, Chair
 Shane Bangerter
 Ann Brandau-Murguia
 Kenny Wilk

Governance

Fred Logan, Chair
 Tim Emert
 Kenny Wilk

Regents Retirement Plan

Mildred Edwards, Chair
 Fred Logan

Board Representatives and Liaisons

Education Commission of the States	Robba Moran
Postsecondary Technical Education Authority	Tom Burke Connie Hubble
Kansas Bioscience Authority	Kenny Wilk Jerry Boettcher
Kansas Campus Compact	Kenny Wilk
Midwest Higher Education Compact (MHEC)	Mildred Edwards
Washburn University Board of Regents	Robba Moran
Transfer and Articulation Advisory Council	Shane Bangerter Fred Logan
P-20 Workgroup	Robba Moran Helen Van Etten Christine Downey-Schmidt